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| SESAR_JU | |
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| Document information | |
| Project Title |  |
| Project Number | .. |
| Project Manager |  |
| Deliverable Name |  |
| Deliverable ID |  |
| Edition |  |
| Template Version | 03.00.00 |
| Task contributors | |
| DFS, EUROCONTROL, NORACON, NATMIG, FINMECCANICA | |
|  | |
| Abstract  The modelling guideline is part of the ISRM foundation. It gives step by step instructions for service modellers and service architects to design service models on a logical level. | |

Authoring & Approval

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| Name & Company | Position & Title | Date |
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|  |
| --- |
| Rational for rejection |
| None. |

Document History

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Edition | Date | Status | Author | Justification |
| 00.00.01 | 18.04.2011 | Draft | Florian Kraus | New Document, based on “ISRM Guide for modellers” V00.01.00 |
| 00.00.02 | 04.05.2011 | Draft | Florian Kraus | Draft of modelling process created. |
| 00.00.03 | 12.05.2011 | Draft | Florian Kraus | Incorporated feedback from training preparation and input from Noracon |
| 00.00.04 | 25.05.2011 | Draft | Florian Kraus | Finalised all chapters except chapter 4 |
| 00.00.05 | 09.06.2011 | Draft | Daniel Berg | Added information on collaborative process and QoS examples. |
| 00.01.00 | 10.06.2011 | Final | Oliver Krueger | Reviewed Document |
| 00.01.01 | 07.07.2011 | Final | Florian Kraus | Amended chapter 8.2 Messages and Data Types |
| 00.01.02 | 31.05.2012 | Draft | Oliver Krueger | Interim Version including NWF process steps |
| 00.01.03 | 04.06.2012 | Final | Oliver Krueger | Updated after comments |
| 00.01.04 | 18.06.2012 | Final | Tord Pola | Change control reworked |
| 00.01.05 | 19/06/2012 | Final | Oliver Krueger | Finalisation after additional review |
| 00.01.06 | 28/06/2012 | Final | Svein G. Johnsen | Inserted index. |
| 00.01.07 | 24/04/2013 | Draft | Ashley Williams | New Structure |
| 00.01.08 | 08/05/2013 | Draft | Ashley Williams  Michel Denys  Tord Pola | Modelling Guidelines Workshop in Copenhagen from 7th until 8th May 2013 |
| 00.01.09 | 22/05/2013 | Draft | Michel Denys Ashley Williams | Added section Input Assessment phase and Identify Service |
| 00.01.10 | 27/05/2013 | Draft | Ashley Williams | Updated Identify Service |
| 00.01.11 | 27/05/2013 | Draft | Michel Denys | Added the section on Service allocation |
| 00.01.12 | 28/05/2013 | Draft | Ashley Williams | Added the Appendix sections on, updated Identify Service, section Design Service Added |
| 00.01.13 | 29/05/2013 | Draft | 8.3.10 WS Oslo 28th/29th of May 2013 | Comments |
| 00.01.14 | 29/05/2013 | Draft | Ashley Williams | Chapter and Appendix changed and added |
| 00.01.15 | 31/05/2013 | Draft | Ashley Williams | Minor and major changes |
| 00.01.16 | 03/06/2013 | Draft | Ashley Williams | Minor and major changes |
| 00.01.17 | 04/06/2013 | Draft | Ashley Williams | Minor and major changes |
| 00.01.18 | 06/06/2013 | Draft | Ashley Williams | Minor and major changes / Comments of Leslie Folds |
| 00.01.19 | 06/06/2013 | Draft | Ashley Williams | Webex 06/06/2013, Bjørn Solberg, Tord Pola, Ashley Williams |
| 00.01.20 | 06/06/2013 | Draft | Ashley Williams | Minor and major changes |
| 00.02.00 | 07/06/2013 | Final | Ashley Williams | Minor and major changes / Comments of Leslie Folds |
| 00.02.01 | 12.09.2013 | Final | Svein G. Johnsen | Minor changes. |
| 00.02.02 | 31.10.2013 | Draft | Oliver Schrempf | EATMA compatibility |
| 00.02.03 | 02.12.2013 | Draft | Lars-Olof Kihlström | Profile use, taxonomy and data content |
| 00.02.04 | 20.12.2013 | Draft | Lars-Olof Kihlström | General how-to update |
| 00.02.05 | 17.01.2014 | Draft | Lars-Olof Kihlström | Comments handled |
| 00.02.06 | 02.02.2014 | Draft | Lars-Olof Kihlström | Examples included |
| 00.02.07 | 17.02.2014 | Draft | Lars-Olof Kihlström | Comments and changes to profile included. |
| 00.02.08 | 26.02.2014 | Draft | Oliver Schrempf | Update Design Chapter |
| 00.03.00 | 06.03.2014 | Final | Oliver Schrempf | Incorporate Comments |
| 00.03.01 | 18.03.2014 | Draft | Oliver Schrempf | Implementation of Change Requests CR-3 and CR-10 (Payload mapping) |
| 00.03.02 | 20.03.2014 | Draft | Oliver Schrempf | Implementation of Change Request CR-15 (map to role) |
| 00.03.03 | 25.02.2014 | Draft | Trond Natland Oliver Schrempf | CR-12 (SDD generation) CR-2 (alternative mapping) |
| 00.03.04 | 27.03.2014 | Draft | Oliver Schrempf | Incorporated comments from Svein Johnsen (NATMIG) |
| 00.03.05 | 02.04.2014 | Draft | Oliver Schrempf | Incorporated Review Comments |
| 00.03.10 | 09.04.2014 | Final | Oliver Schrempf | Preparation for final delivery |
| 00.04.00 | 13.05.2014 | Final | Oliver Schrempf | CR-14 Updated template  CR-8 Service naming  CR-18 drop activity report  CR-32 Fix typo  CR-31/38 Rule SM580  CR-41 direction of serviceSupportsActivity  CR-44 lowerCamelCase  CR-6 Naming of ServiceLevels  CR-7 reference MEP Source |
| 00.04.01 | 28.08.2014 | Final | Gianluca Marrazzo  Stefan Keller  Oliver Schrempf | CR-59 Role Names  CR-62 Tracing to AIRM IM  CR-63 Cleanup from Exchange Models  CR-64 Allow Multiple Tracings to AIRM |
| 00.05.00 | 16.12.2014 | Final | Oliver Schrempf  Oliver Krüger  Niklas Häggström  Bjørn Solberg  Svein G. Johnsen | CR-5 reorganize IER placement  CR-20 remove service functions CR-60 Fix wording of IER description  CR-43 Make Capability Mapping mandatory  CR-45 Correct rule SM260  CR-61Extend rule SM320  CR-19 Example for optional views  CR-68 Drop Node to Interface mapping  CR-69 Handling new abbreviations  CR-70 Update Service Allocation/NSV-12 guidelines  CR-71 Reorganize Tasks within Service Identification and Service Design  CR-72 Consolidate modelling of Operational and Business Context into one chapter  CR-73 Move the Operational modelling to Support to OPS chapter  CR-74 Update use/modelling of Nodes in NSOV-4  CR-75 Restrictions on service naming.  CR-87 Fix modelling on items not owned by ISRM |
| 00.06.00 | 27.05.2015 | Final | Oliver Schrempf  Niklas Häggström  Svein G. Johnsen  Tom Erik White | CR-09 Service and Data Modeller cooperation for payload modelling  CR-95 Model dependencies between interfaces  CR-91 Fix for Guidelines for NOV-2 NodeRealizationOfServices  CR-97 Add design goals  CR-92 Fix for SM600 |
| 00.07.00 | 5.12.2015 | Final | Oliver Schrempf  Gianluca Marrazzo  Bjørn Solberg  Are Kjæraas  Tord Pola | CR-98 Remove Taxonomy from ISRM  CR-119 Replace attribute-based NFRs by traces to text-based requirements (SPRs).  CR-113 Modelling of Enumeration, plus major improvement and simplification on all payload modelling section.  CR-117 Consistent use of diagram names  CR-123 Describe MEP usage  CR-125 Show Property Note in diagram  CR-130 Cleanup subpackage structure |
| 00.08.00 | 25.05.2016 | Final | Oliver Schrempf  Tord Pola | Preparation of SESAR agnostic Foundation |

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Executive summary

The modelling guideline is part of the ISRM foundation and gives step by step instructions for the service modellers and service architects to design ATM SWIM services on a logical level. The guideline is not a SOA tutorial (the modellers are supposed to have full maturity in identifying and designing SOA services) and does not repeat the information found in the other documents.

