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Air Navigation Services  
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# Machine Learning of Speech Recognition Models for Controller Assistance

Hon. Prof. Dr. Hartmut Helmke

**SJU Scientific Committee Meeting 06**

Brussels, 30<sup>th</sup> May, 2018



Founding Members



# The expensive part of Automatic Speech Recognition (ASR)



Prague

tango papa, papa turn left heading three, ....

Word transcription

T7APP TURN\_LEFT\_HEADING 330  
T7APP ..

Command transcription

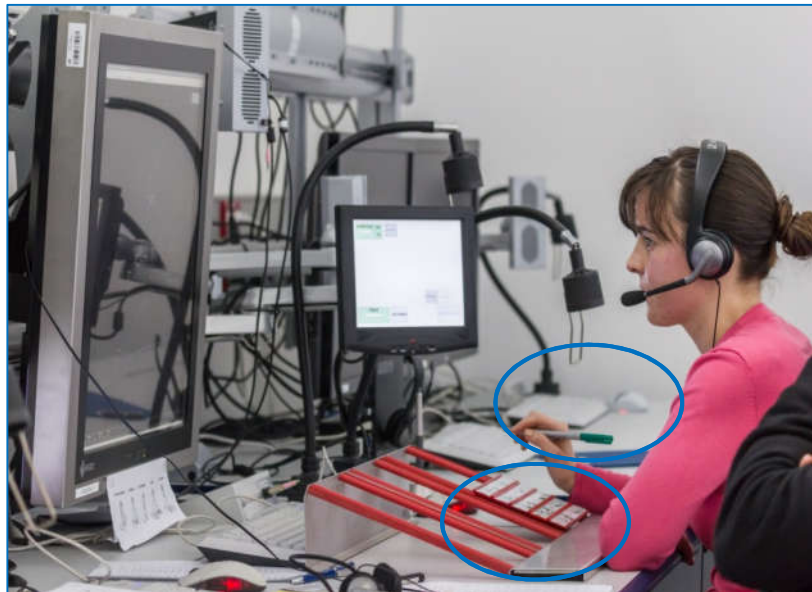
Basic Recognizer is improved by Machine Learning from 8% command recognition error rate to 0.6%.

# Contents

- Motivation for Speech Recognition in Air Traffic Control
- MALORCA-Project Objectives and Building Blocks of a Basic Speech Recognizer
- Adaptation to Prague and Vienna and Approach Area by Machine Learning
  - Experiment
  - Results
- PJ.16-04 and Speech Recognition
- Next Steps
- Conclusions



# From Paper to Electronic Flight Strips with Speech Recognition Support



All flight information in **digital form** in the system (and on the radar screen).  
This may results in higher controller workload.  
Controllers have the additional workload. **Others have the benefits.**  
**ASR** (= Automatic Speech Recognition) may be a solution

# AcListant®-Strips: Validation at DLR in 2015



In 2014/2015 >20 controllers from DFS, Austro Control and ANS CR validated Speech Recognizer by USAAR in DLR labs for Dusseldorf Approach Area.

## Goal:

Quantify the benefits for Automatic Speech Recognition wrt.

- controllers' workload and
- ATM efficiency.

## Baseline:

- Commands entered by **mouse** into radar labels

## Improved Mode

- Commands entered **by ASR** (automatic speech recognition), correction if necessary by mouse



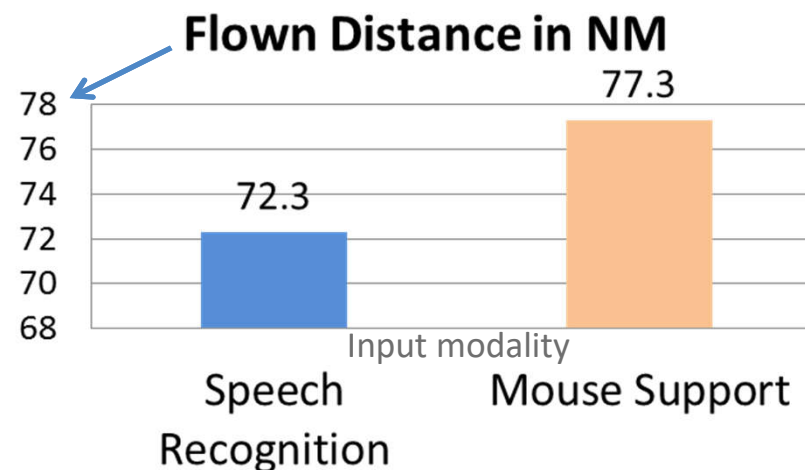
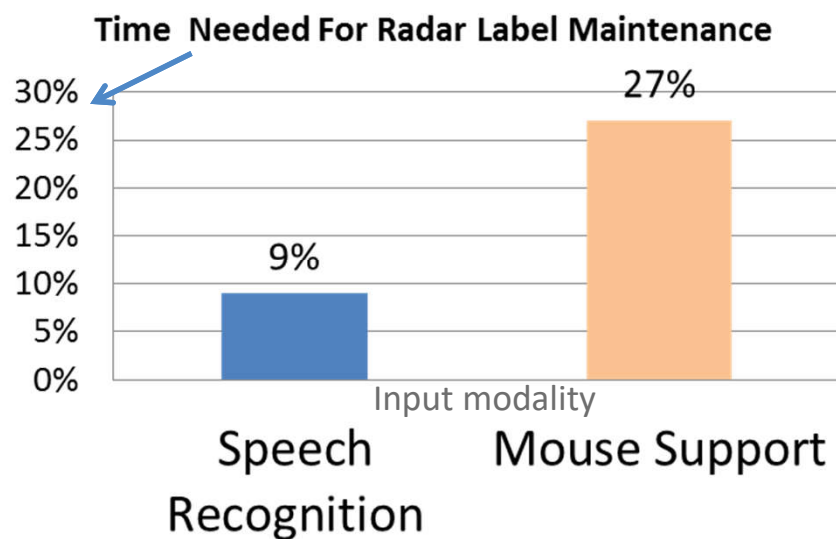
# AcListant®-Strips: Validation at DLR in 2015 (2)



Recognition  
Rate

95.2%

Number of correctly recognized commands  
divided by number of given commands.  
Correct means: callsign, type **and** value correct.



Speech Recognition reduces workload.

Speech Recognition increases flight efficiency.

Speech recognizer developed by USAAR  
and integrated with DLR arrival manager.

# Validation Results of 2015 \*



## Airlines

save 50 to 65 liters of kerosene per flight

## Airports

benefit from increase flow of 1 to 2 landings per hour

## ANSPs and controllers

have reduced workload needed for clicking by a factor of 2 to 3  
and benefit from reduced head down times which increases safety

## society

saves approx. 130 kg of CO<sub>2</sub> per flight

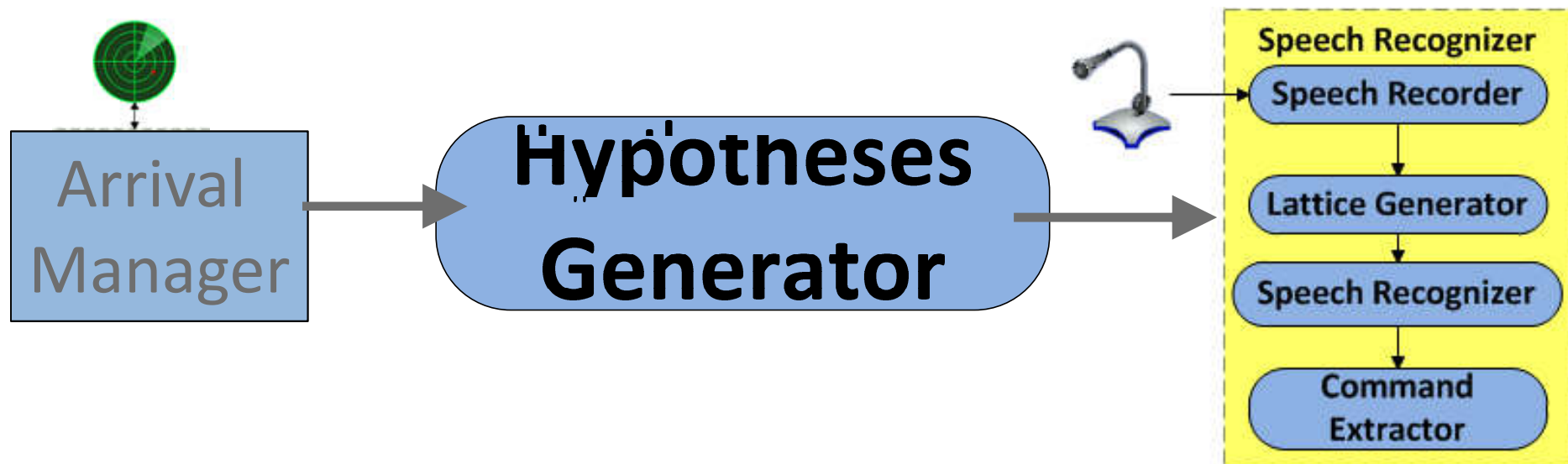
\*

A320,

0.8 kg / l, 1 kg kerosene results in 3.15 kg CO<sub>2</sub>; 35 landings per hour  
extrapolation of results of 60 minutes scenarios for 23R,

