



Budget 2012

15 December 2011

Table of Contents

1	LEGAL BASIS	2
2	BACKGROUND	2
3	2011 KEY FACTS	2
4	BUDGET 2012	3
4.1	REVENUES (CASH).....	3
4.2	EXPENDITURE (CASH).....	6
4.2.1	<i>Overview of the contribution to the SJU Running Costs</i>	6
4.2.2	<i>Staff Expenditure (Title 1)</i>	6
4.2.3	<i>Administrative Expenditure (Title 2)</i>	1
4.2.4	<i>Studies and developments carried out by the SJU (Chapter 3.1)</i>	1
4.2.5	<i>Studies & developments carried out by the Members (Chapter 3.3)</i>	2
5	ANNEX I	4
6	ANNEX II	4

1 Legal Basis

This Budget 2012 is established in accordance with Article 27.8 of the SJU Financial Rules approved by the Administrative Board on 31 December 2010.

2 Background

In accordance with Article 27.8 of the SJU Financial Rules the “*the Executive Director shall prepare the draft budget for the following year (N) and submit it to the Administrative Board for adoption. The draft budget shall be submitted to the Administrative Board no later than 30 September of the preceding year (N-1)*”.

Furthermore, in accordance with the SJU Financial Rules, the 2012 Preliminary Draft Budget was submitted to the European Commission and the Budget Authority (the European Parliament and the Council of the European Union) at the end of March 2011, together with the Multi-Annual Staff Establishment Plan 2012-2014. The amounts indicated in the present document in terms of European Union revenue commitments and payments are extracted from the European Commission Preliminary Draft Budget and are still subject to the approval of the Budget Authority.

This Budget 2012 was initially submitted on 30 September 2011 and revised in view of its approval at the Administrative Board meeting on 15 December 2011.

This Budget 2012 contains all the estimated revenues, expenditures and staff resources needed to be committed and paid calculated on the basis of the information available at the end of November 2011. Compared to the Draft Budget 2012, it takes into account the updated estimates submitted by Eurocontrol, the final figures of the proposed IBAFO I and II reallocation and a revised SJU Organization Chart.

3 2011 Key Facts

The AWP 2012 contains a more detailed summary of the 2011 activities and the Draft Annual Activity Report 2011 that will be submitted to the Administrative Board on 31 January 2012 will contain a detailed picture of 2011. At this stage, the following key facts need to be mentioned:

- a. during 2011, the Programme has entered its full execution phase and almost all Projects have been launched and are effective. Release 1, is well on its way and the results will be presented at the beginning of 2012. Furthermore, in the third quarter 2011, the Members were requested to assess the resources needed to achieve the Programme and propose to the SJU a possible reallocation when needed. This reallocation, within the maximum level of co-financing of each respective Member, has been formalized in a draft amendment to the MFA which is expected to enter into force on 1 January 2012;
- b. with regard to the Programme reporting, the SJU receives from the Members a structured quarterly reporting based on effort consumption. At the end of the third quarter 2011, an under-consumption of resources estimated at 20% is still present and corrective actions are taken to address delivery risks and issues;
- c. WP11.1 (Flight and Wing Operations Centre) procurement process is completed and this sub-WP is now in its initiation phase to be re-aligned and integrated with the rest of the Programme. WP11.2 (Meteorological Information Services) after the unsuccessful result of the initial call for tender was re-launched in June 2011 in the form of a negotiated procedure always by Eurocontrol on behalf of the SJU. The activities are expected to start fully at the beginning of 2012;
- d. in accordance with the AWP 2011, the SJU launched a call for the “Associate Members of the SJU” for a maximum amount of co-financing of EUR 10 million. As a result of the procedure,

10 framework partnership agreements were awarded covering 5 out of the 6 lots of the call. Activities are expected to be implemented as of 2012;

- e. the activities in preparation of the launch of the European ATM Master Plan update are ongoing, the kick-off event took place on the 5th October in order to have the update submitted for approval to the SJU Administrative Board at its meeting in June 2012;
- f. almost all 2011 administrative and financial objectives are already achieved, although the assessment process of the Members' Interim Financial Statements 2010 is still ongoing due to the late submission of most of them. Furthermore, during 2011 the first 5 Project Audits were carried out (three by Ernst and Young under the supervision of the SJU Project Auditors and two directly by them). No issue brought in the Project Audit results is of such a materiality to be brought up at the attention of the Administrative Board;
- g. the overall SJU recruitment process is almost completed. Nevertheless, due to the arrival to an end of several contracts and for different personal reasons the SJU is currently recruiting 8 staff members of which 1 Liaison Officer, 1 Internal Auditor and 4 operational staff. In addition a 2 SNEs are still vacant. The Budget is established on the assumption that all positions will be filled in by the beginning of 2012;
- h. during the first 10 months of 2011, the SJU has disbursed EUR 5.2 million in terms of pre-financing to different SJU Members, bringing the pre-financing cumulative amount to EUR 112.2 million, and EUR 40.3¹ million in terms of co-financing.

4 Budget 2012

In line with the SJU's Financial Rules, the budget consists of the statements of revenue and expenditure, together with the staff establishment plan as well as a summary of the schedule of payments due in the subsequent years.

