

DECISION
ADB(D) 17-2015

**Budget transfers between Titles and Chapters
and Revised Budget 2015**

THE ADMINISTRATIVE BOARD OF THE SESAR JOINT UNDERTAKING (SJU),

Having regard to:

- Article 5(c) of the SJU Statutes annexed to Council Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation of the European Air Traffic Management system (SESAR) as modified by Council regulation (EC) No 1361/2008 of 16 December 2008 and as last amended by Council Regulation (EU) No 721/2014 of 16 June 2014, hereafter the “SJU Regulation”;
- Article 26.1 of the SJU Financial Rules adopted by the Administrative Board on 25 June 2015, which establishes that “*the Executive Director may transfer appropriations: (a) from one title to another up to a maximum of 10 % of the appropriations for the year shown on the line from which the transfer is made; (b) from one chapter to another and from one article to another without limit*”;
- Article 26.2 of the aforementioned SJU Financial Rules, which establishes that “*beyond the limit referred in paragraph 1, the Executive Director may propose to the Administrative Board transfers of appropriations from one title to another. The Administrative Board shall have three weeks in which to oppose such transfers. After this time-limit they shall be deemed to be adopted*”;
- Article 2 of Decision ADB(D)10-2015 on the Final Annual Accounts 2014, in which the Administrative Board confirms the authorization to the Executive Director to inscribe the Budget Outturn 2014 in terms of commitments and payments in the Budget 2015 of the SJU and make use of it in accordance with the Budget 2015 and Annual Work Programme 2015, and in which the Administrative Board requests the Executive Director to submit a Revised Budget 2015 including the Budget Outturn 2014 by the next Board meeting;
- The Annual Work Programme 2015 Amendment n.1;

Whereas:

- In order to ensure the adequate management of the budget appropriations, the Executive Director authorized budget transfers within the limits defined in Article 26.1 of the SJU Financial Rules;
- There is the need to amend the Budget 2015 in order to allocate available appropriations on specific budget lines;
- The Budget 2015 adopted by the Administrative Board in December 2014 reserved €25.0 million in Payment Appropriations for the call for “Final Membership Applications” and for the “Call for

Proposals for SESAR 2020 Transversal Activities, Industrial Research & Validation and Very large Scale Demonstrations”;

- The opening of the above mentioned calls had to be postponed and as a result EUR 25.0 million Payment Appropriations initially budgeted for Pre-Financing payments in 2015 will not be needed in the budget year 2015 and can be covered by Budget 2016 Payment Appropriations;
- Estimations on expected Pre-Financing payments for the first call related to Exploratory Research has been updated during the evaluations of the call proposals;
- It is necessary to revise the 2015 contributions from the EU in order to include EFTA contributions,

in its ordinary meeting of 15 December 2015, **HAS DECIDED AS FOLLOWS:**

Article 1
(Budget transfers SESAR1)

The Administrative Board takes note of the following budget transfers between Chapters and/or Titles until 15 November 2015 within the Authority of the Executive Director:

- EUR 19.500 from Chapter 2.4 (“Postage and Telecommunications”) to Chapter 3.1 (“Studies...by the SJU”) for additional costs related to experts for the evaluation of calls for proposals;
- EUR 60.000 from Chapter 1.1 (“Staff Expenditure...”) to Chapter 1.2 (“Contract Agents...”) for additional costs related to Interim Staff.

Article 2
(Budget revision and Outturn SESAR1)

For the Programme SESAR 1 (Section 1 of the Revised Budget), the Administrative Board takes note and adopts the following budget changes proposed by the Executive Director with respect to the Budget 2015 adopted by the Administrative Board on 11 December 2014:

1. Statement of Revenue (Section 1)

- In accordance with ADB(D)10-2015, alignment of the Budget Outturn 2014 to the actual figures of the Final Annual Accounts 2014 (additional EUR 77.745 for commitment appropriations and EUR 21.436.603 for payment appropriations);

2. Statement of Expenditure (Section 1)

- An increase of EUR 21.436.603 from the Budget Outturn 2014 to ensure that revenue and payment expenditure are in balance.

Article 3

(Budget SESAR2020)

For the Programme SESAR2020 (Section 2 of the Revised Budget), the Administrative Board takes note and adopts the following budget changes proposed by the Executive Director with respect to the Budget 2015 adopted by the Administrative Board on 11 December 2014:

1. Statement of Expenditure (Section 2)

- Decrease of the Payment Appropriations related to Chapter 3.3 (“Studies...by the Members”) for EUR 24.736.000 due to the postponed launch of the SESAR2020 IR call;
- Increase of the Payment Appropriations related to Chapter 3.1 (“Studies...by the SJU”) for EUR 300.000 for the updated estimation of Pre-Financing amounts related to the SESER2020 Exploratory Research call;
- Increase Commitment Appropriations related to Chapter 3.3 (“Studies...by the Members”) for EUR 1.470.000 EUR to include EFTA contributions;

2. Statement of Revenue (Section 2)

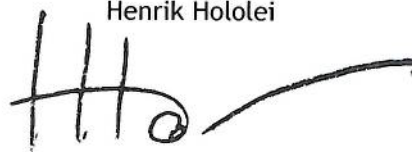
- Decrease of Revenues from EU Horizon 2020 Funds for EUR 24.736.000 to ensure that revenue and payment expenditure are in balance;
- Increase of Revenue-Commitments from EU by EUR 1.470.000 to include EFTA contributions.