8 controllers..., see papers at DASC 2016 and FAA/Eurocontrol ATM Seminar 2017

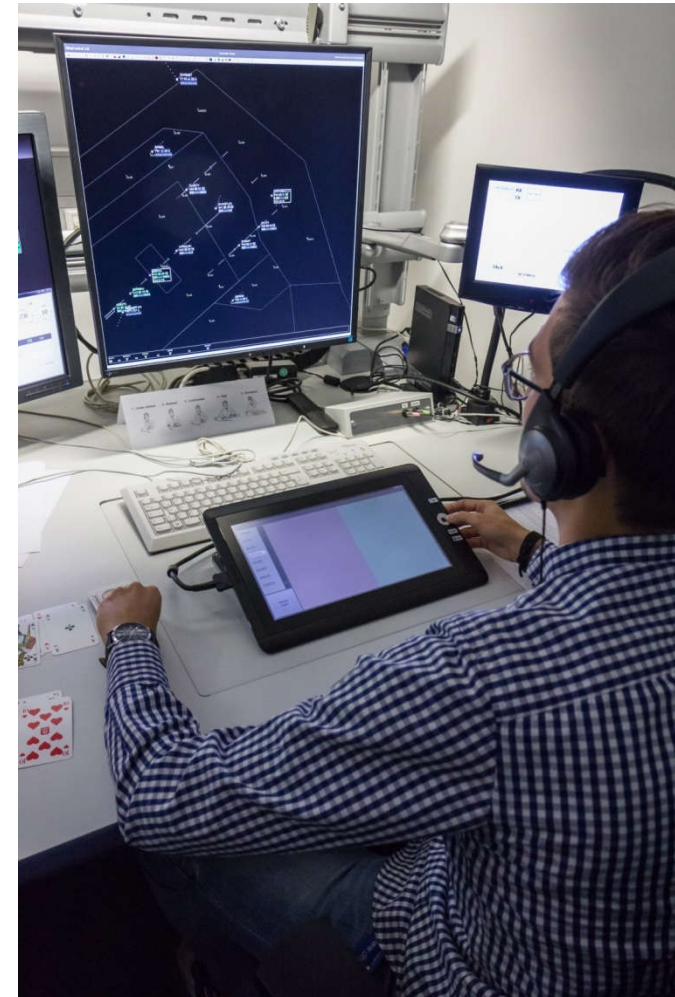
# Assistant Based Speech Recognition (ABSR)





# Contents

- Motivation for Speech Recognition in Air Traffic Control
- **MALORCA-Project**
- Adaptation to Prague and Vienna and Approach Area by Machine Learning
  - Experiment
  - Results of Technical Experiment
  - Feedback of Controllers (Operation Validation)
- Conclusions



# MALORCA project in Admin numbers



- MALORCA = Machine Learning of Speech Recognition Models for Controller Assistance
- 24 months duration (Apr. 2016 to March 2018)
- 37 deliverables (5 public ones)
- 5 partners with funding of 538 k€ plus 267 kCHF
- Total: 83.1 PM
- [www.malorca-project.de](http://www.malorca-project.de)

# Motivation of MALORCA project

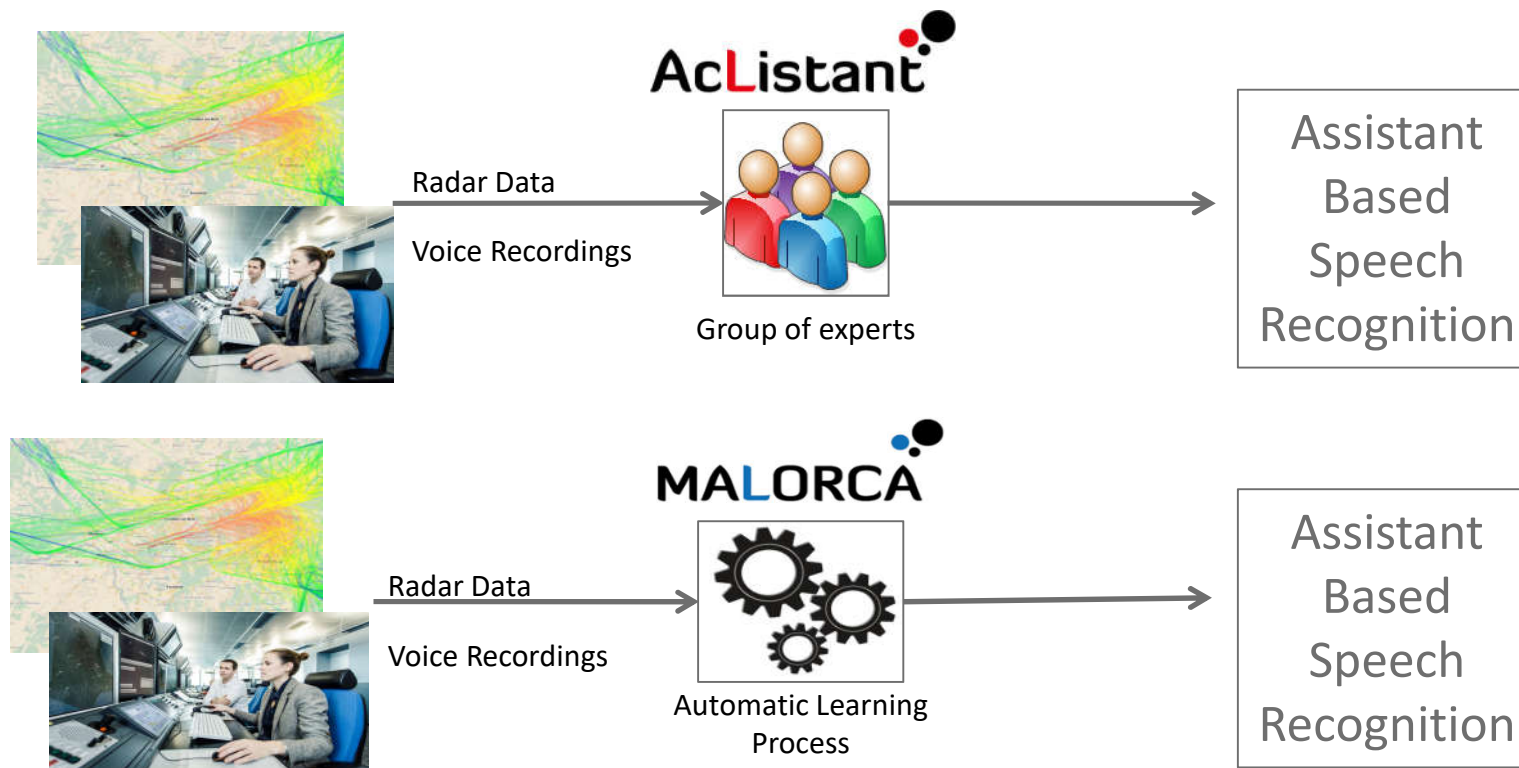


- We have **high command recognition rates** (> 90%) and low command recognition error rates (< 2%)
- Users (Air traffic controllers) want the system, because Automatic Speech Recognition **reduces controllers' workload**.
- We even have a **business case** (less fuel consumption, more landings per hour, ...)

However,

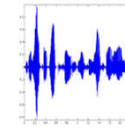
DLR and USAAR spent more than **1.4 million Euros** for AcListant® and AcListant®-Strips just for adaptation to Dusseldorf Approach Area for landing direction 23R.

# Motivation – The MALORCA Project



Instead of (highly skilled and paid) **experts**, **machine learning** is used.

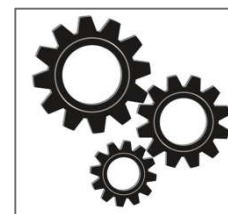
# MALORCA objectives



## Speech Recognition related objectives

- Provide speech **recognition tools for different deployment areas**
- Improvement of **command recognition error rate** by machine learning (ML)

# MALORCA objectives (2)



## Machine learning related objectives

- Develop a multi-modal, state-of-the-art, automatic learning system
  - To reduce costs of data
  - To speed up development
  - To reduce manual adaptation effort

Two different roadmaps exists (at least):

1. Speech Recognition Roadmap
2. Machine Learning Roadmap

MALORCA concentrates on Machine Learning,  
i.e. **learning** instead of **programming/configuration**.

# MALORCA objectives (3)



Bringing together **experts from multiple disciplines**, i.e. experts

- from data science, machine learning,
- speech processing and recognition and
- air traffic control



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# Bringing together experts from multiple disciplines