The document is presented in accordance with the SJU Financial Rules. In this respect, the revenue and expenditure related to the in kind contributions of the SJU Members are reported in an annex to the main Budget document (Annex I), while Annex II summarizes the total revenue and expenditure of the SJU (cash and in kind revenues and expenditures).

4.1 Revenues (cash)

The revenues of the SJU come from contributions from its Members and other revenues.

European Union (Title 1)²

- a. The commitment appropriations from the funds of the 7th Framework Programme for Research and Development (hereinafter FP7) amount to EUR 230.0 million at the end of 2011 and to EUR 300.0 million from the funds of the multi-annual budget of the Trans European Networks-T (hereinafter TEN-T).

During 2012, the EU is expected to commit resources for EUR 110.1 million, of which EUR 60.1 million from FP7 and EUR 50 million from TEN-T funding. The cumulated amount of commitment appropriations to the Joint Undertaking at the end of 2012 is estimated at EUR 640.1 million corresponding to 91.4% of the funding needed for the Programme.

¹ Of which EUR 22.7 million under execution

² Subject to the adoption of the budget 2012 by the Budgetary Authority without modifications of the appropriations foreseen on the corresponding budget lines in the draft budget 2012 or the availability of appropriations in 2012 under the rules of provisional twelfths referred to in Article 315 of TFEU

- b. In terms of payment appropriations, it is expected that the EU will contribute with additional EUR 46.2 million in terms of FP7 funds and with EUR 45.0 million as TEN-T funds. During 2011, considering the request of pre-financing received from its Members and applying the principle of rigorous cash management, the SJU is considering limiting its requests of funding from the EU at EUR 40.0 million. These amounts will be paid net of the interest that the SJU yielded on the EU funds in 2010 for a total of EUR 0.4 million and which have to be refunded to the EU.

In order to identify the amount of the interests to be refunded to the EU, the new budget line 4.4 has been added. Budget line 4.1 will contain the full amount of the interests yielded by the SJU on its funds, while the Budget line 4.4 will show the amount to be refunded to the EU. The net amount will not change.

The General Agreement between the EU and the SJU governs all the provisions related to the payment requests from the SJU to the EU, where the SJU, for a maximum period of 6 months, shall justify the use of the previous resources, the planning of the expenditure for the next 6 months as well as a detailed description of the achievements in relation to the activities of the Annual Work Plan.

With regard to the EU contribution to the SJU Running Expenditure (Title 1 and 2) which is limited to EUR 35 million, please refer to section 4.2.1.

Revenue Commitments	2008 <i>actual</i>	2009 <i>actual</i>	2010 <i>actual</i>	2011 <i>budget</i>	2008 - 2011 <i>Total</i>	2012 <i>budget</i>	2008 - 2012 <i>Total</i>
FP7	60,0	55,0	55,0	60,0	230,0	60,1	290,1
	-	-	-	-		-	
TEN-T	200,0	-	50,0	50,0	300,0	50,0	350,0
Total	260,0	55,0	105,0	110,0	530,0	110,1	640,1

Revenue Payment received	2008 <i>actual</i>	2009 <i>actual</i>	2010 <i>actual</i>	2011 <i>budget</i>	2008 - 2011 <i>Total</i>	2012 <i>budget</i>	2008 - 2012 <i>Total</i>
FP7	25,0	13,6	22,0	48,8	109,4	46,2	155,5
	-	-	-	-		-	
TEN-T	85,9	14,1	19,0	47,7	166,7	45,0	211,7
Total	110,9	27,7	41,0	96,5	276,1	91,2	367,2

Eurocontrol (Title 2)

- a. During 2012, EUROCONTROL is expected to contribute to the SJU for an estimated value of EUR 14.4 million:
- EUR 3.0 million cash to co-finance WPs C, 7, 13 and 16,
 - EUR 3.7 million for WP 11,
 - EUR 5.1 million for WP E,
 - EUR 1.5 million related to Military, Professional Staff Associations and Airspace Users,
 - EUR 1.1 million related to the IT and administrative support provided to the SJU.

Revenue Commitments	2008 <u>actual</u>	2009 <u>actual</u>	2010 <u>actual</u>	2011 <u>budget</u>	2008 - 2011 <u>Total</u>	2012 <u>budget</u>	2008 - 2012 <u>Total</u>
Cash	10,0	9,0	11,6	20,3	50,9	14,4	65,3
In Kind	-	8,1	52,3	69,8	130,2	74,8	205,0
Total	10,0	17,1	63,9	90,1	181,1	89,2	270,3

To be recognized (accruals - recognition)

Cash	0,7	8,7	2,0	-	11,4	-	11,4
In Kind	0,3	13,2	21,7	-	35,2	-	35,2

Revenue Payment received	2008 <u>actual</u>	2009 <u>actual</u>	2010 <u>actual</u>	2011 <u>actual</u>	2008 - 2011 <u>Total</u>	2012 <u>budget</u>	2008 - 2012 <u>Total</u>
Cash	10,0	9,0	11,6	20,3	50,9	14,4	65,3

Other Members (Title 3)

The other Members have to pay the second instalment of the cash contribution, for a total of EUR 4.4 million, corresponding to 1/7th of 5% of the Members' net in-kind contributions related to IBAFO I and II.