This document shall be seen as a guideline for designing logical service models following the rules given in the ISRM Foundation Rulebook [4] and serving as a means of compliance as defined by the SWIM Compliance Framework [9].

ISRM SWIM service models are logical in the sense that they provide a formal systematic translation of operational and non-functional requirements into a standardised language using the Unified Modelling Language (UML) without making any technological assumptions or demands. This is achieved by describing service interfaces and service payloads. Interface descriptions are the building blocks for supporting technical interoperability between Service Providers and Consumers. Payloads of ISRM Services are traced to the ATM Information Reference Model (AIRM) [4] for achieving semantic interoperability.

# Introduction

## Purpose of the document

The modelling guideline is part of the ISRM foundation and gives step by step instructions for service modellers and service architects to achieve consistent service models by following a common methodology. The guideline is not a SOA tutorial. It is assumed that the modellers have full maturity in identifying and designing SOA services.

The modelling approach is based on the NATO Architectural Framework (NAF) [2] but has been tailored to needs of SWIM. Deeper knowledge on NAF is not needed to perform the modelling work. All information is given in this document and in the ISRM rulebook [1].

Chapter 2 describes the steps to be performed and the artefacts to be modelled in UML[3]. All steps are accompanied by examples which have been produced using Sparx Enterprise Architect. In general, any UML 2 Tool can be used to perform this modelling task. Nevertheless, restrictions may apply to hand over procedures for a common repository.

The Documents contains several Appendices which might be useful for accompanying the modelling Work.

Appendix A defines the available Message Exchange Patterns which may be used in the model.

Appendix B describes the applicable naming conventions for all model elements.

Appendix C shows an example of a service with two ports.

Appendix D contains some useful tooling support, if you are using Sparx Enterprise Architect as a modelling tool.

## Intended readership

This document is targeted to all service modellers and architects creating logical service models to be used as means of compliance in the SWIM Compliance Framework [9].

Technical Service Designers and Implementers might benefit from reading this document as well, by gaining more insights on how the logical service models impact their work.

## Inputs from other projects

See Chapter 3 for the list of applicable and reference documentation providing guidance and direct input to this document.

## Glossary of terms

| Term | Definition |
| --- | --- |
| Service modeller | In the context of ISRM, the Service Modeller is a SOA designer expert designing ATM services to be incorporated into the ISRM. |
| Service architect | In the context of the ISRM, the Service architect is a SOA architect focusing on the information exchanges. Contrary to a Service modeller, the work of a service architect is often closer to the operational environment where the service will be used. |
| Information architect | In the context of the ISRM, the Information architect is an information modeller focusing on the modelling of the information exchanges to be supported by a service. |

Table 1: Glossary and terms

## Acronyms and Terminology

| Term | Definition |
| --- | --- |
| AIRM | ATM Information Reference Model |
| ATM | Air Traffic Management |
| CLDM | Consolidated Logical Data Model (AIRM component) |
| CR | Change Request |
| EA | Sparx Enterprise Architect modelling tool |
| EATMA | European ATM Architecture |
| IA | Information Architect |
| IER | Information Exchange Requirement |
| IM | Information Model (AIRM component) |
| ISRM | Information Service Reference Model |
| IT | Information Technology |
| NAF | NATO Architecture Framework |
| QoS | Quality of Service |
| SA | Service Architect |
| SESAR | Single European Sky ATM Research Programme |
| SJU | SESAR Joint Undertaking (Agency of the European Commission) |
| SOA | Service Oriented Architecture |
| SPR | Safety and Performance Requirements |
| SWIM | System Wide Information Management |
| SWIM-TI | SWIM Technical Infrastructure |
| UML | Unified Modelling Language |

Table 2: Acronyms

# Design service

## Design goals

The main purpose of service design is to identify and design services that are relevant from a business and operational perspective and are aligned with the technical architecture. Further it shall be ensured that the services can be implemented in a way that enables the operational activities to be performed in a correct way and with the right information at hand.

To achieve this, a set of design goals have been identified that a Service Architect can use as guidance to ensure that the overall purpose is met. Below, each design goal is stated along with a set of considerations that the Service Architect should keep in mind in order to achieve the goal. It should be emphasised that these design goals and guidance are not to be interpreted as strict rules that always must be adhered to, but they are “best practice” and alignment is encouraged.

The services are governable

Services should be as coarse grained as possible to minimize the administrative burden, but fine grained enough to identify impacted ATM stakeholders (who is provider/consumer).

The services are discoverable

The services should have a clear fit into service categorisation/taxonomy. If the fit is unclear, i.e. the service fits in many places, it may be hard to reach the right target audience in the discovery process and may result in governance issues.

The services are reusable

The scope of the service should be precise enough to be able to support each specific operational scenario in a sufficient way. But at the same time it should be designed to be reusable in several contexts. During service identification the Service Architect may choose to avoid allocating the service to a specific type of stakeholder and take into consideration that the service could be provided by several stakeholders (instances) at the same time.

The services have minimal dependencies

The Service Architect should also aim to minimize inter-interface dependencies both within the same service and with other services.

It should be considered if there are functions of the service that are optional, in this case it might be a good idea to create a separate interface for these.

Non-Functional Requirements are met

It should be considered to separate interfaces with very different Non-Functional Requirements such as security-, availability-, throughput-, change rate- requirements.

The services can be feasibly implemented

Consider usage of open and widely accepted standards in order to increase the chance of broad acceptance by the ATM stakeholders.

Ensure to have a dialogue with system architects regarding the links to SWIM-TI Profiles and specifically the bindings (protocols) that should be used for implementing the service.

The services support the operational dialogue

Service operations should be designed so that they can be related to the operational activities that will make use of the service. This normally means that there should be a verb in the operations name, (see chapter B.3 for more details on naming conventions).

The Service Architect should consider sequencing/dependencies between provider/consumer processes, i.e. if there is a need for a dialogue at the operational level, this should be reflected in the operations of the service.

There is feasibility in the technical implementation

Standardised message exchange patterns as described in this document shall be used as a basis when designing the operations. In addition, the context specific information should be taken into account.

Use standard data exchange models where possible to ensure maximum technical interoperability.

Loose couplings, i.e., stateless services should be promoted to minimise dependency between providers and consumers.

Thought should be given to balance number of operations vs invocation frequency, i.e. an operation which is going to be invoked very frequently can be optimised in terms of payload content while a less frequent operation could have a wider payload.

The service payload has an operational relevance

Service payload should be composed in a way that they have an operational meaning, i.e. that are (related to) information products that are output/input of activities.

The design should strive to explain the semantic meaning of the exchanged data to ensure operational interoperability among ATM stakeholders.

There is efficiency in the data distribution

Data filters should be used where applicable as a means to limit the number of operations needed and reduce the frequency and size of exchanged data.

There should be a balance between message/payload size vs distribution frequency, e.g. frequently exchanged data could be kept in small messages and in separate operations.