# Bringing together experts from multiple disciplines

2<sup>nd</sup> Stakeholder Workshop, Vienna , February 2018



# Bringing together experts from multiple disciplines

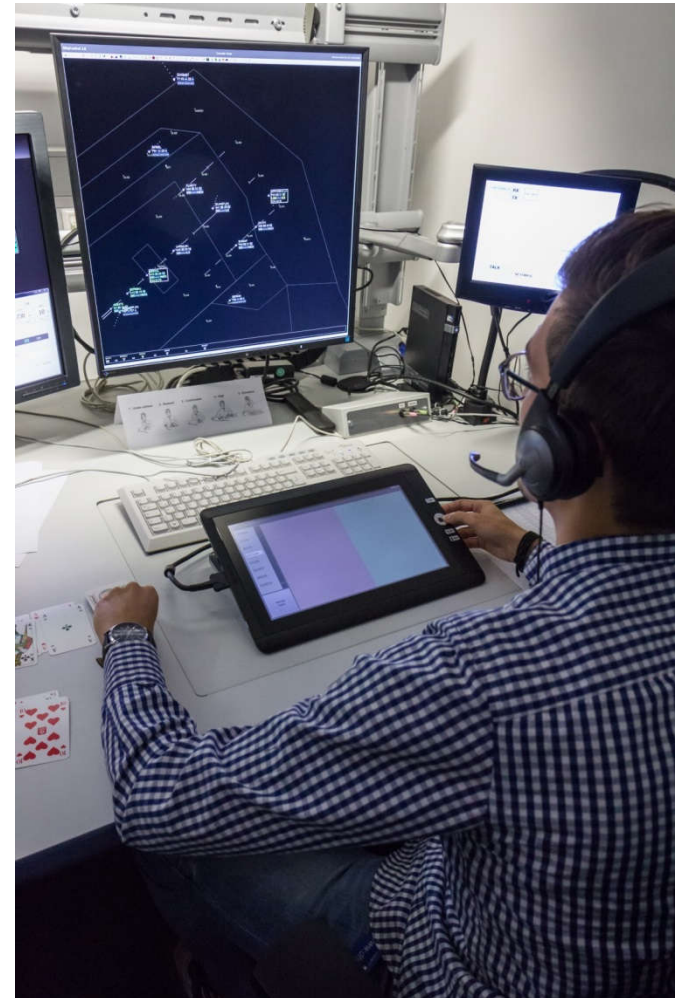


## Participants from

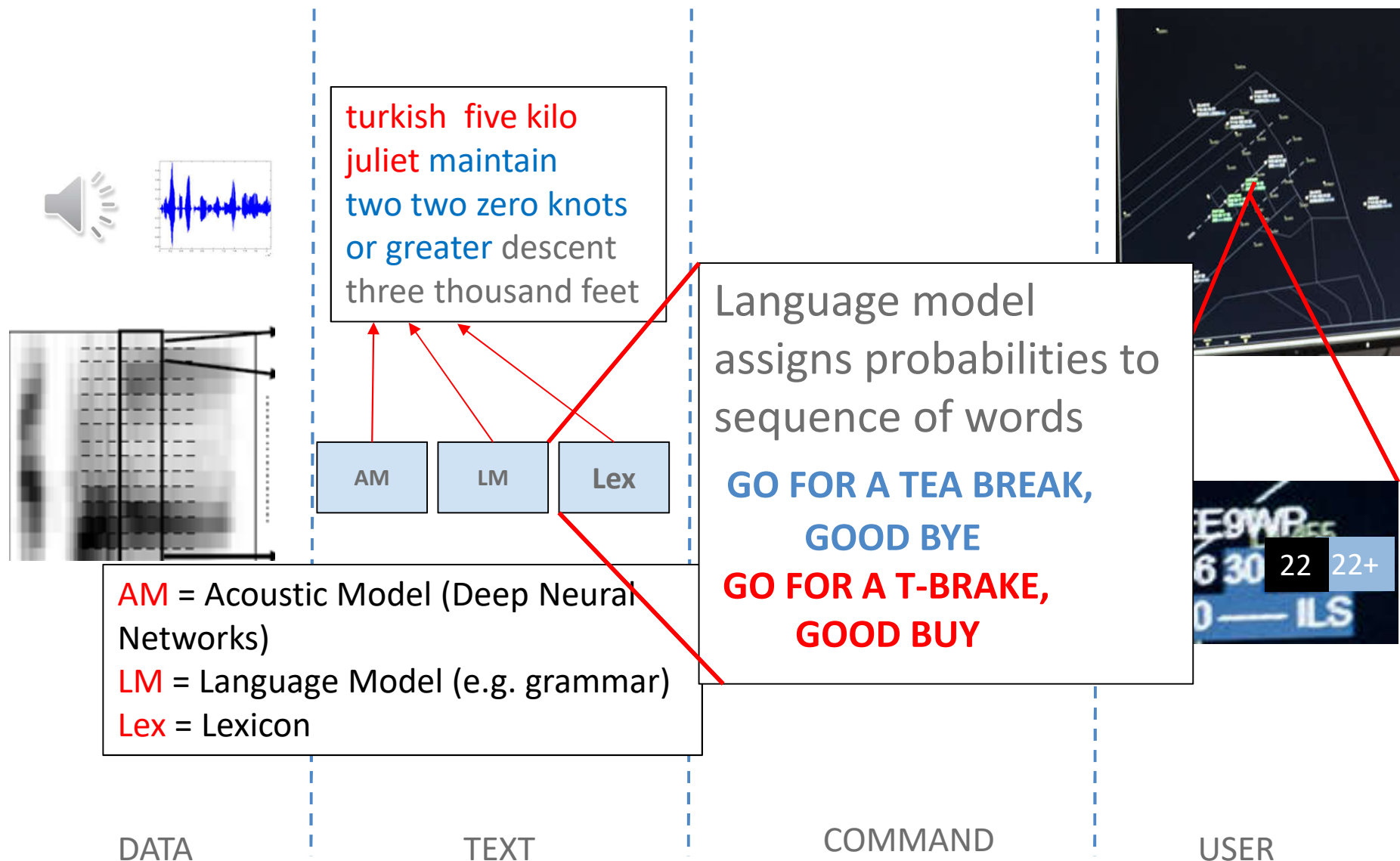
- **12 ANSPs** (DFS, Coopans (IAA, ACG, LFV, CROC, NUAC HB) , **FAA**, NATS, ANS CR, Avinor, LPS)
- System Suppliers (**Thales**, Frequentis, CS-Soft, ZCU, CVU, Harris)
- Speech Recognition (UFA, E-Sigma)
- Academia (Linköping University , Idiap, Saarland University, University of Munich)
- Airport (FRAPORT)
- **Airbus**, Honeywell, Aeroholding
- **SJU**

# Contents

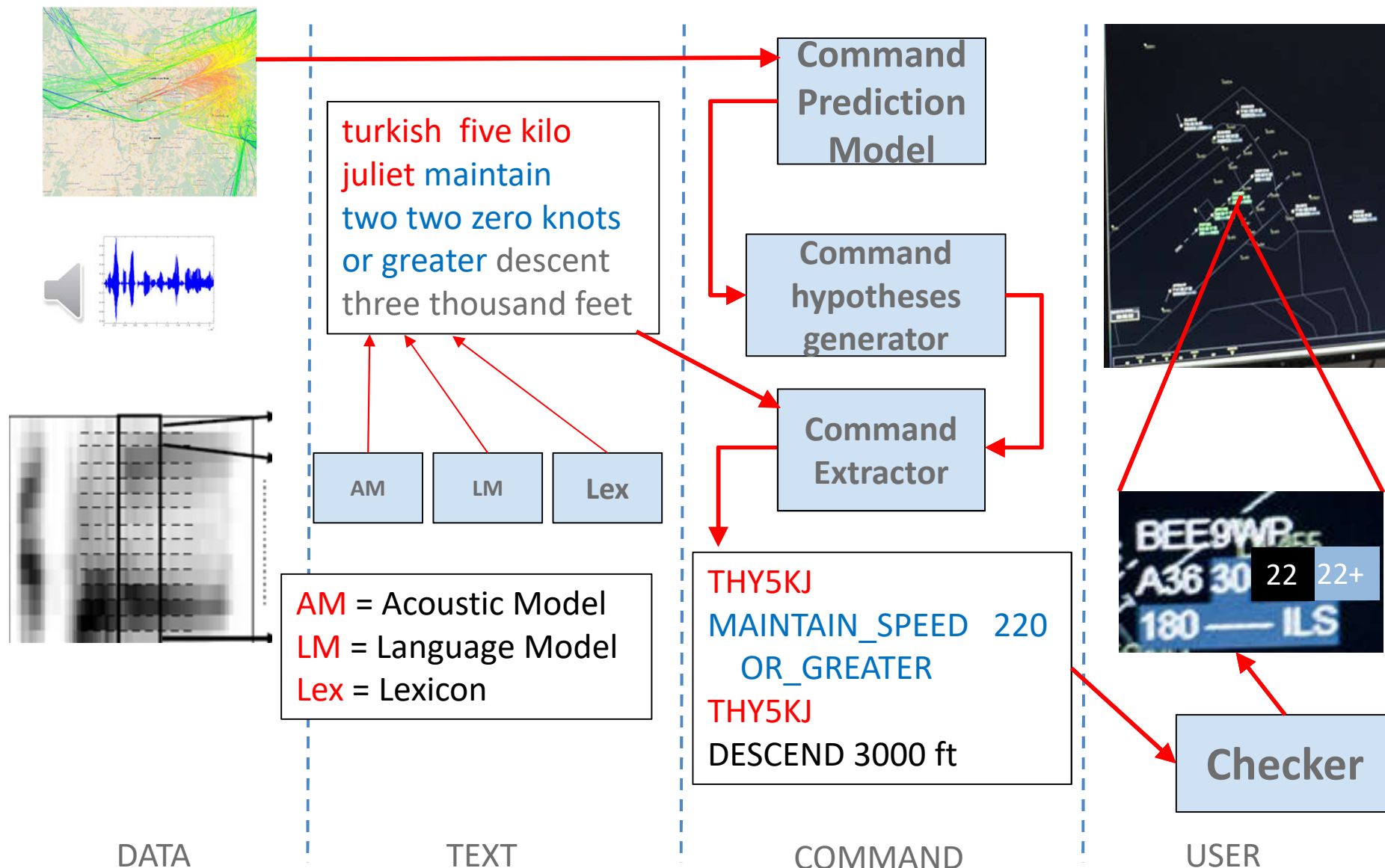
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# From Speech Signal to HMI