Other revenues (Title 4)

The other revenues include interests yielded by the cash contributions paid to the SJU, net of the amount of interests matured on the EU contributions that has to be paid back to the Commission (see section 4.1.b above).

4.2 Expenditure (cash)

4.2.1 Overview of the contribution to the SJU Running Costs

Running Expenditure Commitments	<i>Actual</i> 2007-2008	<i>Actual</i> 2009	<i>Actual</i> 2010	<i>Budget</i> 2011	<i>Bdg</i> 2012	<i>Total</i> 2007 - 2012
Title 1	3,2	4,0	5,0	5,9	6,1	24,2
Title 2	1,5	3,9	3,0	3,2	3,3	14,9
Total	4,7	7,9	8,0	9,1	9,4	39,1

Funded with

EU	FP7	4,0	6,0	-	-	3,4	13,4
	TEN-T	-	-	-	-	-	-
	Total	4,0	6,0	-	-	3,4	13,4
Eurocontrol		0,7	1,9	8,0	1,3	1,1	13,0
Other Members		-	-	-	7,8	4,9	12,7
Total		4,7	7,9	8,0	9,1	9,4	39,1

4.2.2 Staff Expenditure (Title 1)

This title includes the expenditure related to the SJU personnel in the broad sense of the term, covering the SJU staff under EU Staff Regulations (temporary and contractual agents, respectively chapters 1.1 and 1.2), the staff seconded to the SJU by its Members (chapter 1.3) and SNEs (chapter 1.4) together with the associated costs (missions, training, contingencies, etc – chapters 1.5 and 1.6).

In accordance with the Multi-Annual Staff Policy Plan 2012-2014, authorized by the Budgetary Authority and endorsed by the ADB with written procedure, the staff establishment plan 2012 of the SJU includes 39 posts, with the following changes compared to the previous year:

- the change of an operational position AD 8 in the new position of Liaison Officer AD 10, in order to benefit of the necessary expertise to perform the liaison function between the SJU and the FAA, in particular, but also other relevant bodies in the USA. In certain cases, the Liaison Officer may be required to provide representation to the EU and Eurocontrol, under the overall supervision of the SJU Executive Director;
- the reduction of the Chief Corporate Communication position from AD 11 to AD 10 following the resignation of the incumbent officer on 30.09.11. This change re-aligns the position to the initial Staff Establishment Plan of the SJU submitted to the Budget Authority in 2009 and compensate for the change in grade of the Liaison Officer position.

These changes are performed subject to the approval of the Administrative Board of the present Budget 2012, in accordance with Article 32 of the SJU Financial Rules.

The Budget 2012 is estimated based on the assumption that the 39 positions will be fully occupied during the all year.

SJU STAFF
Executive Director
Director Administration and Finance
Chief Technology and Innovation
Chief ATM
Chief Regulatory Affairs
Chief Corporate Communication
Chief Economics and Environment
Advisor to the Executive Director
Senior Advisor for Military Affairs
Head Legal affairs and contracts sector
Head of Finance and Budget sector
Hd Validation/Verification
Hd ATM Systems
Systems Engineer
Liaison Officer FAA USA
Legal & contract Adviser
Finance and administration Officer
Environment Officer
Administrator
Hd ConOps
Advisor Validation / Verification
Advisor ConOps
Financial Officer
ATM Systems Engineer
Communication Associate
Economist
HR Legal Officer
Project Auditor
Advisor Finance
Internal Auditor
Senior Programme Management & Quality Expert
Programme Management & Quality Expert
ATM Programme Expert
Human resources Officer
Financial accountant
Administrative Assistant
Legal Officer
Secretary - Programme Director
Secretary to the executive Director
TOTAL

Budget 2012						
GRADE	Tempo Ag	Contr. Agent	Secondment	ENDs	PSO ECTRL	Total
AD14	1					1
AD12	1					1
AD12	1					1
AD12	1					1
AD12	1					1
AD10	1					1
AD10	1					1
AD10	1					1
AD10	1					1
n/a			1			1
AD8	1					1
n/a			1			1
AD8	1					1
AD8	1					1
AD10	1					1
AD7	1					1
AD7	1					1
AD7	1					1
AD7	1					1
n/a			1			1
n/a			1			1
n/a			1			1
AD6	1					1
n/a			1			1
AD5	1					1
AD5	1					1
n/a		1				1
AD5	1					1
n/a						1
n/a			1			1
n/a			1			1
n/a			1			1
AST7	1					1
AST5	1					1
AST3	1					1
n/a		1				1
AST1	1					1
AST1	1					1
	27	3	9		0	39

END
Expert Conops
WPC

						0
				1		1
				1		1

SJU STAFF	Budget 2012						
	GRADE	Tempo Ag	Contr. Agent	Secondment	ENDs	PSO ECTRL	Total
Advisor Institutional Affairs					1		1
TOTAL	0	0	0	0	3	0	3

Here above the details of the positions and the different contract types for each position.