## Output

The output of the service design is a UML model representation of a service on a logical level. This means it is independent of implementation technologies but gives a formal description of the service interface and its behaviour. The main intended use case of this model is to serve as means of compliance for assessing technical service designs for SWIM compliance as described in [9].

The output of the service design consists of three model diagrams:

1. Service Interface Definitions
2. Service Interface Parameter Definition
3. Service event Trace Definition

It is encouraged to provide a Requirements Traceability Diagram as well, even if it is optional.

These Diagrams contain all the elements needed to support the SWIM compliance assessment.

Further, the model contains statements about the usage of certain Message Exchange Patterns (MEPs) as well as Payload descriptions compliantly traced to the AIRM.

### Package structure

All logical services models must adhere to the same basic package structure (see Figure 1 for an Example).

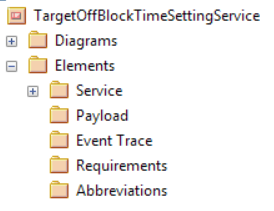


Figure : Example project browser structure

The package structure has a root package which is named after the service which contains two sub-packages which are called Diagrams and Elements

The Diagrams package should contain all of the diagrams defined for the service and the Elements package is used to store different kinds of elements that are produced as part of the definition of a service. The following can be stated for each of these packages:

* Service:  
  This package is where the service element, the interface(s) and the consumer(s) are placed.
* Payload:  
  All messages directly used as parameter types of a service interface operation should be placed in this package. This includes also the data entities used for structuring the payload.
* Event Trace:  
  All Elements of a sequence diagram for the service like life lines or interaction fragments should be placed in this package.
* Requirements:  
  If there are requirements available for the service (IERs or NFRs) they should be paced in this package.
* Abbreviations:  
  If there are abbreviations used for naming service elements, they should be paced in this package.

It is assumed that the complete package structure can be delivered as an XMI file to a repository containing all ISRM services.

#### Applicable Rules

GR010, GR020, GR100, GR110, GR120, GR130, GR140, NC100, NC200, NC210, NC220, NC240, NC400

## Steps to be performed

This chapter describes the steps to be performed in order to produce the output of the service design as aforementioned in chapter 2.2. In principle, the modeller elaborates these outputs along the process steps as shown in Figure 2. The order of the steps is not vitally important but has proved to be helpful. Especially for first time modellers this is considered to be a good guidance.

Figure : The steps of the service design process

### Step 1: Identify and uniquely name service

In step 1 the modeller focuses on the identification of a service candidate. He or she identifies the service from given sources of Information on the operational process the service should support, e.g. Information Exchange Requirements (IERs). The identified candidate service should be named uniquely.

See Appendix B.1 “Service naming conventions” for information on how to name services.

#### Design Goal: Merge/split criteria for Services

During the identification, it is recommended to assess the identified service candidate against the existing service portfolio to determine if there is a need or opportunity to reuse and update an existing service or whether the newly identified service should result in an addition to the service portfolio. Making this decision is not a trivial process that can be automated as there are many different parameters that can be considered. The following criterion should be considered in the discussion among service architects:

Consider merging services if TRUE and consider splitting if FALSE:

* Provided by the same actor (i.e. Node)
* Have the same/similar business model (free/pay per use etc.)
* Relate to the same/similar operational activities
* Relate to the same Flight- / Lifecycle- Phase
* Deal with same/similar information/data

#### Applicable Rules

GR010, GR020, NC200, NC210, NC220, NC240, NC300, NC310, NC500, SM010, SM020, SM030, SM040, SM050, SM110, SM400, SM410, SM420, SM430

#### Create Service Element

The service element is the fundamental building block of the logical service model. To create this element for a new service model, perform the following steps. (Examples shown in Figure 3 and Figure 4)

1. Create an interface definition diagram:
   1. Add a UML class diagram to the Diagrams package of your service.
   2. Name it “<Service name> Interface Definition” [[1]](#footnote-2). You may optionally postfix it with further context if you need more than one of these diagrams.
   3. Set diagram properties not to show namespaces
2. Create the Service element
   1. Add a Class to the diagram and name it according to the service name[[2]](#footnote-3).
   2. Assign the stereotype <<Service>> to the element.
3. Move the service element into the Elements/Service package.

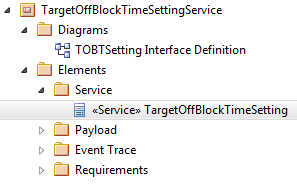


Figure : Example of Service Element in Package Structure



Figure : Example of Interface Definition Diagram

### Step 2: Map Service to Requirements (IER and NFR)

If there are requirements available for the service, the modeller is encouraged to reference them in this model.

To support traceability to operational and non-functional requirements a class diagram shall be created. The purpose of this diagram is to reference requirements existing in other documents, not to host them as a source! Operational requirements (IER) and non-functional requirements (NFR/SPR) are treated equally in this diagram.

All requirements which justify the identified service, are created as UML Class elements with a <<Requirement>> stereotype and shall be placed in the   
Elements/Requirements package.

All the content that is needed to identify the requirement shall be given in the name and the note field of the *<<Requirement>>* element plus some special tagged values.

The <<Requirement>> element shall therefore contain the following content:

* Name:  
  Name of the Requirement as given in the original source or best fit if requirement is derived from text.
* Notes:  
  The original or derived text of the requirement. (This is optional)
* Tag: *refLabel*  
  Value: The *ref* tag is intended to contain a reference string (e.g. Number or ID) that allows for identifying the requirement at its source.
* Tag: *refSource*  
  Value: The Title of the document containing the requirement
* Tag: refURL  
  Value: URL where a digital copy of the source document can be found (optional)
* Tag: *reqType:*  
  Value: The type of the requirement. There are three possible values:
  + Operational requirement
  + Information exchange requirement
  + Safety and performance requirement[[3]](#footnote-4)

#### Applicable Rules

GR010, GR020, NC200, NC210, NC240, NC500, SM030, SM040, SM050, SM100, SM400, SM410, SM420, SM430

The following steps need to be performed to create a valid requirements tracing:

1. Create UML class diagram in the Diagrams package of your service.
2. Name it “<Service name> Requirements Traceability[[4]](#footnote-5)”.
3. Add the <<*Service>>* Element from the Elements/Service package to the created diagram.
4. Add Class Elements with stereotype <<Requirement>> to the diagram and place them into the Elements/Requirements package
   1. Give the Element the name of the requirement
   2. Add the requirement text to the notes section.(optional)
   3. Add a tagged value *refLabel* with the identifier of the requirement
   4. Add a tagged value *refSource* with the title of the source document.
   5. Add a tagged value *refURL* with a link to the source document. (optional)
   6. Add a tagged value *reqType* indicating the type of the requirement
5. Create a dependency relationship between the *<<Service>>* and the *<<Requirement>>* elements and give it a stereotype *<<satisfy>>.*

The requirements traceability diagram for the example services is shown in Figure 5.



Figure : Example Requirements Traceability diagram

### Step 3: Specify Ports and MEPs

The *interface definition* diagram is among the most crucial of all of the diagrams dealing with services. The topmost element specifying the structure of the service are ports. To define them the following should be done:

1. Open the interface definition diagram from the Diagrams package created in Step 1.
2. Add one or more UML ports to the service element and name them appropriately[[5]](#footnote-6).   
   See Appendix B.2 “Service Port and Interface naming conventions” for information on how to name the port(s).

A service may have more than one port depending on its use cases. Criteria for choosing which option are given in the following section.