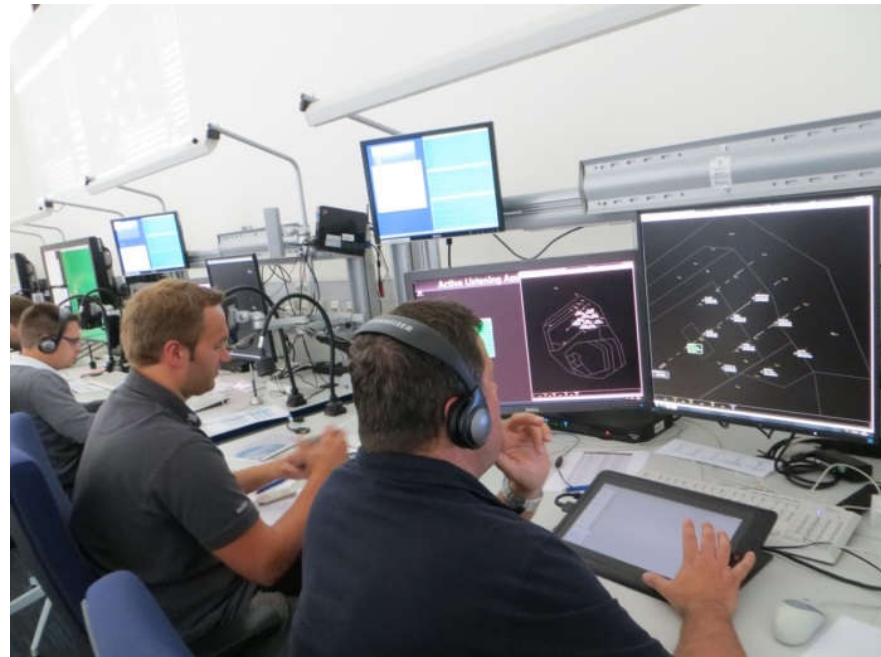
# From Speech Signal to HMI



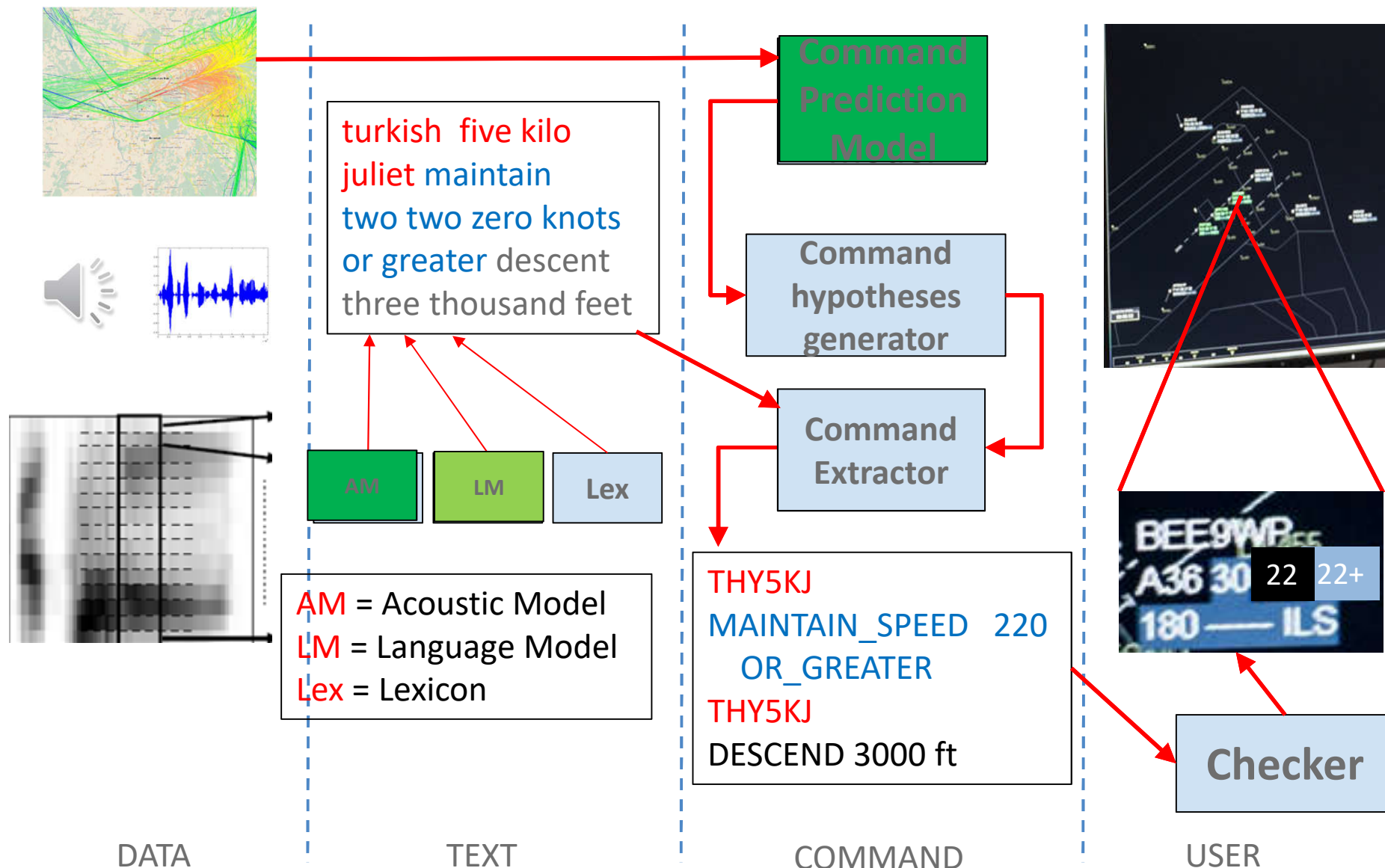


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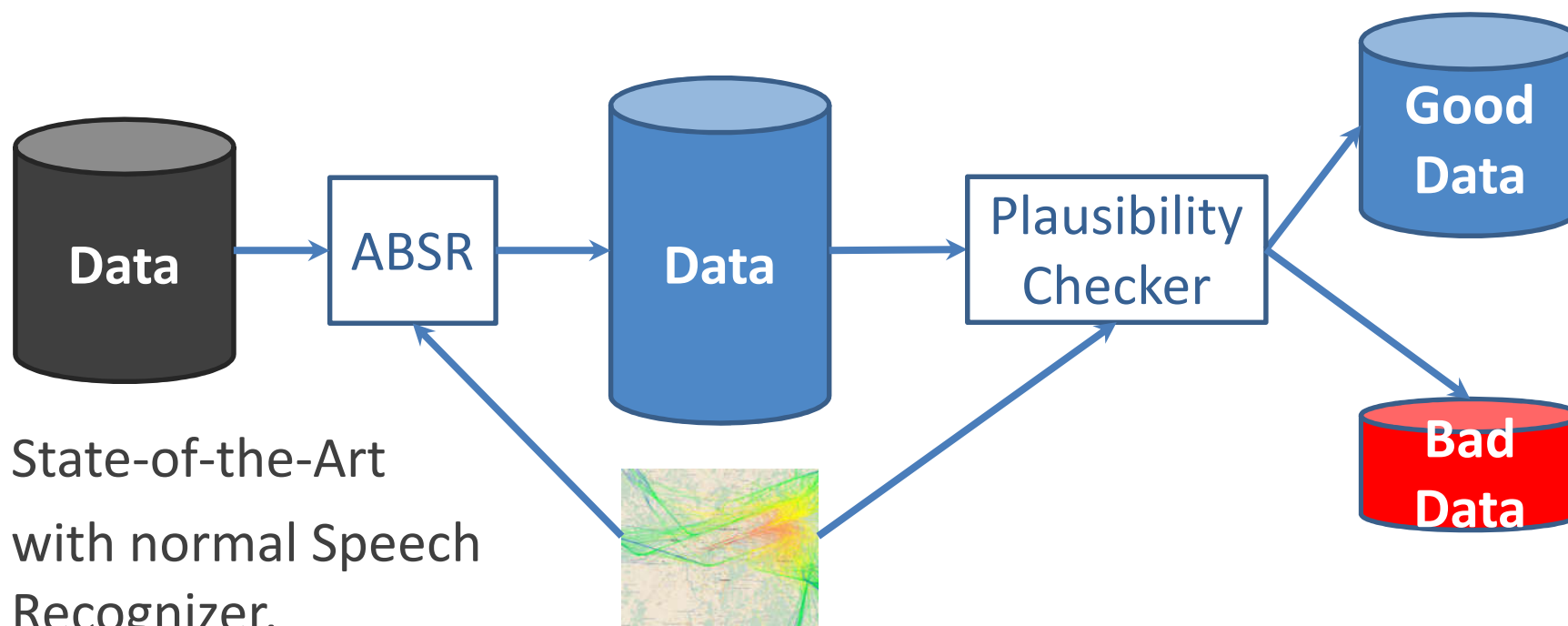


# Model Training / Learning



# Semi-supervised learning (AM, LM, CPM)

- Exploit untranscribed data
- Generate transcripts (using actual system)
- Data selection: Select “good” or “bad” data ?



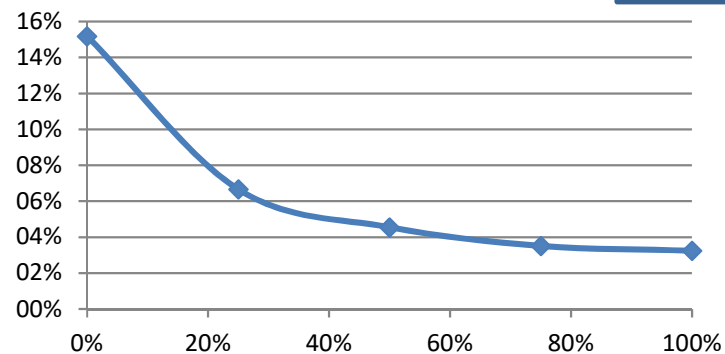
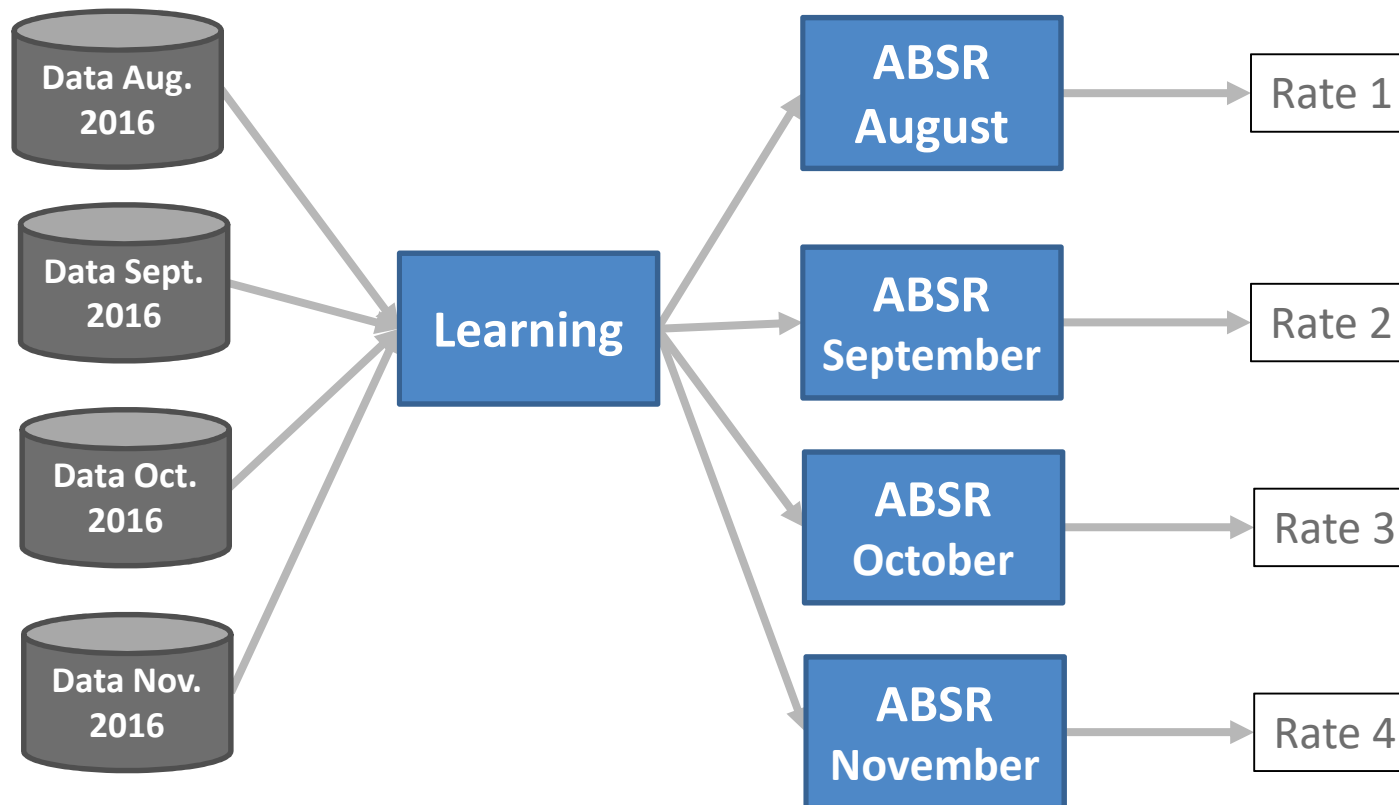
MALORCA: Assistant Based  
Speech Recognition