At the end of November 2011, 8 positions are still vacant and it is expected that the recruitment processes still open will be completed during the first quarter of 2012. The open positions are: 4 operational positions, the liaison officer, the internal auditor, the chief corporate communication. In addition to that 1 SNE from Eurocontrol non EU Member States is expected for 2012 as well as the last SNE position (WPC).

The 2011 staff expenditure is estimated at EUR 6.1 million. The staff costs have been determined considering an overall increase of the index of maximum 3.0%.

The SJU management will permanently assess the organisation structure of the SJU to ensure that the resources made available provide reasonable assurance with regard to the sound financial and technical management of the organisation.

With regard to the expenditure for missions (chapter 1.5 - EUR 0,6 million), the SJU has adopted a policy to reduce the costs of the missions giving preference to web-conferencing and in the future, subject to the ADB approval, to video conferencing.

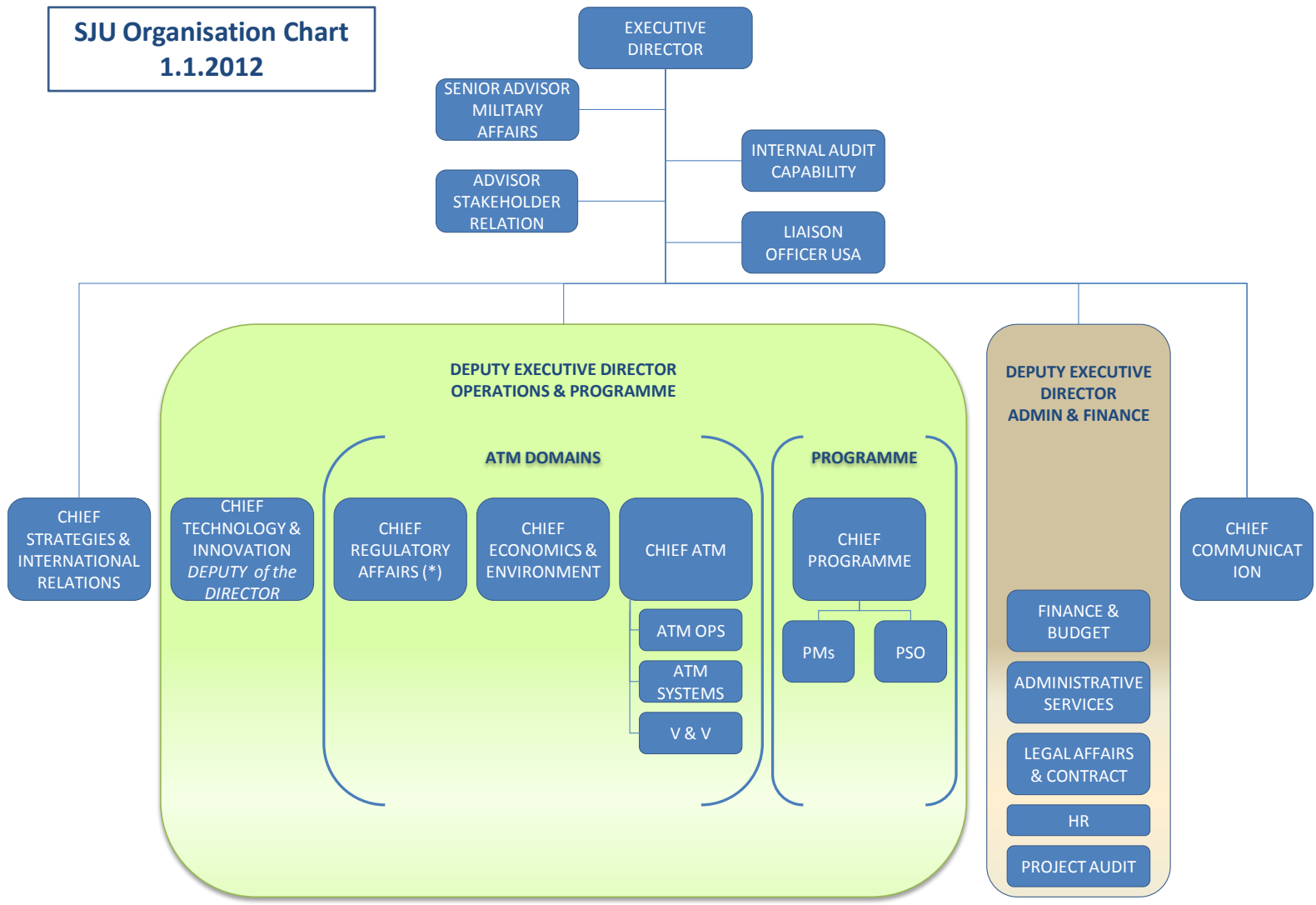
The "Other Staff Expenditure" (chapter 1.6 – EUR 0.2 million) includes the estimated expenditure for training and seminars (EUR 0.1 million), recruitment and related expenditure together with the PMO service fees (EUR 0.07 million).

Furthermore, the SJU Executive Director, taking into account the evolution of the Programme and the need of clearer structure in terms of roles and responsibilities as established a new organizational chart, that with the approval of the Administrative Board, will enter into effect on 1 January 2012.