This decision shall enter into force on the date of its adoption.

Done in Brussels, 15 December 2015.

For the Administrative Board

The Chairperson
Henrik Hololei



SESAR JOINT UNDERTAKING

Revised Budget 2015 (Section 1 - SESAR1)

STATEMENT OF REVENUE

all figures in Euro

Title / Chapter	Commitment Appropriations				Payment Appropriations			
	Budget 2015 voted by ADB	Transfers within the ED authority	Budget outturn 2014+ BDG Revision	Revised Budget 2015	Budget 2015 voted by ADB	Transfers within the ED authority	Budget outturn 2014+ BDG Revision	Revised Budget 2015
1. European Union Contribution					82.800.000			82.800.000
1.1 7th Research and Dev. Framework Programme					43.800.000			43.800.000
1.2 Tran-European Network Programme					39.000.000			39.000.000
2. Contribution from Eurocontrol	20.558.736			20.558.736	18.018.148			18.018.148
2.1 contribution in cash	20.558.736			20.558.736	18.018.148			18.018.148
3. Contributions from Other Members	4.246.361			4.246.361	4.246.361			4.246.361
3.1 contribution in cash	4.246.361			4.246.361	4.246.361			4.246.361
4. Other Revenue	100.000			100.000	100.000			100.000
4.1 Revenue From Interests Yielded	100.000			100.000	100.000			100.000
4.2 Revenue From Taxes Recovered								
4.3 Programme revenues from non Members								
4.4 Exceptional Revenues - Decommittments								
4.5 Interest to be returned to the EU								
Budget Outturn previous year	14.455.667		77.745	14.533.412			21.436.603	21.436.603
TOTAL REVENUE	39.360.764		77.745	39.438.509	105.164.509		21.436.603	126.601.112

STATEMENT OF EXPENDITURE

all figures in Euro

Title / Chapter	Commitment Appropriations				Payment Appropriations			
	Budget 2015 voted by ADB	Transfers within the ED authority	Budget outturn 2014+ BDG Revision	Revised Budget 2015	Budget 2015 voted by ADB	Transfers within the ED authority	Budget outturn 2014+ BDG Revision	Revised Budget 2015
1. Staff Expenditure	5.980.000			5.980.000	5.980.000			5.980.000
1.1. Staff Expenditure As Per Staff Establish. Plan	4.380.000	(60.000)		4.320.000	4.380.000	(60.000)		4.320.000
1.2. Contract Agents, Interim Staff	560.000	60.000		620.000	560.000	60.000		620.000
1.3. Secondments From Members	165.000			165.000	165.000			165.000
1.4. Seconded National Experts	180.000			180.000	180.000			180.000
1.5. Mission Costs	500.000			500.000	500.000			500.000
1.6. Other Staff Expenditure	195.000			195.000	195.000			195.000
2. Administrative Expenditure	3.278.000	(19.500)		3.258.500	3.278.000	(19.500)		3.258.500
2.1. Rental Of Buildings And Associated Costs	950.000			950.000	950.000			950.000
2.2. Movable Property And Associated Costs	128.000			128.000	128.000			128.000
2.3. Pr And Events	330.000			330.000	330.000			330.000
2.4. Postage And Telecommunications	195.000	(19.500)		175.500	195.000	(19.500)		175.500
2.5. Administrative Board Expenditure	20.000			20.000	20.000			20.000
2.6. Current Administrative Expenditure	291.000			291.000	291.000			291.000
2.7. It Expenditure And Technical Facilities	1.270.000			1.270.000	1.270.000			1.270.000
2.8. Administrative Support Services	94.000			94.000	94.000			94.000
3. Operating Expenditure	20.971.774	19.500		20.991.274	95.906.509	19.500	21.436.603	117.362.612
3.1. Studies/Development Conducted By The SJU	20.971.774	19.500		20.991.274	49.199.722	19.500		49.219.222
3.2. Studies/Development Conducted By EUROCONTROL							21.436.603	
3.3. Studies/Development Conducted By The Members					46.706.787			68.143.390
TOTAL EXPENDITURE	30.229.774			30.229.774	105.164.509		21.436.603	126.601.112
BALANCE OF BUDGET OUTTURN	9.130.990		77.745	9.208.735				

Annex I - In-Kind Contribution & Expenditure (SESAR1)