Invention of MALORCA

ABSR = Assistant Based Speech Recognition



## T2: Proof-of-Concept for Continuous Learning



# Contents

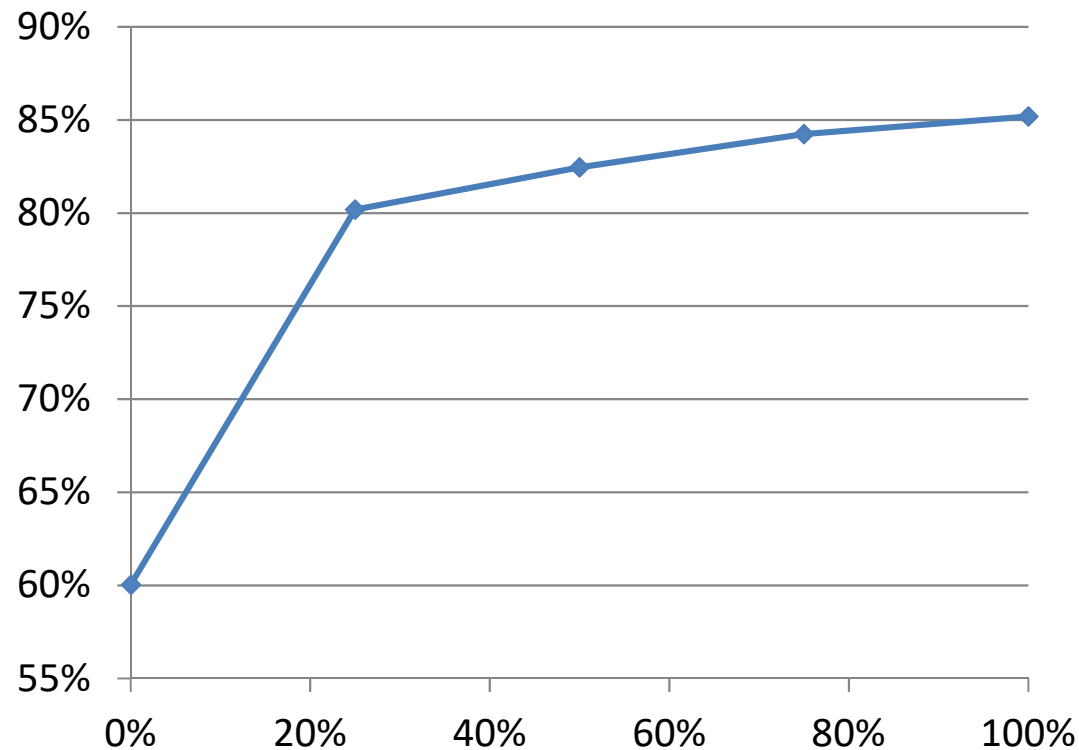
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# Learning Curve for Vienna



Command Recognition Rate



Recognition Rate

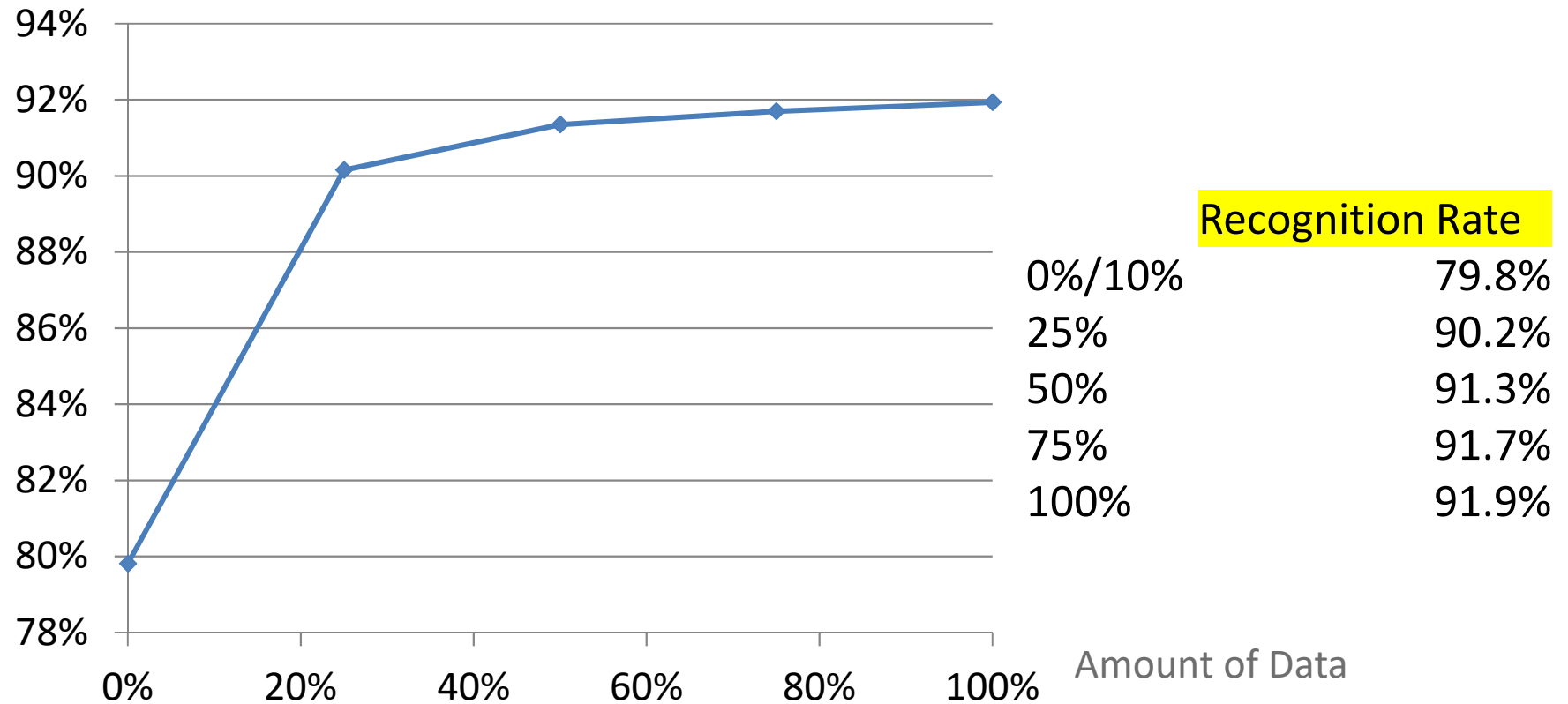
0%/10%	60.0%
25%	80.2%
50%	82.4%
75%	84.2%
100%	85.2%

Amount of Data

# Learning Curve for Prague



Command Recognition Rate



# Different Audio Qualities (Signal-to-Noise-Ratio)



Prague

good day easy three one tango hotel  
ruzyne radar, radar contact roger continue



Vienna

austrian nine four zero nine, there is  
vfr traffic one o'clock distance five miles  
opposite aeh round three thousand  
five hundred feet



Prague

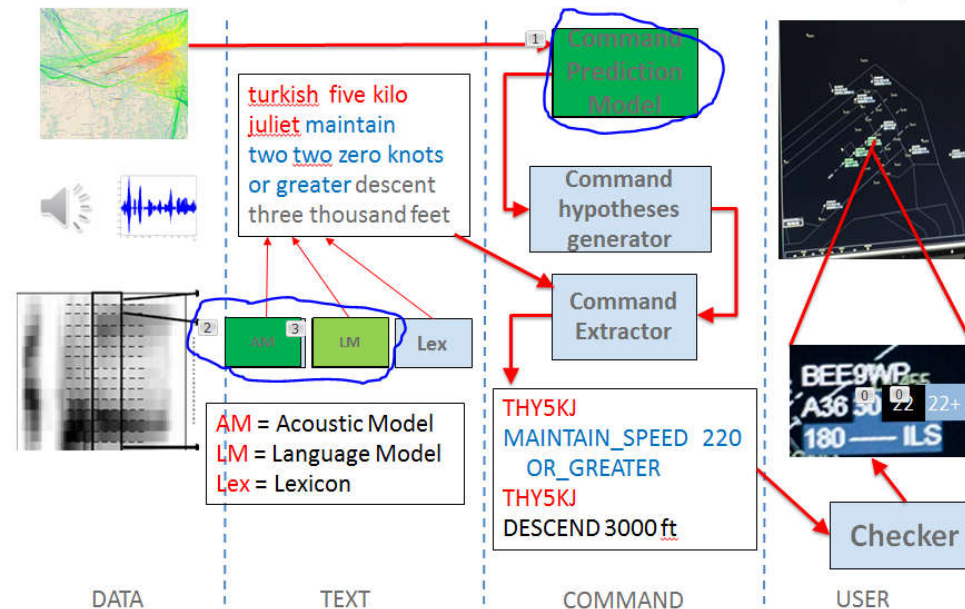
tango papa, papa turn left heading three,  
three zero cleared for ils three zero  
report established and reduce speed  
one six zero knots