The new organization chart has not Budget implications due to the fact that the different positions correspond mostly to a redefinition of current job descriptions or lateral transfers; nevertheless it can be expected that there will be transfers between Budget lines, Chapter 1.1 and 1.2 in particular, due to the different contractual arrangements of the SJU Staff. The implementation of all the administrative aspects will be completed during the first quarter 2012; the update of the titles in the Staff Establishment Plan (table above) will be included in the Multi Annual Staff Policy Plan 2013-2015 due by 31 March 2012.

The new organization chart is represented in the following page.

**SJU Organisation Chart
1.1.2012**



(*) Safety Management escalation to E.D.

4.2.3 Administrative Expenditure (Title 2)

The administrative expenditure, core to the support of the smooth running of the Programme and to ensure compliance with the EU regulations, is estimated at EUR 3.3 million, in line with the 2011 Budget.

The main difference in this title is related to chapter 2.6, where an overall budget contingency of EUR 0.1 million is maintained to ensure the capability to face unexpected expenditure. With regard to the rest of the chapters, the Budget reflects the contractual obligations undertaken by the SJU for the adequate support of the Programme.

With regard to the PR activities, in accordance with the PR strategic plan approved by the ADB in 2009, the following will be realized during 2011:

- strong presence at ATC Global – Amsterdam (the worldwide ATM Fair): booth, conferences & educational programmes for stakeholders. This represents around 40% of the communication budget;
- on-going web improvements for better information dissemination;
- publication of key official reports & brochures: annual report, environment report, SESAR brochure, SESAR magazines, SESAR release etc;
- informative campaign towards passengers (leaflet, video-clip)
- multimedia material (educational animations, video-clip).

With regard to the IT Expenditure and Technical Facilities (chapter 2.7), it consists of the following elements:

- *through Eurocontrol as contribution “in cash”*
 - o the hosting of the IT services by an external provider, EUR 0.2 million;
 - o on site assistance, EUR 0.2 million;
 - o licenses, EUR 0.2 million;
 - o project management system (development, maintenance and coordination), EUR 0.6 million
 - o hardware EUR 0.05 million;
 - o contingencies EUR 0.1 million;
- *directly contracted by the SJU*
 - o ABAC, EUR 0.1 million;
 - o Website, EUR 0.1 million.

4.2.4 Studies and developments carried out by the SJU (Chapter 3.1)

The amount of EUR 24.4 million (EUR 44.2 million in terms of payments) estimated for 2012 includes the activities listed below, compared to those budgeted in 2011:

EUR Million	Commitments		Payments
	Budget 2011	Budget 2012	Budget 2012
Demonstration Activities	-	10,0	6,0
Aire expansion (South Atlantic, Europe/US)	4,8	-	-
AIRE Phase 2	-	-	0,6
Airspace Users 1 (contracted by the SJU)	2,0	-	3,4
Airspace Users 2 (Lowcost,...)	-	-	0,5
Airspace Users (***)	-	1,0	1,5
Associates of the SJU	10,0	-	0,4

EUR Million	Commitments		Payments
	Budget 2011	Budget 2012	Budget 2012
Independent Experts	0,3	0,4	0,5
Industrial Support	-	-	11,1
NSA Advisory Group	-	-	0,1
Provision for Military Studies	0,7	-	0,2
Programme Support (Audit, Legal, Programme)	2,5	2,0	2,8
Scientific Committee	0,3	0,1	0,2
ATMPP Strategic Performance Partnership	0,1	0,1	0,1
Security Study	-	1,0	1,0
Ad hoc studies in complement to the core working programme	1,8	-	0,4
Representation of the SJU to the USA (**)	0,3	<i>Bdg lines</i>	<i>Bdg lines</i>
WP11 (***)	7,0	3,7	6,1
WPE (***)	3,5	5,1	8,4
Military and Professional Staff Associations (***)	0,7	0,5	0,4
Total	34,0	23,9	43,7

(**) The SJU representation to the USA is still subject to the finalization of the necessary administrative agreement and final endorsement of the complete proposal by the ADB. In the Budget 2012 the costs are included correctly in Title 1 and Title 2 based on their specific nature.

(***) In accordance with the SJU/Eurocontrol agreements, these are considered Eurocontrol cash contribution.

With particular regard to the Industrial Support, which constitutes the major commitment of the SJU after the IBAFOs, the engineering deployed by the Industrial Support is aiming at ensuring Technical Coherence and a System of Systems approach. The methodology was further fine-tuned during 2010 to better support the projects and the delivery of the SESAR results.

The AWP 2012 provides the necessary details with regard to the studies and developments listed here above.

4.2.5 Studies & developments carried out by the Members (Chapter 3.3)

Taking into considerations the resources available in terms of commitments at the end of 2012, the SJU is in the position to provide an additional commitment to the Members for the realization of the Programme for the maximum amount of EUR 95.8 million. This amount will be allocated to each Member based on their total contribution resulting from the award of the Programme activities as result of IBAFO I and II proportionally. The Annual Work Programme 2012 details the research and development activities which will be carried out in 2012.