#### Merge/split criteria for Service Ports

When deciding whether to create a new port or to merge two ports the following criteria can be used by the service architects in their discussion:

Consider merging service ports if TRUE and consider splitting if FALSE:

* Relate to the same provider operational activity
* Have similar non-functional requirements (performance, security etc.)
* Have same/similar operations
* Operations have a sequential dependency to each other
* Deal with same/similar information/data

#### Applicable Rules

GR010, GR020, NC200, NC210, NC220, NC240, SM020, SM030, SM040, SM050, SM120, SM130

#### Select Message Exchange Pattern for Port

This step does not fully specify the service interface, which will be done in the next step. Instead it is now time to indicate which Message Exchange Pattern (MEP) will be used on a specific Service port. For that purpose a list of chosen MEPs is available. The restriction to a specific set of MEPs serves the purpose of standardization. The set of possible MEPs is described in Appendix A. Note that this also sets the stage for the naming convention to use for the operations to be modelled later on.

To model the choice of a specific MEP for a port perform the following steps. Add a stereotype to the Service Port from the list of available MEPs:

* SyncReqRep
* AsyncReqRep
* PubSubPush
* PubSubPull
* OneWay

An example of a service port with assigned MEP can be seen in Figure 6 and Figure 7.

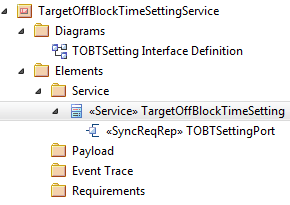


Figure : Example of Service port in package structure



Figure : Example of modelled MEP choice

#### Services with more than one port

If a service has more than one port, this has some impact on the downstream implementation of this service. It cannot generally be said that all ports of a service need to be implemented or if the implementation of only one port is sufficient. It strongly depends on the purpose of the service and the intended use cases. Hence, this is a “per service” design decision that needs to be stated explicitly by the service modellers.

The basic rule is that at least one service port needs to be implemented. The model needs to convey the dependencies between service ports in order to enforce the implementation of more than one port.

For that purpose draw dependency relationships between the ports in the interface definition diagram. The semantic of this relationship is as follows:

*If the source port of the relationship is implemented the target port must be implemented as well. If there are no dependencies modelled, the implementer is free to choose which ports to implement.*

Do not use bi-directional dependency links! Every dependency needs to be modelled explicitly.



Figure : Example of a Service with multiple interfaces

Figure 8 shows an example of a service with three ports (Aport, BPort, and CPort).

APort depends on BPort, BPort depends on APort, and CPort depends on BPort. The consequence is an implementation of BPort requires an implementation of APort. Hence, an Implementation of CPort requires the implementation of BPort and APort.

### Step 4: Specify Interfaces

During the last step a certain Message Exchange Pattern was chosen to be applied to a port. Now it is time to fully specify the interface for all the ports of the service based on the chosen MEP. In order to perform this work, please consider Appendix A “ISRM SWIM Message Exchange Patterns” and Appendix B “ISRM naming conventions”.

#### Applicable Rules

GR010, GR020, NC200, NC210, NC220, NC240, SM020, SM030, SM040, SM050, SM200, SM210, SM220, SM240, SM250, SM260, SM270, SM280

The following steps need to be performed per port (for example see Figure 9):

1. Open the interface definition diagram from the Diagrams package created in Step 1.
2. Add one or more UML Actor Element representing the Service consumer to the diagram and name it appropriately. Give it the stereotype <<ServiceConsumer>> and move it into the Elements/Service package.
3. Based on the chosen MEP add one or two UML Interface elements and name them according to Appendix B.2. Move the elements into the Elements/Service package.
4. Drag *realization* links to the interface(s) from the port element and/or the Service Consumer as demanded by the chosen MEP and add the stereotype <<provide>> to the link.
5. Drag *dependency* links to the interface(s) from the port element and/or the Service Consumer as demanded by the chosen MEP and add the stereotype <<require>> to the link.



Figure : Example of a service interface

### Step 5: Specify Interface Operations

In this step operations are added to the interfaces of the service according to the MEPs applied

See Appendix B.3 “Service Interface Operations naming conventions” for information on how to name the operations. The verb (prefix) of the operation name should be as given in the chosen MEP (see Appendix A) for those operations that pertain to the MEP.

In the case that more service interface operations are needed than provided by the MEP example, they can be added. This is very common for Request/Reply patterns where real services in general often have many of those operations available.

Please do not yet add operation parameters and return values since they will be defined in the next step. An example of the complete diagram can be seen in Figure 10.



Figure : Example of an interface definition diagram with operations

#### Merge/split criteria for service interface operations

When deciding whether to create a new interface or to merge two service interface operations the following criteria can be used by the service architects in their discussion:

Consider merging service interface operations if TRUE and consider splitting if FALSE:

* Do the same/similar thing (verb)
* Deal with the same subject (noun)
* Similar characteristics on the payload (data format, delivery pattern, persistency etc.)
* Are always invoked in the same sequence (within one interface)

#### Applicable Rules

GR010, GR020, NC200, NC210, NC230, NC240, NC320, SM020, SM030, SM050, SM576, SM240, SM250, SM260, SM270, SM280

### Step 6: Specify Operation Parameters

The types of the input and output parameters for operations defined in the interface of the service shall be modelled as separate <<Message>> elements. They will be detailed into actual payloads in the next step. The relationship between payload and service interface operation is shown in Figure 11. Please note, that this is only an illustrative diagram which is not part of the actual service model! The Interface and the Message elements do not have to be shown in one diagram. It is further not necessary to show parameter names in the diagrams, only types.

#### Applicable Rules

GR010, GR020, NC200, NC210, NC220, NC240, NC500, SM020, SM030, SM040, SM050, SM230, SM240, SM250, SM260, SM270, SM280, SM300, SM400, SM410, SM420, SM430



Figure : Illustrative diagram showing service operation parameters and their payload elements.

The following steps need to be done:

1. Create one (or more) class diagram(s) in the Elements/Diagrams package and name it “<ServiceName> Interface Parameter Definition”[[6]](#footnote-7), with an optional postfix text to denote further context, if more than one diagram is needed.
2. Create UML Class Elements for each Operation parameter needed and name them according to Appendix B.4 “Messages/Service Interface Parameters naming conventions”.
3. Add the stereotype <<Message>> to the Elements and move them to the Elements/Payload package.
4. Add the created <<Message>> elements as parameter types for input, output or return type to your interface operations.

### Step 7: Specify Service Payload design

The design of the service payload concerns the formal representation of the content and structure of the input and output parameters of each service interface operation.

The payload is to be modelled as a tree structure, where the root is the message used as parameter in the service interface operation as the example in Figure 12.



Figure : Example of a payload structure

Two design options can be chosen:

1. **“Complete Model” approach**: The service payload cannot rely on an available exchange model, therefore it must be modelled ex-novo or a portion of AIRM CLDM is already fit for shaping the service payload, therefore the payload can be built by sub-setting the CLDM.
2. **“Use standard” approach**: Refer to an existing exchange model (like AIXM, FIXM, etc…) which already contains a complete representation for the payload.

These approaches are explained in the next sections.

#### Applicable Rules

GR010, GR020, NC200, NC210, NC220, NC230, NC240, SM010, SM020, SM030, SM040, SM050, SM300, SM310, SM320,SM330, ,SM340, SM350, SM370,

#### Option 1: “Complete Model” approach

This approach can be used to create a payload model from scratch or in some cases the payload may be built by manually recreating a portion of the AIRM CLDM. It is up to the modeller to decide which approach suites best, but since the payload will have to be traced to the AIRM to ensure semantic interoperability (see Step 8: Trace Service Payload to AIRM) the reuse of AIRM structures can save some work.

The payload model is built by Classes stereotyped as <<Message>>[[7]](#footnote-8) or <<DataEntity>> and <<enumeration>> elements. You should consider naming conventions according to Appendix B.4. The elements shall be placed in the Elements/Payload package.