Vienna

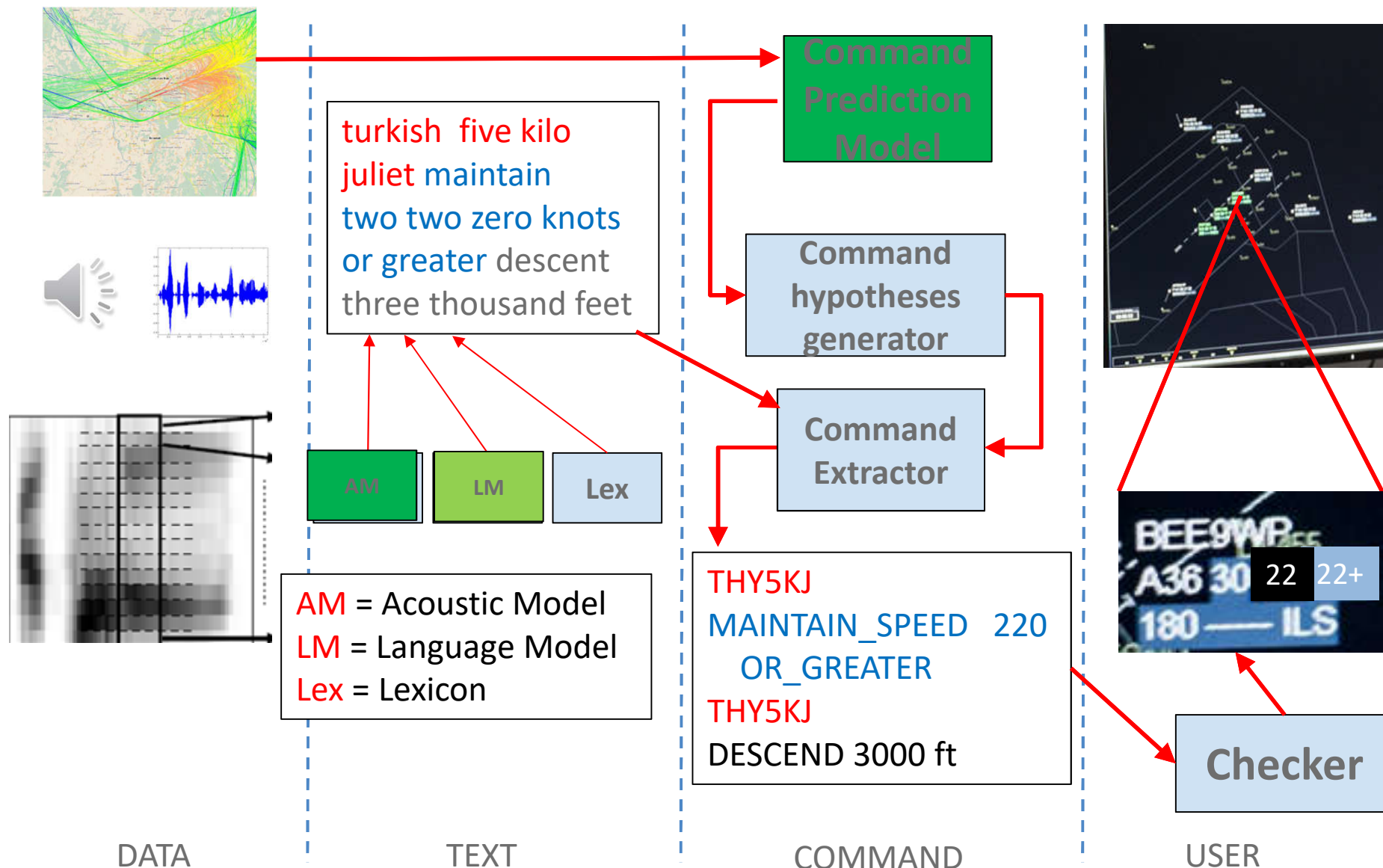
turkish five kilo juliet maintain two  
two zero knots or greater  
descent three thousand feet

# Results

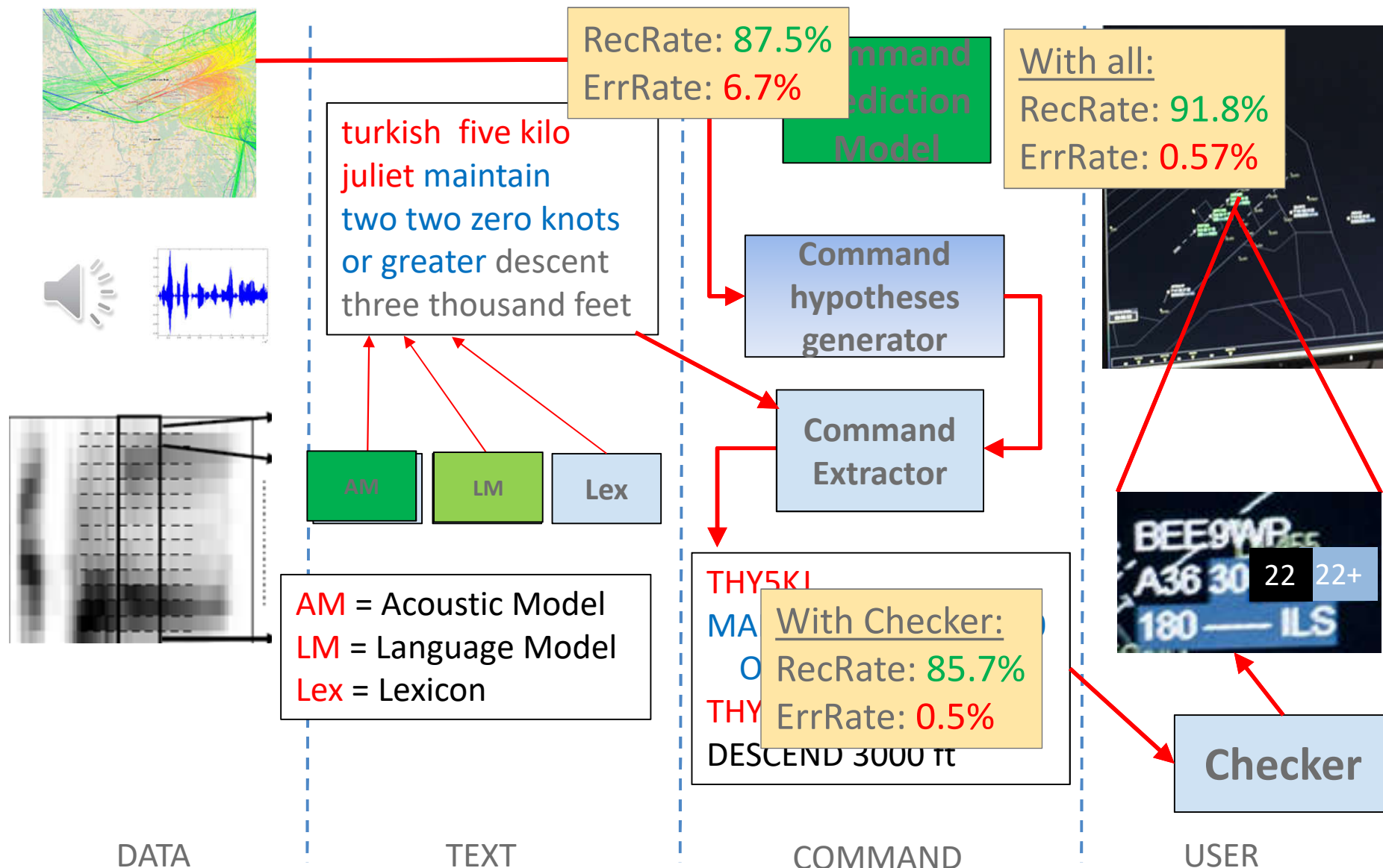


- Machine Learning of Acoustic Model, Language Model, Command Prediction Model is possible
- Command Recognition Rate improves from 80% to 92% (Prague) resp. from 60% to 85% (Vienna)
- By 8 times more data may provide 92.6% (Prague) resp. 90.2% (Vienna)
- Open Question: **Explore combining the data from different airports**