PROGRAMME FINANCIALS 9 DECEMBER 2011

WP	Member	Ibaf0		RCA In-Kind	Reallocation		Commitment 2008 - 09.12.11	Pre-fin+ Co-fin 09.12.11	Cost by nature	Ibaf0
		In-Kind	Max Co- financing		In-Kind	Max Co- financing				
Total	aena	72,82	36,41	72,84	72,82	36,41	20,26	9,71	Direct Labour Costs	935,4
	dfs	71,93	35,97	70,75	72,57	35,97	19,51	10,36	Other Direct Costs	117,2
	dsna	67,36	33,68	67,37	67,37	33,68	18,41	9,12	Subcontract	159,4
	enav	70,95	35,48	70,59	71,35	35,48	20,20	9,89	Use of Facilities	21,8
	nats	71,06	35,53	70,53	70,85	35,42	19,97	8,42	Direct Costs	1.233,72
	noracon	64,69	32,34	64,41	64,69	32,34	18,20	4,58	Indirect Costs	424,3
	seac	16,77	8,38	17,55	16,77	8,38	4,76	0,86	Total	1.657,98
	frequentis	31,32	15,66	31,32	31,32	15,66	10,07	5,94	Deductions	(2,5)
	indra	135,59	67,79	135,59	135,59	67,79	37,41	17,35	Total Eligible Costs	1.655,48
	natmig	39,84	19,92	39,91	36,73	18,36	11,16	4,81		
	selex	102,33	51,16	102,21	102,33	51,16	28,38	14,60		
	thales	256,25	128,12	253,04	255,42	127,71	71,75	37,87		
	airbus	108,83	54,41	106,56	108,83	54,41	30,89	16,12		
	alenia	38,62	19,31	37,85	38,62	19,31	10,87	4,34		
	honeywell	40,62	20,31	40,50	40,69	20,31	11,53	5,49		
	Sub Total	1.188,98	594,49	1.181,02	1.185,93	592,42	333,39	159,46		
	eurocontrol	466,50		406,63	446,57					
	Total	1.655,48		1.587,65	1.632,49					

Nota Bene

IBAFO Amounts resulting from IBAFO I and II

RCA the amounts indicated refer to the Revised Contributions for the projects entered in the execution phase at 30.09.11 while for those still in the initiation phase the Ibaf0 amounts are maintained

Reallocation Amounts resulting from IBAFO I and II Reallocation as at 9.12.11.

Commitments

2008 - 2011 commitments as at 9.12.11

Prefin+Cofin Cumulative payments for Pre-Financing and Interim Payments (executed or in the pipeline) as at 9.12.11

5 Annex I

Annex I contains the amounts of estimated in-kind contributions to be committed during 2012.

With regard to EUROCONTROL, the amount of EUR 74.8 million refers to the specific resources dedicated to the Programme, of which EUR 3.6 million for the Programme Support Office staff.

With regard to the other Members, the amount of EUR 95.8 million reflects the commitment in terms of in-kind contributions to be realized during 2012 and future years.

With regard to Chapter 4.3 “Programme revenues from non Members”, this chapter is created to include any possible Programme-related contributions in kind from non Members exceeding the co-financing made available by the SJU.

6 Annex II

Annex II contains the total amounts of cash and in-kind contributions and related costs to be committed during 2012. This annex provides the ADB with the full revenues and costs of the Programme, for the full period as well as for 2011 and 2012.

SE SAR JOINT UNDERTAKING

Budget 2012

STATEMENT OF REVENUE

all figures in Euro

Title / Chapter	Commitment Appropriations				Payment Appropriations			
	Year 2009	Year 2010	Budget 2011	Budget 2012	Year 2009	Year 2010	Budget 2011	Budget 2012
1. European Union Contribution	55.000.000	105.000.000	109.994.680	110.123.600	27.688.789	41.000.000	96.515.649	91.170.000
1.1 7th Research and Dev. Framework Programme	55.000.000	55.000.000	59.994.680	60.123.600	13.552.000	22.000.000	48.825.339	46.170.000
1.2 Tran-European Network Programme		50.000.000	50.000.000	50.000.000	14.136.789	19.000.000	47.690.310	45.000.000
2. Contribution from Eurocontrol	18.372.359	6.166.373	20.300.000	14.456.000	9.000.000	11.625.884	20.300.000	14.456.000
2.1 contribution in cash	18.372.359	6.166.373	20.300.000	14.456.000	9.000.000	11.625.884	20.300.000	14.456.000
3. Contributions from Other Members		3.649.998	4.842.724	4.396.426		3.631.366	4.842.724	4.396.426
3.1 contribution in cash		3.649.998	4.842.724	4.396.426		3.631.366	4.842.724	4.396.426
4. Other Revenue	580.893	352.488	190.000	100.000	2.085.936	(642.211)	190.000	100.000
4.1 Revenue From Interests Yielded	580.893	352.488	790.000	400.000	2.085.936	(642.211)	790.000	400.000
4.2 Revenue From Taxes Recovered								
4.3 Programme revenues from non Members								
4.4 Interest to be returned to the EU			(600.000)	(300.000)			(600.000)	(300.000)
Budget Outturn previous year	256.030.073	14.372.359	13.625.931		115.633.500	86.468.261	39.507.269	
TOTAL REVENUE	329.983.325	129.541.218	148.953.335	129.076.026	154.408.225	142.083.300	161.355.642	110.122.426