Please note that attributes shall be named in lowerCamelCase[[8]](#footnote-9). The type of the attribute shall be normally left blank (*<none>*), unless:

1. the attribute is an enumerated value: in this case the corresponding <<enumeration>> shall be indicated as type for the attribute;
2. the attribute is out of the scope of AIRM, it shall be given a type chosen among base and foundation types available in the AIRM.

Relationships between <<Message>> and <<DataEntity>> or between <<DataEntity>> and <<DataEntity>> elements shall be created using UML compositions. You shall add a role name for the target role of the compostion. Attribute multiplicity should be set as well.

An example of a fully defined structured payload model using the “Complete Model” approach is shown below in Figure 13:



Figure : Example of a structured “Complete Model” payload

#### Option 2: payload derived from an exchange model

The structure of the payload highly depends on the structure of the *Information Elements* conveyed by a service interface operation. In some cases a complex payload structure is required where the service being modelled is an instance of a more generic service whose payload is a defined standard. In this case an existing standard model can be referenced right away, thus reducing modelling effort.

In this case the payload will be just made of messages without attributes. Payload messages shall be given a description note in the Notes field, explaining that its actual data content is fully documented in an existing standard model. The Service Modeller shall describe precisely:

* + - what standard exchange model is used, including version and links to web resources;
    - which entity from the standard exchange model does the new message actually stand for, so that the entry point to the standard model is clearly understood.

The descriptive text of the message shall be kept visible in the diagrams in order to document the link to the standard exchange model it refers to. See example for METAR in Figure 14.



Figure : Example of a payload based on a standard exchange model

### Step 8: Trace Service Payload to AIRM

For defining the semantics of the payload and providing a means for compliance assessment, the payloads have to be mapped to the AIRM following the steps provided in Section 3 of the AIRM Compliance Handbook [5].

#### Applicable Rules

SM360, SM370, SM380, SM390

### Step 9: Specify event trace description

The s*ervice behaviour* is modelled using a sequence diagram. This diagram can be split up into several diagrams if there is more than one port with respective MEP to be applied.

#### Applicable Rules

GR010, GR020, NC200, NC210, NC220, NC240, NC500, SM010, SM030, SM050, SM240, SM250, SM260, SM270, SM280, SM400, SM410, SM420, SM430

1. Create a Sequence diagram called “*<ServiceName> Event Trace Description*” in the Diagrams package.
2. Add the Service element together with the relevant port and the relevant service consumer from the Elements/Services package onto the diagram. (see Figure 15 for example)



Figure : Example of Sequence Diagram

1. Draw *Messages* from the *Consumer* to the lifeline of the service port representing the operations defined in the service interface. Make sure to correctly indicating synchronous or asynchronous call types, depending on chosen MEP.



Figure : Example of Sequence Diagram with message

1. In case it is desirable to identify different groups or possibilities in the same diagram the UML element *Fragment* can be used. It should be noted that fragments are distinct elements and they must therefore always be named in order to keep them distinct. Further they should be kept in the Elements/Event Trace package.



Figure : Example of Sequence Diagram with fragments

# References

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3. "UML® Resource Page." Object Management Group. N.p., n.d. Web. 26 May 2013, <http://uml.org/>
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8. 08.01.01, AIRM Governance Handbook, 01.00.06, 24/07/2014
9. 08.01.01, SWIM Compliance Framework Criteria, D49, 00.01.01, 22/03/2016
10. 08.03.10, ISRM Foundation Primer, D45, 00.08.00, 31/05/2016
11. ISRM SWIM Message Exchange Patterns

ISRM identifies a set of SWIM Message Exchange Patterns (MEPs). These are defined on a logical level and can be translated into technical MEPs defined by SWIM TI profiles in Appendix F of [9] and in [7].

The Service Modeller will have to decide which of the different MEPs described below the interface will have to implement. The choice shall in general be motivated by operational requirements.

* 1. Synchronous request/reply



Figure : Synchronous request/reply MEP Event Trace diagram

Figure 18 shows a synchronous operation based on the definition of an operation called *request* with an XXXRequest parameter and an XXXReply return parameter. This can be used to **set** something on the Provider side or to **get** something from the Provider.



Figure : Synchronous request/reply MEP Interface Definition diagram

* 1. Asynchronous request/reply



Figure : Asynchronous request/reply MEP Event Trace diagram

Figure 20 shows the asynchronous equivalent of the synchronous MEP described previously. The main difference is, that the Service Consumer must provide an Interface Operation *reply*, which will be invoked by the Service Provider in order to send back the requested data.



Figure : Asynchronous request/reply MEP Interface Definition diagram

* 1. Publish/Subscribe Push



Figure : Publish/Subscribe Push MEP Event Trace diagram

Figure 22 shows the Publish/Subscribe Push MEP.

In this MEP the Service Consumer subscribes to some Data available at the Service Provider by calling the *subscribe* operation. The XXX*Subscription* parameter may include information about what the Consumer is interested in (e.g. Filter Rules etc.).

Whenever Data is available the Provider sends that Data to the subscribed Consumer via the *publish* operation. This operation is part of the Interface Definition provided by the Consumer.

The Consumer can unsubscribe from the Data by calling *unsubscribe*.



Figure : Publish/Subscribe Push MEP Interface Definition diagram

* 1. Publish/Subscribe Pull



Figure : Publish/Subscribe Pull MEP Event Trace diagram

Figure 24 shows Publish/Subscribe Pull MEP.

The main difference to the Publish/Subscribe Push MEP is, that the Consumer does not have to provide an Interface. The consumer has to request the Data actively by calling the *pull* operation of the service Provider.

The main idea of this pattern is to give the Service Provider some awareness about the interest of Service Consumers.



Figure : Publish/Subscribe Pull MEP Interface Definition diagram

* 1. One Way



Figure : One Way MEP Event Trace diagram

Figure 26 shows the One Way MEP.

This is a special case of the Request/Reply patterns without a response from the Service Provider.



Figure : One Way MEP Interface Definition diagram

1. ISRM naming conventions

**The naming conventions presented in this section are recommendations to service architects and are currently not mandated. Mandatory naming convention are given in section 2.2 of the ISRM Foundation Rulebook [4].**

**It is recommended that the SA endeavours to use natural language in the naming of elements in order to make the model comprehensible by people that are not used to modelling notations. This is particularly important for services that have a strong connection to operational concepts.**

**The naming conventions have been shaped in order to give a quick insight to the viewer of the element as to what it does and what it is. I.e. in most cases this will be a combination of verbs and nouns. The aim is to make the element comprehensible on its own without having to look at the related elements in the model. This is important because sometimes the elements are presented in isolation or in a partial context despite the fact that the ISRM is a model-based architecture and should normally be viewed in a whole-of-model perspective. I.e. an element is presented with all its relations. The effect of this on the element naming is that some parts will be repeated or similar throughout the names of related elements.**

**The style of element names in the ISRM model uses UpperCamelCase**[[9]](#footnote-10) **for all names except the name of operations, attributes, and parameters which uses the lowerCamelCase. This means that all names are written in one word without spaces. The reason for this is that it shall be possible to do an unambiguous transformation from model to code when implementing the services.**

**If elements are mentioned in text, it is recommended to use sentence case and include the type of element to enhance readability. As the element name is a proper name**[[10]](#footnote-11)**, all parts of the name should be initialised with a capital letter. E.g. Airport Flight Information service or Arrival Manager interface.**

**Abbreviations and acronyms can be used if they are commonly known in the ATM community. A good check is to see if the abbreviation/acronym is in ICAO doc 8400**[[11]](#footnote-12)**. All abbreviations/acronyms are expressed as capital letters.**

**The syntax description in this document uses the Backus-Naur Form**[[12]](#footnote-13)**. <> are used to surround each word and | is used as an OR operator. E.g. <process-name> | <capability-name> means that either a process name or a capability name can be used. Note that this is not for the sake of formal notation, but provides a structured way of expressing the syntax.**

* 1. Service naming conventions

Service names should place the service in the appropriate context and define the service scope[[13]](#footnote-14). From an ISRM perspective this means that the name should explain what the operational and/or information context is. In addition, it is valuable to indicate the high level function of the service. To achieve this, service names should be assigned differently, depending on service type. In this case the Thomas Erl[[14]](#footnote-15) definition of service types/models.