# Effect of Different Components

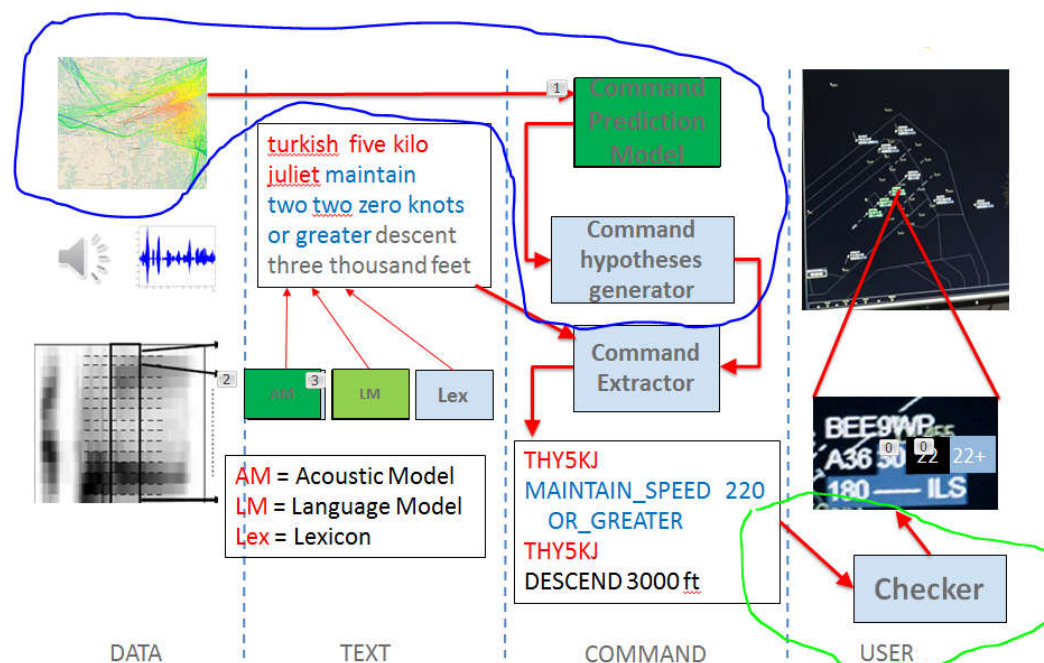


# Effect of Different Components





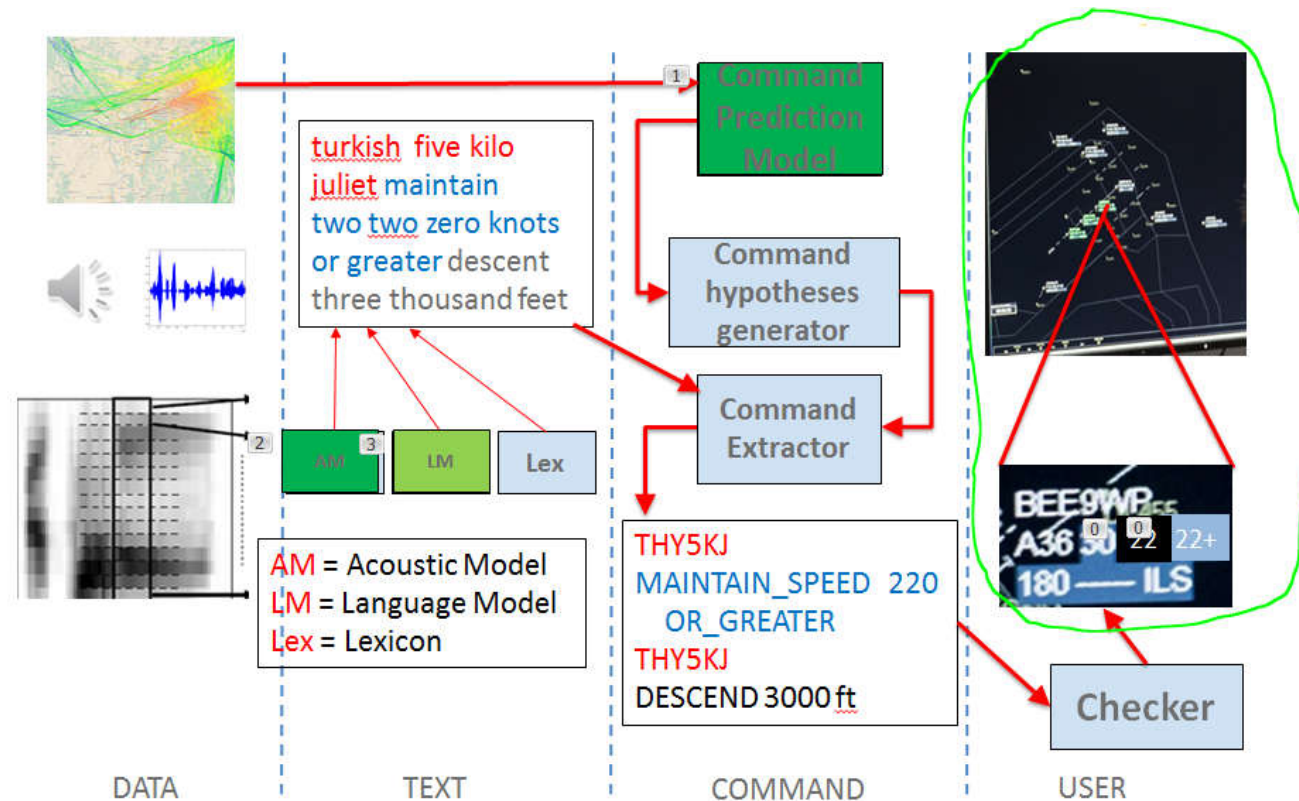
## Results (2)



Command hypotheses generator plus Checker improves

- Command Recognition Rate from 85.7% to 91.8% (Prague)
- Command Recogn. Error Rate from 6.7% to 0.6% (Prague)
- Command Recognition Rate from 71.3% to 85.2% (Vienna)
- Command Recogn. Error Rate from 15.7% to 3.2% (Vienna)

# User Acceptance (1)



The numbers are clear, BUT

we need to have the end users (controllers) on board from the beginning!!!

## User Acceptance (3)



Prague:				
Number of Commands	Number of ABSR Errors/Rejections	Rec Rate	corrected by controller	detected by controller
396	36	90.9%	31	36

Vienna:				
Number of Commands	Number of ABSR Errors/Rejections	Rec Rate	corrected by controller	detected by controller
610	80	86.9%	79	80

No safety issues were observed.

All misrecognitions were detected.

Better Recognition Rate of course would improve even more workload reduction.

ABSR = Assistant Based Speech Recognition (= Speech Recognition with Command Hypotheses Generator)

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# Who contributes to PJ.16-04?

## 23 partners from 16 European countries



### PJ.16-04 Team

THALES AIR SYS
ANS CR (B4)
Integra
LPS SR (B4)
ACG/COOPANS
CCL/COOPANS
LFV/COOPANS
Naviair/COOPANS
DFS
ENAIRe
CRIDA
NATS
Avinor ANS
SKYGUIDE
SKYSOFTATM
EUROCONTROL
DLR (AT-One)
FRQ (FSP)
HC (FSP)
SINTEF (NATMIG)
INDRA
ROMATSA
LEONARDO

### Logos of PJ.16 Members



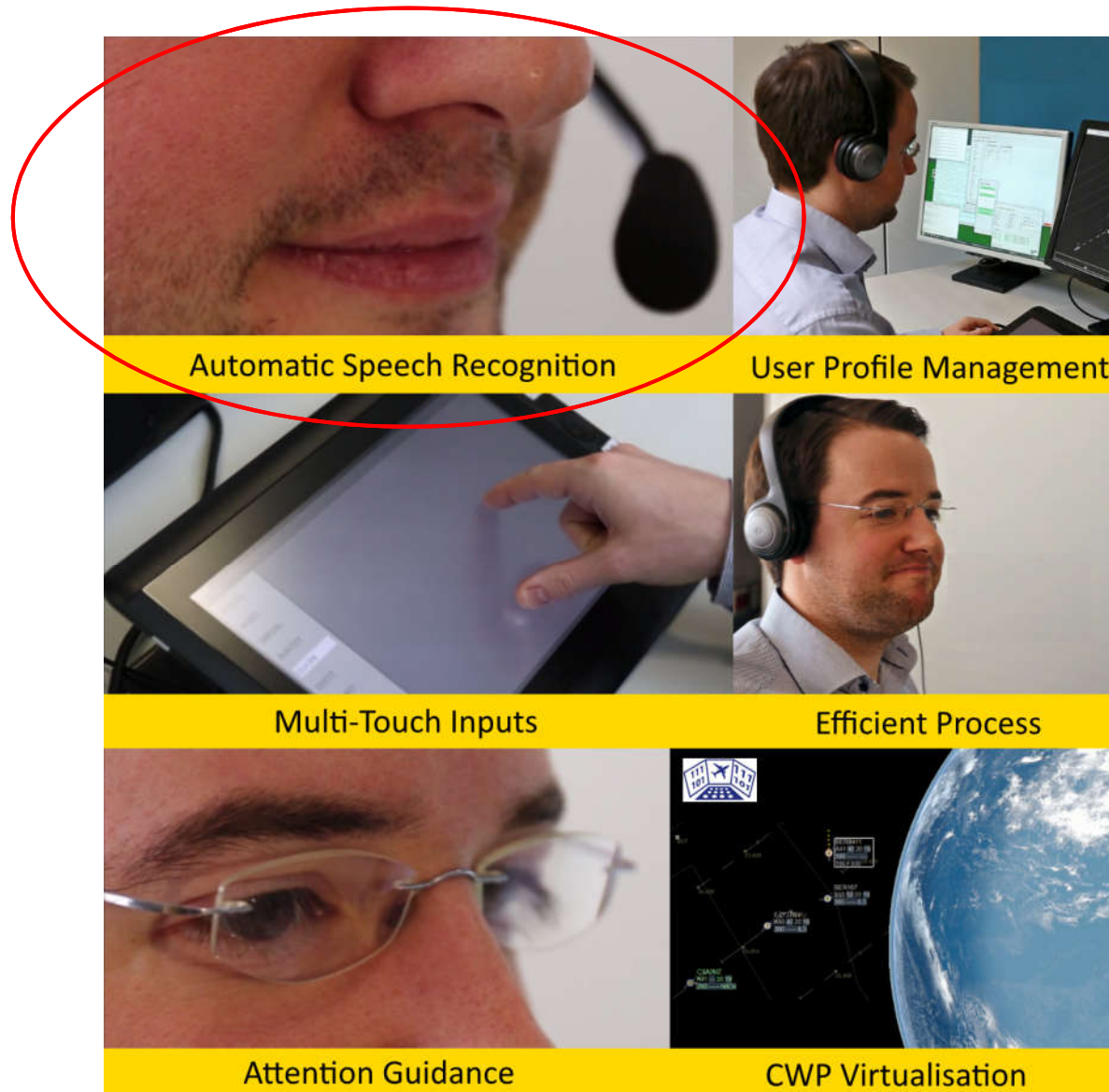
ATM System provider

ANSPs

Research and Consulting Institutes

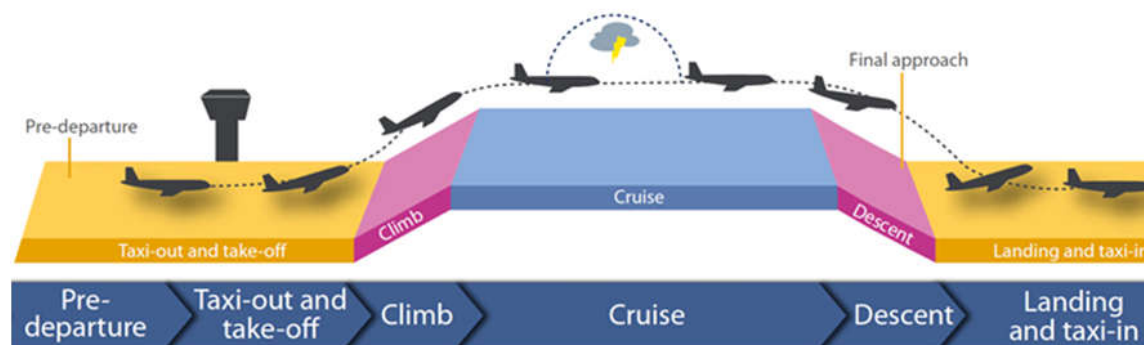


## Activities in PJ.16-04



# ASR Exercises of PJ.16-04

No Learning planned  
Everything manually  
adapted



Tower	APP	En-Route	APP	Tower
-------	-----	----------	-----	-------

From UFA (US)