STATEMENT OF EXPENDITURE

all figures in Euro

Title / Chapter	Commitment Appropriations				Payment Appropriations			
	Year 2009	Year 2010	Budget 2011	Budget 2012	Year 2009	Year 2010	Budget 2011	Budget 2012
1. Staff Expenditure	3.971.212	4.958.018	5.905.000	6.085.000	3.404.568	3.597.831	5.905.000	6.085.000
1.1. Staff Expenditure As Per Staff Establish. Plan	2.945.225	2.330.928	2.630.000	3.350.000	3.191.315	2.289.436	2.630.000	3.350.000
1.2. Contract Agents, Interim Staff	24.830	290.657	380.000	350.000	21.640	277.192	380.000	350.000
1.3. Secondments From Members	750.000	1.595.858	1.890.000	1.450.000		592.732	1.890.000	1.450.000
1.4. Seconded National Experts	11.735	76.196	150.000	160.000	11.735	76.196	150.000	160.000
1.5. Mission Costs	141.824	465.000	600.000	600.000	125.038	262.453	600.000	600.000
1.6. Other Staff Expenditure	97.598	199.379	255.000	175.000	54.840	99.822	255.000	175.000
2. Administrative Expenditure	3.930.436	3.008.379	3.211.000	3.290.000	1.978.752	3.561.624	3.211.000	3.290.000
2.1. Rental Of Buildings And Associated Costs	1.219.348	716.437	770.500	794.000	1.175.917	635.377	770.500	794.000
2.2. Movable Property And Associated Costs	97.276	14.560	50.000	50.000	16.497	58.121	50.000	50.000
2.3. Pr And Events	347.296	289.996	300.000	300.000	372.657	379.654	300.000	300.000
2.4. Postage And Telecommunications	86.315	199.387	175.000	180.000	54.355	156.789	175.000	180.000
2.5. Administrative Board Expenditure	15.849	31.000	30.000	30.000	12.950	17.044	30.000	30.000
2.6. Current Administrative Expenditure	328.195	101.257	298.500	340.000	331.083	59.583	298.500	340.000
2.7. It Expenditure And Technical Facilities	1.836.157	1.539.088	1.462.000	1.500.000	15.293	2.164.411	1.462.000	1.500.000
2.8. Administrative Support Services		116.654	125.000	96.000		90.645	125.000	96.000
3. Operating Expenditure	307.709.318	109.806.944	139.837.335	119.701.026	62.556.644	77.740.814	152.239.642	100.747.426
3.1. Studies/Development Conducted By The SJU	63.965.498	13.881.544	34.000.000	23.913.000	8.436.056	15.855.910	36.998.705	43.748.366
3.2. Studies/Development Conducted By EUROCONTROL	7.000.000					6.297.147		
3.3. Studies/Development Conducted By The Members	236.743.820	95.925.400	105.837.335	95.788.026	54.120.588	55.587.757	115.240.937	56.999.060
TOTAL EXPENDITURE	315.610.966	117.773.341	148.953.335	129.076.026	67.939.964	84.900.269	161.355.642	110.122.426
BALANCE OF BUDGET OUTTURN	14.372.359	11.767.877			86.468.261	57.183.031		

Annexe I - In-Kind Contribution & Expenditure

IN-KIND CONTRIBUTION

all figures in Euro

<u>Title / Chapter</u>	Commitment Appropriations			
	Year 2009	Year 2010	Budget 2011	Budget 2012
1. European Union Contribution				
1.1 7th Research and Dev. Framework Programme				
1.2 Tran-European Network Programme				
2. Contribution from Eurocontrol	20.100.000	52.250.000	69.800.000	74.805.000
2.1 contribution in cash				
2.2 contribution in kind	20.100.000	52.250.000	69.800.000	74.805.000
3. Contributions from Other Members	236.743.820	95.925.400	105.837.335	95.788.026
3.1 contribution in cash				
3.2 contribution in kind	236.743.820	95.925.400	105.837.335	95.788.026
4. Other Revenue				
4.1 Revenue From Interests Yielded				
4.2 Revenue From Taxes Recovered				
4.3 Programme revenues from non Members				
4.4 Interest to be returned to the EU				
Budget Outturn previous year				
TOTAL REVENUE	256.843.820	148.175.400	175.637.335	170.593.026

IN-KIND EXPENDITURE

all figures in Euro

<u>Title / Chapter</u>	Commitment Appropriations			
	Year 2009	Year 2010	Budget 2011	Budget 2012
1. Staff Expenditure				
1.1 Staff Expenditure As Per Staff Establish. Plan				
1.2 Contract Agents, Interim Staff				
1.3 Secondments From Members				
1.4 Seconded National Experts				
1.5 Mission Costs				
1.6 Other Staff Expenditure				
2. Administrative Expenditure				
2.1 Rental Of Buildings And Associated Costs				
2.2 Movable Property And Associated Costs				
2.3 Pr And Events				
2.4 Postage And Telecommunications				
2.5 Administrative Board Expenditure				
2.6 Current Administrative Expenditure				
2.7 It Expenditure And Technical Facilities				
2.8 Administrative Support Services				
3. Operating Expenditure	256.843.820	148.175.400	175.637.335	170.593.026
3.1 Studies/Development Conducted By The SJU				
3.2 Studies/Development Conducted By EUROCONTROL	20.100.000	52.250.000	69.800.000	74.805.000
3.3 Studies/Development Conducted By The Members	236.743.820	95.925.400	105.837.335	95.788.026
TOTAL EXPENDITURE	256.843.820	148.175.400	175.637.335	170.593.026
BALANCE OF BUDGET OUTTURN				