IN-KIND CONTRIBUTION

all figures in Euro

<u>Title / Chapter</u>	Commitment Appropriations			
	Budget 2015 <i>voted by ADB</i>	Transfers within the ED authority	Budget outturn 2014+ BDG Revision	Revised Budget 2015
1. European Union Contribution				
1.1 7th Research and Dev. Framework Programme				
1.2 Tran-European Network Programme				
2. Contribution from Eurocontrol	63.835.000			63.835.000
2.1 contribution in cash				
2.2 contribution in kind	63.835.000			63.835.000
3. Contributions from Other Members				
3.1 contribution in cash				
3.2 contribution in kind				
4. Other Revenue				
4.1 Revenue From Interests Yielded				
4.2 Revenue From Taxes Recovered				
4.3 Programme revenues from non Members				
4.4 Exceptional Revenues				
4.5 Interest to be returned to the EU				
Budget Outturn previous year				
TOTAL REVENUE	63.835.000			63.835.000

IN-KIND EXPENDITURE

all figures in Euro

<u>Title / Chapter</u>	Commitment Appropriations			
	Budget 2015 <i>voted by ADB</i>	Transfers within the ED authority	Budget outturn 2014+ BDG Revision	Revised Budget 2015
1. Staff Expenditure				
1.1 Staff Expenditure As Per Staff Establish. Plan				
1.2 Contract Agents, Interim Staff				
1.3 Secondments From Members				
1.4 Seconded National Experts				
1.5 Mission Costs				
1.6 Other Staff Expenditure				
2. Administrative Expenditure				
2.1 Rental Of Buildings And Associated Costs				
2.2 Movable Property And Associated Costs				
2.3 Pr And Events				
2.4 Postage And Telecommunications				
2.5 Administrative Board Expenditure				
2.6 Current Administrative Expenditure				
2.7 It Expenditure And Technical Facilities				
2.8 Administrative Support Services				
3. Operating Expenditure	63.835.000			63.835.000
3.1. Studies/Development Conducted By The SJU				
3.2. Studies/Development Conducted By EUROCONTROL	63.835.000			63.835.000
3.3. Studies/Development Conducted By The Members				
TOTAL EXPENDITURE	63.835.000			63.835.000
BALANCE OF BUDGET OUTTURN				

Annex II - Total (SESAR1)

REVENUE (Cash and In-Kind)

all figures in Euro

<u>Title / Chapter</u>	Commitment Appropriations			Revised Budget 2015
	Budget 2015 <i>voted by ADB</i>	Transfers within the ED authority	Budget outturn 2014+ BDG Revision	
1. European Union Contribution				
1.1 7th Research and Dev. Framework Programme				
1.2 Tran-European Network Programme				
2. Contribution from Eurocontrol	84.393.736			84.393.736
2.1 contribution in cash	20.558.736			20.558.736
2.2 contribution in kind	63.835.000			63.835.000
3. Contributions from Other Members	4.246.361			4.246.361
3.1 contribution in cash	4.246.361			4.246.361
3.2 contribution in kind				
4. Other Revenue	100.000			100.000
4.1 Revenue From Interests Yielded	100.000			100.000
4.2 Revenue From Taxes Recovered				
4.3 Programme revenues from non Members				
4.4 Exceptional Revenues				
4.5 Interest to be returned to the EU				
Budget Outturn previous year	14.455.667		77.745	14.533.412
TOTAL REVENUE	103.195.764		77.745	103.273.509

EXPENDITURE (Cash and In-Kind)

all figures in Euro

<u>Title / Chapter</u>	Commitment Appropriations			Revised Budget 2015
	Budget 2015 <i>voted by ADB</i>	Transfers within the ED authority	Budget outturn 2014+ BDG Revision	
1. Staff Expenditure	5.980.000			5.980.000
1.1. Staff Expenditure As Per Staff Establish. Plan	4.380.000	(60.000)		4.320.000
1.2. Contract Agents, Interim Staff	560.000	60.000		620.000
1.3. Secondments From Members	165.000			165.000
1.4. Seconded National Experts	180.000			180.000
1.5. Mission Costs	500.000			500.000
1.6. Other Staff Expenditure	195.000			195.000
2. Administrative Expenditure	3.278.000	(19.500)		3.258.500
2.1. Rental Of Buildings And Associated Costs	950.000			950.000
2.2. Movable Property And Associated Costs	128.000			128.000
2.3. Pr And Events	330.000			330.000
2.4. Postage And Telecommunications	195.000	(19.500)		175.500
2.5. Administrative Board Expenditure	20.000			20.000
2.6. Current Administrative Expenditure	291.000			291.000
2.7. It Expenditure And Technical Facilities	1.270.000			1.270.000
2.7. It Expenditure And Technical Facilities	94.000			94.000
3. Operating Expenditure	84.806.774	19.500		84.826.274
3.1. Studies/Development Conducted By The SJU	20.971.774	19.500		20.991.274
3.2. Studies/Development Conducted By EUROCONTROL	63.835.000			63.835.000
3.3. Studies/Development Conducted By The Members				
TOTAL EXPENDITURE	94.064.774			94.064.774
BALANCE OF BUDGET OUTTURN	9.130.990		77.745	9.208.735