Munich Approach (DFS / PJ.10-02b / DFS Simulator)
------------------------------------------------------

From Nuance (US)

Prague Approach – (Vienna Approach) (THALES / DLR / ANS-CR / INTEGRA / Coopans / PJ.16-04 internal / SHAPE Sim)
--------------------------------------------------------------------------------------------------------------------

From EML/IBM (US)

Madrid FIR (Route/TMA) (CRIDA / ENAIRE / PJ.10-01b / CRIDA Lab)
--------------------------------------------------------------------

No ASR

Hungary Remote Tower Environment (DLR / HUNGAROCONTROL / PJ.05-02 / DLR TowerLab)
--------------------------------------------------------------------------------------

COTS

Germany Remote Tower Environment (FREQUENTIS / DFS / PJ.05-03 / DFS Premises)
----------------------------------------------------------------------------------

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## MALORCA

Machine Learning of Speech Recognition Models for Controller Assistance





# Challenges for Speech Recognition (ASR)

## From MALORCA to IBICA



- Confidence scores for ASR output  
How sure ASR is? Plausibility values for recognized commands and for predicted commands ?
- Hesitation/Uncertainty/Aeh detection  
Controller certainty, professionalism, performance analysis, good phraseology
- Pilot Recognition  
Different accents, cockpit noise, more phraseology deviations
- Learning of Statistical-Language models and phraseology (deviations)  
Not changing the controller
- Standardization  
Exchanging of transcriptions  
Ontology of PJ.16-04 for ASR output? Data exchange between different partners? Agreed interfaces?
- Real-time Speech Recognition  
Online versus offline decoding? First output to controller before releasing push-to-talk-button
- Needed Performance :  
80% enough? 1% of Error Rate? Which rates are really needed or which prize?
- Safety Issues  
Speech Recognizer is shut off? Over trusting in ASR? Bad ASR performance can increase workload and cause safety issue?

# Challenges for Machine Learning

## From MALORCA to IBICA



- Introspective Learning Algorithm, trust, self-diagnosis

Problem out of problem area, result out of envelope, early integration of regulators

- Daily Learning and Update

When installing new version, Using 1000s of hours

- Learning directly in ops rooms also for data privacy

Voice and radar data stays in ops room, only learned models (abstraction) leave ops room

- Other Input Modalities as second sensor

ADS-B, Mode-S, Electronic Flight Strips,

- Automatic Radar Data processing resp. other second sensors

Parallel processing of offline data, more than real time needed for pre-processing

- Artificial Data

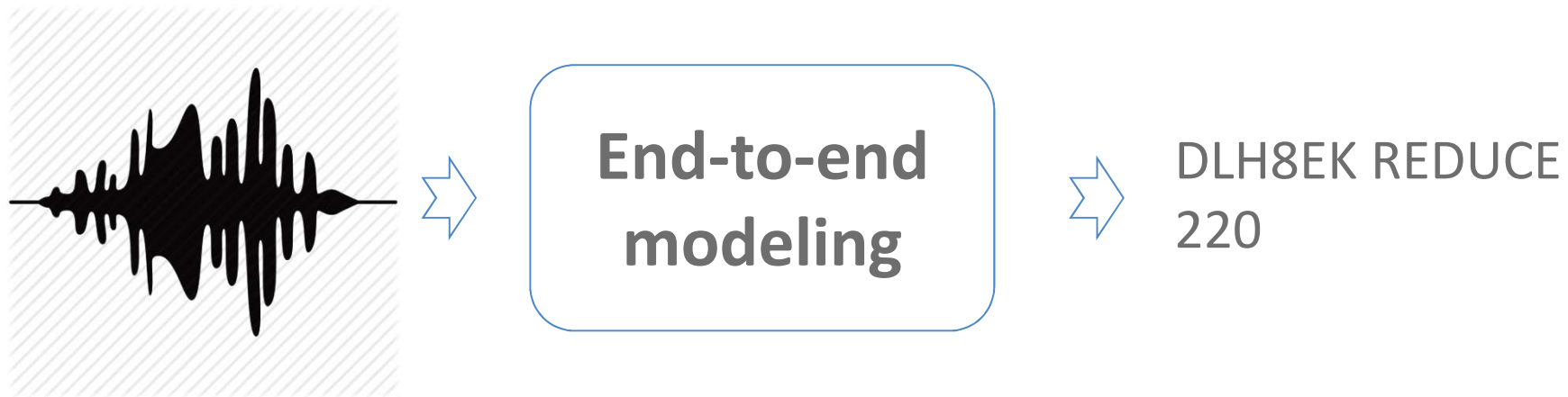
There is no data, than more data, artificial is even cheaper, image recognition disturbs images or avoids labels by synthetic data

- End-to-end-Learning

Direct learning Commands from features, without indirection of phoneme and word learning

# What else: End-to-end modeling

## Application of speech+other data from daily recordings



# Benefiting Applications from MALORCA's Speech Recognition and Learning Competence



## Individual Controller Education

- Immediate feedback after shift/simulation run

## Holistic Workload Assessment and Prediction

- Monitoring and Planning tool for supervisors

## Permanent **Online Learning** (in more Complex Areas / Environments)

- Training on daily basis directly in the ops room

## Machine Learning for Controller Assistant Tool Configuration and Maintenance

- Reducing xMAN deployment and maintenance costs, they are used and not only bought

# Contents

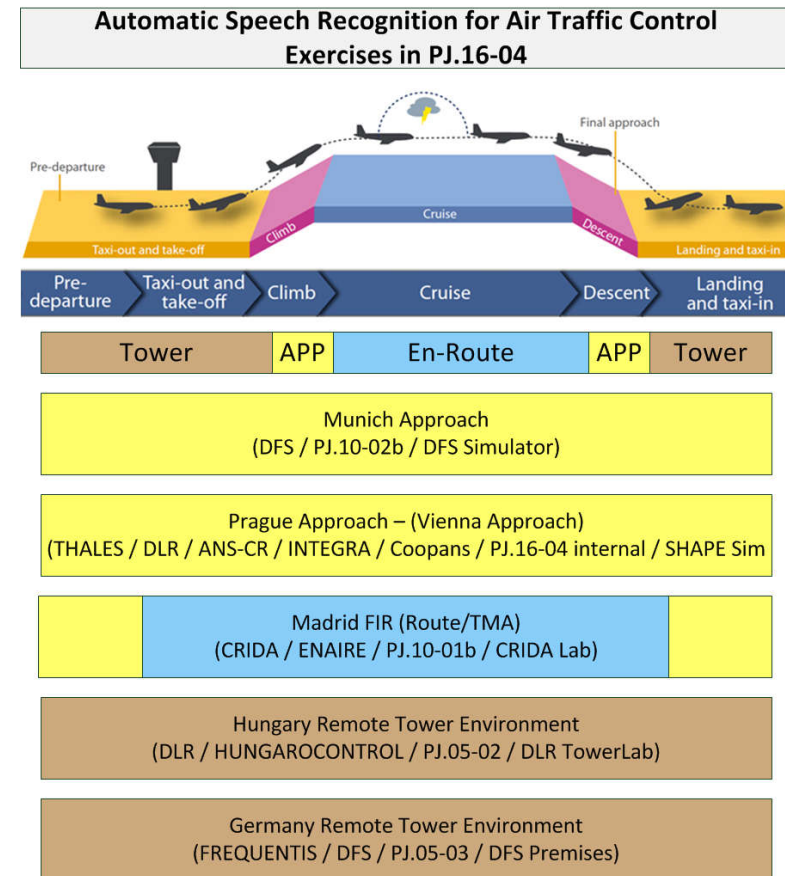


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# European competence

- 16.04 DFS task: ASR system is from UFA (US)
- 16.04 CRIDA/ENAIRES task: ASR system is from EML/IBM (US)
- 16.04 Frequentis task: ASR system not chosen yet (COTS)
- 16.04 task in Rungis: ASR system is from Nuance (US)



- MALORCA's ASR is based on KALDI which is public domain, plus extensions done in MALORCA and before
- **Competence stays in Europe**

# Conclusions



- **Machine Learning** of Acoustic Model, Language Model, Command Prediction Model is possible
- Command Recognition Rate improves from 80% to 92% (Prague) resp. from 60% to 85% (Vienna)

Command Hypotheses Generator plus Checker improves (Context integration)

- Command Recognition Rate from 85.7% to 91.8% (Prague)
- Command Recogn. Error Rate from 6.7% to 0.6% (Prague)

No safety issues were observed.

**Machine Learning** can ease Adaptation and Maintenance of ATC tools (e.g. adaptation of an AMAN).



# MALORCA's competence



- Machine Learning (Big Data)
  - Automatic Speech Recognition
  - Air Traffic Management
  - Integration of new technologies into the ATM world
  - Project Management
- 
- Besides “algorithmic” competence MALORCA has data competence on board, i.e. **MALORCA team has access to real data.**
  - Different to PJ-16-04 **MALORCA works on data from the ops room**

Promising further applications for machine learning and Automatic Speech Recognition exists.

MALOR**CA** team is ready for IB**ICA**.



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# Thank you very much for your attention!



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Founding Members