**<service-name> ::= <entity-service-name> | <task-service-name> | <utility-service-name>**

“An **entity service** is a form of business service with a functional context that is derived from one or more related business entities. Examples of business entities include order, client, timesheet, and invoice.   
Because their functional boundary is based on business entities, entity services are naturally agnostic to business processes. This allows them to be repeatedly reutilized in support of multiple tasks and business process, positioning them as highly reusable services.”

All services whose purpose is only to provide ATM-specific information are to be considered as entity services. But also services where entities can be manipulated (created/updated/deleted) without a complex operational interaction between provider and consumer or without dependencies to other services can be considered entity services.

Entity services should use the **<entity-name>** service naming.

**<entity-service-name> ::= <entity-name>**

Examples:

* **Order**
* **Invoice**
* **FlightPlan**
* **FlightInformation**
* **ArrivalSequence**
* **METforecast**

“A **task service** is a form of business service with a functional context based on a specific business process. As a result, task services are not generally considered agnostic and therefore have less reuse potential than other service models. By allowing for the abstraction of single-purpose or business process-specific logic into task services, the opportunity to increase the amount of agnostic logic within services based on entity and utility service models is improved.”

Task services should use the **<process-name>** service naming.

**<task-service-name> ::= <process-name>**

Examples:

* **OrderManagement**
* **CreditManagement**
* **FlightPlanning**
* **ArrivalManagement**

It's perfectly fine to sub-categorize if necessary, like in this example: OrderFulfillment instead of OrderManagement.

“A **utility service** is intentionally based on a non-business-centric functional context. It typically encapsulates common, cross-cutting functionality that is useful to many service compositions, but which is not related to or derived from existing business models.”

Utility services should use the **<verb> | <noun>** service naming.

**<utility-service-name> ::= <verb> | <noun>**

Examples:

* **Notification**
* **Logging**
* **Alerting**
* **Messaging**
* **Video**
* **Audio**

Note that Utility services are most of the times defined in the SWIM Technical Infrastructure, i.e. outside the WP8 scope.

Service names shall not end with the suffix 'service' since the element type is given by the stereotype of the model element. The word service may be used in the service name if the service is related to services in one way or another, e.g. a task service named Service Management or an entity service named Service Registry. But that shall not be as a suffix.

* 1. Service Port and Interface naming conventions

Service Ports are used to advertise interfaces in runtime. The name of the port is written from the perspective of the provider of the interface.

The Service Interface names should at least differentiate provider interfaces from consumer interfaces. It is also recommended to name the interface so that it is possible to understand to which service the interface belongs by telling what the topic (or sub-topic) is. This is important since the interface may be presented among other interfaces, but without the service context explained.

Service Port and Service Interface names should use the **<noun> <role>** where <noun> is a topic related to the service and <role> describes the roles in a composition/interaction sequence. The roles can therefore be divided based on the Message Exchange Pattern that is used.

**<service-interface-name> ::= <noun> <role>**

Examples:

* **FlightPlanCoordinator**
* **FlightPlanSubmitter**
* **ForecastProvider**
* **ForecastConsumer**
* **ClearanceRequester**
* **ClearanceManager**
* **RunwayConfigurationManager**
* **PreDepartureSequencer**
* **FlightInformationPublisher**
* **FlightInformationConsumer**
* **AlertListener**
* **VideoProvider**

Port Examples:

* **FlightPlanPort**
* **ForecastPort**
* **ClearancePort**
  + 1. Interface naming in case of request/reply pattern (synchronous and asynchronous)

For entity and utility services in which the interfaces uses the request/reply message exchange pattern (see A.1 and A.2) the default roles of the interfaces are expressed based on the direction of interaction.

* **Provider**. Provided interface where an entity is exposed through the interface. Pull type interaction.
* **Consumer**. Required interface used in asynchronous interaction patterns.

or

* **Receiver**. Provided interface that allows for information to be pushed to it.
* **Requester**. Required interface used to enable asynchronous replies to a request.

In some cases there is also a need to separate interfaces that are used to provide (read) information from those that are used to manage information (create/update/delete). In this case, the management interface can be named:

* **Manager**. Provided interface in a task/entity/utility service. Indicates need for restricted access.

For task services, the role will reflect the role of the actors in the operational process. A number of roles can be used, such as:

* **Monitor**
* **Surveyor**
* **Scheduler**
* **Planner**
* **Balancer**
* **Synchroniser**
* **Executor**
* **Issuer**
  + 1. Interface naming in case of publish/subscribe pattern

For interfaces that uses the publish/subscribe message exchange pattern (see A.3 and A.4), the role of the actor is indicated in the interface name:

* **Publisher**. Provided interface if a pub/sub pattern is used.
* **Subscriber**. Required interface if a pub/sub pattern is used.
  + 1. Interface naming in case of one-way pattern

If a one-way message exchange pattern (seeA.5) is to be implemented, then use:

* **Listener**. Provided interface that indicates a passive recipient of messages, i.e. a one-way pattern

No required/consumer interface is implemented in one-way interactions.

* 1. Service Interface Operations naming conventions

Service interface operations describes how a client can interact with a service interface, i.e. how it can be invoked. It is important that the client understands what can be done as well as with what.

Service interface operation names should follow the format **<verb> <noun>** where the <verb> indicates the operation as seen from the invoker perspective and the <noun> indicates the subject of the operation.

**<service-interface-operation-name> ::= <verb> <noun>**

Note 1: The <noun> in an operation name may be omitted if it does not add any additional value. That is if both conditions below are fulfilled:

1. the message that the operation uses as input parameter clearly states what is the subject of the operation. E.g. submit(FlightPlan).
2. there are no other operations in the same interface that uses the same verb.

Note 2: If a <noun> is used in publish/subscribe operation names, the noun should be prefixed by To and From. E.g. subscribeToFlightInformation and unsubscribeFromFlightInformation.

Note 3: lowerCamelCase is used throughout the operation name.

Different verbs should be used depending on the message exchange pattern:

Request/Reply – Synchronous

* Pull information: **get**
* Push information without a callback reply: **put**

Request/Reply – Asynchronous

* Ask for something (information/permission/action): **request**
* Push information expecting a reply: **submit**
* Asynchronous reply: **reply**

For request/reply patterns there might be other verbs that are applicable in certain operational situations such as: list, search, create, update, clear, activate, deactivate, acknowledge etcetera.

Publish/Subscribe - Push

* Initiate subscription: **subscribe**
* Send notification to a consumer: **publish**
* End subscription: **unsubscribe**

Publish/Subscribe - Pull

* Initiate subscription: **subscribe**
* Get notifications: **pull**
* End subscription: **unsubscribe**

One-way

* Send notification to a provided service interface: **post**

Examples:

* **getAlerts**
* **putAirspaceInformation**
* **submitFlightPlan**
* **requestClearance**
* **clearFlight**
* **requestTrajectoryAnalysis**
* **replyTrajectoryAnalysis**
* **createAccount**
* **updateArrivalTime**
* **searchArrivalList**
* **listServiceProviders**
* **subscribeToAirportFlightInformation**
* **publishAirportFlightInformation**
* **pullAircraftInformation**
* **unsubscribeFromAirportFlightInformation**
* **postForecast** 
  1. Messages/Service Interface Parameters naming conventions

Messages represents the business payload or technical information that is transmitted between a provider and consumer. The correct stereotype in ISRM is Service Interface Parameter. It may travel in both directions and may be parameters passed when invoking a service interface through an operation or be the reply to that invocation.