Annexe II - Total

REVENUE (Cash and In-Kind)

all figures in Euro

<u>Title / Chapter</u>	Commitment Appropriations			
	Year 2009	Year 2010	Budget 2011	Budget 2012
1. European Union Contribution	55.000.000	105.000.000	109.994.680	110.123.600
1.1 7th Research and Dev. Framework Programme	55.000.000	55.000.000	59.994.680	60.123.600
1.2 Tran-European Network Programme		50.000.000	50.000.000	50.000.000
2. Contribution from Eurocontrol	38.472.359	58.416.373	90.100.000	89.261.000
2.1 contribution in cash	18.372.359	6.166.373	20.300.000	14.456.000
2.2 contribution in kind	20.100.000	52.250.000	69.800.000	74.805.000
3. Contributions from Other Members	236.743.820	99.575.398	110.680.059	100.184.452
3.1 contribution in cash		3.649.998	4.842.724	4.396.426
3.2 contribution in kind	236.743.820	95.925.400	105.837.335	95.788.026
4. Other Revenue	580.893	352.488	190.000	100.000
4.1 Revenue From Interests Yielded	580.893	352.488	790.000	400.000
4.2 Revenue From Taxes Recovered				
4.3 Programme revenues from non Members				
4.4 Interest to be returned to the EU			(600.000)	(300.000)
Budget Outturn previous year	256.030.073	14.372.359	13.625.931	
TOTAL REVENUE	586.827.145	277.716.618	324.590.670	299.669.052

EXPENDITURE (Cash and In-Kind)

all figures in Euro

<u>Title / Chapter</u>	Commitment Appropriations			
	Year 2009	Year 2010	Budget 2011	Budget 2012
1. Staff Expenditure	3.971.212	4.958.018	5.905.000	6.085.000
1.1. Staff Expenditure As Per Staff Establish. Plan	2.945.225	2.330.928	2.630.000	3.350.000
1.2. Contract Agents, Interim Staff	24.830	290.657	380.000	350.000
1.3. Secondments From Members	750.000	1.595.858	1.890.000	1.450.000
1.4. Seconded National Experts	11.735	76.196	150.000	160.000
1.5. Mission Costs	141.824	465.000	600.000	600.000
1.6. Other Staff Expenditure	97.598	199.379	255.000	175.000
2. Administrative Expenditure	3.930.436	3.008.379	3.211.000	3.290.000
2.1. Rental Of Buildings And Associated Costs	1.219.348	716.437	770.500	794.000
2.2. Movable Property And Associated Costs	97.276	14.560	50.000	50.000
2.3. Pr And Events	347.296	289.996	300.000	300.000
2.4. Postage And Telecommunications	86.315	199.387	175.000	180.000
2.5. Administrative Board Expenditure	15.849	31.000	30.000	30.000
2.6. Current Administrative Expenditure	328.195	101.257	298.500	340.000
2.7. It Expenditure And Technical Facilities	1.836.157	1.539.088	1.462.000	1.500.000
2.8. Administrative Support Services		116.654	125.000	96.000
3. Operating Expenditure	564.553.138	257.982.344	315.474.670	290.294.052
3.1. Studies/Development Conducted By The SJU	63.965.498	13.881.544	34.000.000	23.913.000
3.2. Studies/Development Conducted By EUROCONTROL	27.100.000	52.250.000	69.800.000	74.805.000
3.3. Studies/Development Conducted By The Members	473.487.640	191.850.800	211.674.670	191.576.052
TOTAL EXPENDITURE	572.454.786	265.948.741	324.590.670	299.669.052
BALANCE OF BUDGET OUTTURN	14.372.359	11.767.877		

SESAR JOINT UNDERTAKING

Draft Budget 2012

SUMMARY SCHEDULE OF PAYMENTS

1. Figures

	2010 Outturn		Budget 2011		Budget 2012		Difference (2012/2011)	
	C	P	CA	PA	CA	PA	CA	PA
Total Expenditures	117.773.341	84.900.269	148.953.335	161.355.642	129.076.026	110.122.426	-13%	-32%

2. Summary Schedule of payments

Commitments		Payments								
		2011	2012	2013	2014	2015	2016			
Pre-2011 commitments still outstanding	217.496.436	130.103.162	72.032.426	7.774.830	3.960.025	3.025.993	600.000			
Commitment appropriations made available again and/or carried over from 2010										
Appropriations 2011	148.953.335	31.252.480	8.802.000	40.396.454	33.751.201	23.167.467	11.583.734			
Appropriations 2012	129.076.026		29.288.000	40.315.210	30.736.408	19.157.605	9.578.803			
TOTAL		161.355.642	110.122.426							