Message names should follow the format **<information-product> <message-type>** where <information-product> is a noun describing the information being passed between provider and consumer like FlightPlan, Alert etc.

<message-type> is a noun describing the type of message, indicating how the recipient is intended to act. E.g. a request where the recipient is expected to reply, a filter that the recipient is expected to take into consideration when replying, a notification where a response is not expected.

Common message types in operation invocations are: request, update, query, filter, subscription, notification. Common message types in replies are: fault, exception, acknowledgement, reply, response, list etc. The <message-type> may be omitted if it is only information being passed.

**<service-interface-parameter-name> ::= <information-product> <message-type>**

Examples where the first part is the message passed to the interface and the second part is the reply message:

* **FlightPlanUpdate : void (i.e. no return message)**
* **AccountCreationRequest : CreationResponse**
* **AlertSelectionCriteria : AlertList**
* **FlightArrivalTime : TimeSetAcknowledgement**
* **ArrivalSearchQuery : FlightArrivalList**
* **ClearanceRequest : ClearanceRequestAcknowledgement**
* **ServiceProviderFilter : ServiceProviderList**
* **FlightInformationSubscription : FlightInformationSubscriptionResponse**
* **FlightInformationNotification : void**
* **UnsubscriptionNotification : UnsubscriptionResponse**

1. Example of Service with two ports

This Appendix contains the diagrams of a service model with two ports offering two different Message exchange patterns. It is meant as another example visualising the outcome of the modelling steps described in this document.



Figure : METGriddedForecast Service Requirements Traceability Example



Figure : METGriddedForecast Service Interface Defintion Example



Figure : METGriddedForecast Service Interface Parameter Definition Example 1



Figure : METGriddedForecast Service Interface Parameter Definition Example 2



Figure : METGriddedForecast Service Interface Parameter Definition Example 3



Figure : METGriddedForecast Service Event trace description 1



Figure : METGriddedForecast Service Event trace description 2

1. Technical modelling support

During the creation of the ISRM in SESAR several tools supporting the work of Service Modellers have been developed using Sparx Enterprise Architect for UML modelling. In case this program is used two tools for post-SESAR usage are made available as attachments to the primer document [10].

The following two sections provide introduction to these tools.

* 1. SWIM Logical Service toolbox

In order to create the specific artefacts described in this guideline a special supporting toolbox named *SWIM logical service* has been added to the Sparx Enterprise Architect tool. The toolbox enables the modeller to more easily create the relevant artefacts. Below, the installation of the toolbox is described. A general introduction to the toolbox support is provided followed by a description of the use of each of the available views and associated toolbox.

* + 1. Toolbox installation

Integration of Model Driven Generation (MDG) Technologies into Enterprise Architect extends the capability of the tool beyond the core modelling capabilities. For the ISRM modelling, several MDG technology components have been developed for integration in EA with the purpose of simplifying the modelling and verification tasks. In this chapter, general aspects of the MDG technology integration and management are presented.

In order to get the MDG components to work, they must be imported to EA. This is done by the *Import MDG technology* feature, accessible by the menu items:

*Tools -> MDG Technology import*

or

*Project -> Resources*

The latter item brings up the project resource window, in which a right-click on MDG Technology will make the import feature available.

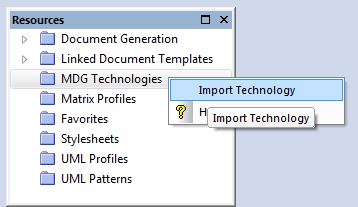


Figure The Resources window with Import Technology selected

Both items will open the window *Copy Technology to Application Data*.

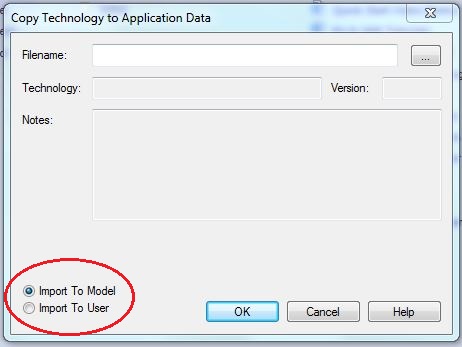


Figure The window used for technology import

When importing MDG technology, there are two options for making the technology available (by the radio buttons bottom left in the *Copy Technology to Application Data* window in Figure 36):

Import to Model

This option will import the technology into the current model. Any users of your (local) model will have access to the technology. The technology will be accessible to the current model only. The option allows for integration of separate technologies for different models.

Import to User

This option will import the technology to the current user, making the technology available for the user across models accessed by the user. For this option, the technology files will be stored in the folder %APPDATA%\Sparx Systems\EA\MDGTechnologies on your workstation.

Selection of the file representing the MDG technology to be imported is done by using the browse button upper right in the *Copy Technology to Application Data* window. When the technology file is selected, information about the technology is presented in the window as shown in Figure 37.

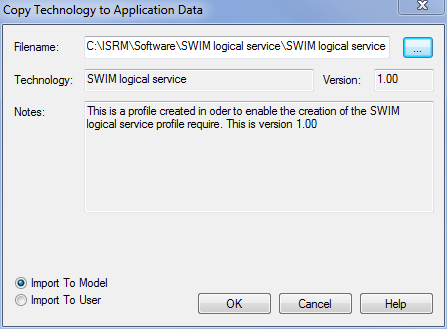


Figure Example of a MDG Technology file selected for import

If the MDG Technology already exists, Enterprise Architect displays a prompt for confirmation to overwrite the existing version and import the new one. The typical case for re-import of technologies is when a new version of a technology is released.

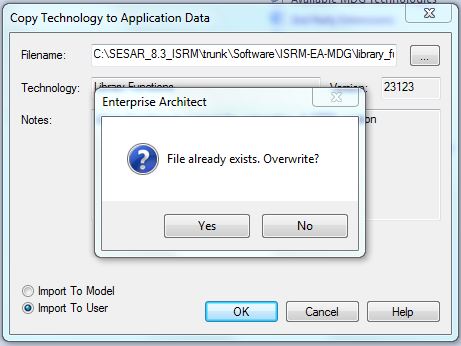


Figure Prompt for overwriting existing technology

When the import is completed, a restart of Enterprise Architect is needed.

It can be checked if the toolbox is loaded OK by selecting “*More tools”* from the Toolbox window and verifying that the option “SWIM logical service is available.

* + 1. Toolbox usage

When a modeller wants to create a new diagram (Add diagram), a window describing the different groups of diagrams that the tool supports is presented. By selecting *SWIM logical service*, the following list appears.

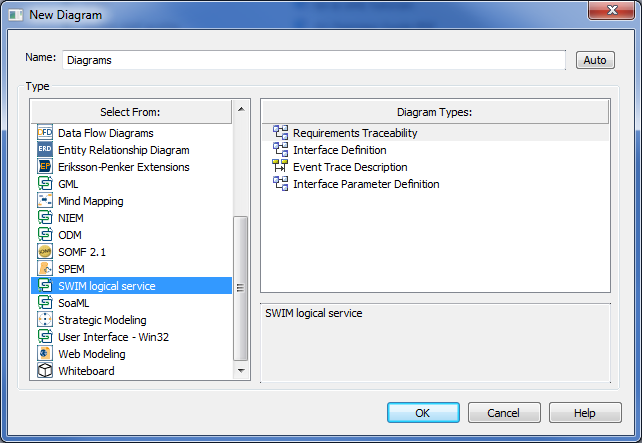


Figure : List of possible SWIM logical service diagrams

As can be seen from the icons associated with the different diagrams, most are class diagrams although diagrams of other types are included as well. Irrespective of the choice of diagram, the following steps should be performed when a diagram type has been chosen.

1. Select the diagram type and press ok.
2. Name the diagram so that the choice made is apparent in the name. The reason for this is to make the diagram easy to see in the project browser. The default name is taken from the name of the package the diagram is owned by.
3. Show Property Note in diagram (from menu in EA choose Diagram -> Advanced -> Show Property Note)

When the diagram is opened the type and name of the diagram is shown in the border on top of the writing area and the correct toolbox associated with the diagram is opened.

For each type of diagram there is a corresponding toolbox containing all the elements that need to be shown on that diagram. If you use these elements by dragging and dropping them onto the diagram, they will automatically contain the correct stereotypes and tagged values.

Please keep in mind, that the toolbox is used for creating new elements. If you need to reuse existing elements you may use them from the packages of the service in the project browser.

Here are the four available toolboxes:

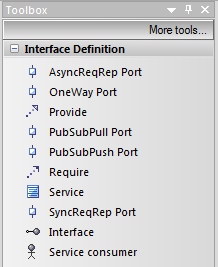


Figure : Interface Definition Toolbox

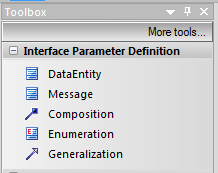


Figure : Interface parameter Definition Toolbox

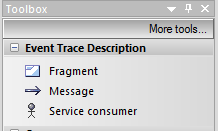


Figure : Event Trace Description Toolbox

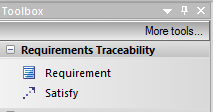


Figure : Requirements Traceability Toolbox

* 1. Verification Script

To help verify that the ISRM model follows the ISRM Foundation Rulebook [1] a set of verification scripts have been developed for the Sparx EA environment. These scripts are available as attachment to the ISRM Primer document [10] and have to be imported into Enterprise Architect before they can be used. How this is done and the additional actions to make the scripts appear in the Project Browser menu (to execute the verification) is described below.

**Please note, that the available scripts do only cover the rules of ISRM Foundation 00.07.00 and not the rules explained in the document! This is for documentation purpose only (describing the approach used in SESAR) and can be used as input for a version 00.08.00 implementation.**

Step 1: Get MDG Technology verification scripts into EA

Import the *isrm\_verification\_rules.xml* and the *library\_functions.xml* files by following the procedure described in the previous chapter.

After a restart of EA, you should have the folders *ISRM Verification Rules* and *Library Functions* present in your script window.

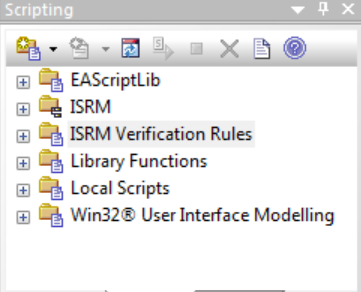


Figure EA Scripting window showing the added MDG Technology scripting groups

If you don’t see your script window enable it by choosing "Scripting" in *Tools* menu of EA.

Step 2: Create Project Browser menu with verification scripts into EA.

1. Access the *Scripting* window by selecting the menu item *Tools -> Scripting*. Create a Project Browser group and name it “ISRM Verification menu”
2. Open the new group's properties and make sure the group type is *Project Browser*.
3. In your new (or existing) Project Browser group, create a new JScript and name it *“AutoVerify”*
4. By opening the script for edit, replace the generic skeleton for the script with the contents of the file *AutoVerify.js*
5. Click Save when done.

You should now have the Project Browser menu item as shown in Figure 45 for verifying the model content.

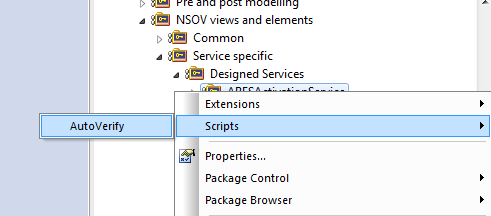


Figure Selecting *AutoVerify* in the Project Browser *Scripts* menu

Step 3: Running the verification script on packages, elements and diagrams.

1. In the project browser in EA select and right-click on a package, element or diagram. You should now on the top see a menu item *Scripts* with a sub menu with the *AutoVerify* entry based on the JScript you imported. Select it.
2. When you select the sub menu item, EA runs the corresponding verification script (which calls the right verification rules scripts) and generates a verification report in a default folder (C:\tmp). The AutoVerify menu item generates a file called *nsov-all-verification.csv* in the C:\tmp folder. It is a comma-separated file with the verification result for each applicable rule for each node in the ISRM. If you want to change the default output from C:\tmp to something else, you have to manually change the Config JScript in the *Library Function* folder in the *Script* window.
3. From the CSV file, you can generate Excel spreadsheet reports according to the procedures described.



Figure : The process of AutoVerify execution and verification report generation

Step 4: Generating verification reports (optional)

The EA script *AutoVerify* described above creates a source CSV file that contains information needed to create the verification reports per service. This CSV file is in turn fed into an external tool that creates an Excel document. It contains one sheet for the automatic rules and one for the manual rules, so that the verification log of the manual rules may be maintained separately and copied and pasted into the final verification report.

In order to run the script, you need Perl. This is included in Cygwin (as well as readily available on Unix-based systems), and may also be downloaded from ActiveState for Windows, at <http://www.activestate.com/activeperl/downloads>. Assuming you know how to install Perl or already have a working Perl environment, you will need to also install the Perl module Spreadsheet::WriteExcel (using “CPAN”).

The perl script is available in the attachment of the ISRM Primer in the verification\_reporting directory.

Running the script

1. In the command window, type "perl makeexcel.pl –f [location of your CSV file]” – make sure to use the full or relative path to your CSV file
2. The script will proceed to produce one Excel sheet per service contained in the CSV file, if you ran the AutoVerify script at a level above one particular service

-END OF DOCUMENT-

1. The <ServiceName> represents the actual name of the service, or some shorthand of it. You should use a shorthand version if the service name is longer than 10-15 characters. If you use a shorthand version of the name, you must make sure to use the same shorthand in all the diagrams for the service. See rule NC400 in the ISRM Rulebook [11] for further clarification for this and other diagram names. [↑](#footnote-ref-2)
2. It should be noted that it is required not to end the name of the service with the word 'Service'. The reason for this is that the stereotype applied and visible already states that this is a service making the use of the word service as part of the name somewhat redundant. [↑](#footnote-ref-3)
3. To be used for Non Functional requirements (NFR) [↑](#footnote-ref-4)
4. You may decide to split the traceability of IERs and NFRs into two diagrams. In this case add the postfix IER or NFR to the name of the diagrams respectively. [↑](#footnote-ref-5)
5. Note that the port should always end up as owned by the service within the package structure, i.e. below the service element that was created in Step 1. [↑](#footnote-ref-6)
6. See rule NC400 in the ISRM Rulebook [11] [↑](#footnote-ref-7)
7. These are the elements already created in the previous step. [↑](#footnote-ref-8)
8. <https://en.wikipedia.org/wiki/CamelCase> [↑](#footnote-ref-9)
9. <http://en.wikipedia.org/wiki/CamelCase> [↑](#footnote-ref-10)
10. <http://en.wikipedia.org/wiki/Proper_noun> [↑](#footnote-ref-11)
11. ISBN 978-92-9231-626-6 [↑](#footnote-ref-12)
12. <http://en.wikipedia.org/wiki/Backus%E2%80%93Naur_Form> [↑](#footnote-ref-13)
13. Inspired by <http://soa-ind.blogspot.se/2009/12/some-thoughts-on-service-naming-service.html> [↑](#footnote-ref-14)
14. <http://serviceorientation.com/soaglossary/service_model> [↑](#footnote-ref-15)