

# CALL FOR PROPOSALS CEF-SESAR-2018-1 U-SPACE - CORRIGENDUM

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## ~~Frequently Asked~~ Questions and Answers (Q&A)

Batch N.2 (last update ~~02~~13/03/2018)

### Important Note

Full details of the call requirements are provided on the [SJU web page](#).

### Disclaimer

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In this document, the SJU may communicate additional information:

(a) at the instance of potential applicants, solely for the purpose of clarifying the call documentation;

(b) at SJU own instance, if it discovers an error, a lack of precision, an omission or any other type of clerical defect in the text of the call documentation.

CEF-SESAR-2018-1 U-SPACE: FAQs (~~1302~~ March 2018)

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In this context, the Q&A list is intended to ensure that the same guidance is provided simultaneously to all potential applicants.

N.	Question	Answer
1	What is a Drone Traffic Manager (DTM) compared to a U-space Service Providers?	Please remind that U2 is about initial services that support the management of drone operations. From the Blueprint we can say a DTM is a specific service provider that provides services essential for the drone traffic management such as flight planning, flight approval, tracking, airspace dynamic information, and procedural interfaces with air traffic control. In addition to the DTM there are other service providers for U-space providing useful services for the operations.
2	Is Norway eligible as an applicant for this call?	<p>Norway is a country falling within the scope of the European Economic Area and the European Free Trade Association and thus falls within the definition of ‘third countries’ (see Call for proposals, Section 6.1.2 first paragraph, and footnote 8).</p> <p>For the detailed rules for the potential participation of third countries and entities established in third countries under this call, see section 6.1.2 of the call for proposals (second and third paragraph).</p>
3	<p>Is a multiple of participating countries needed in application?</p> <p>Can a consortium be composed by participants from one country? If not how many countries must be in application?</p>	<p>A consortium can be composed of entities forming the consortium from one country if this is a configuration that best delivers the requested scope of work.</p> <p>Please note that for each consortium, at least one of the entities forming the consortium shall be established in an EU Member State (Section 6.1 of the call for proposals).</p> <p>For further guidance on the eligibility criteria, see Section 6 of the call for proposals.</p>
4	<p>For how long will activities run?</p> <p>How long will the project run? 1,2 or 3 years? U1 is supposed to be up in 2019.</p>	See Technical Specification Section 4.4 where the latest date for administrative closure of the grant is shown as March 2020.
5	Is the U-Space Call open to Israeli participants? I have seen no reference to associated countries in the call text, so I assume it is open to all.	<p>Israel is a country falling within the scope of the European Neighbourhood Policy and thus falls within the definition of third countries (see Call for proposals, Section 6.1.2 first paragraph, footnote 8; and EC: European Neighbourhood Policy available at: <a href="https://ec.europa.eu/neighbourhood-enlargement/neighbourhood/countries_en">https://ec.europa.eu/neighbourhood-enlargement/neighbourhood/countries_en</a>).</p> <p>For the detailed rules for the potential participation of third countries and entities established in third countries under this call, see section 6.1.2 of the call for proposals</p>

		(second and third paragraph).
6	Does this call follow the H2020 rules? I could not find in the text any reference for Horizon or any limitation for consortium size (other than the obligation to have at list one EU Member state).	As specified in the Call for proposals, Section 1, the present call is governed by the rules of the SESAR Regulation, CEF Regulation and the Financial Regulation and therefore does not follow the rules designed specifically for H2020. For more details, please consult the relevant reference at page 4 of the Call for proposals. Guidance on eligible applicants can be found in Section 6.1 of the Call for proposals.
7	Pag. 10 of the Application Template presents the model for the Member State Approval. We've made an internal check. Our State does provide this kind of Approvals for CEFs actions proposal, but this follows a process initiated by an online application, which can be traced at ministerial level. The approval is released by mean of form A2.3. Normally, the online application is mandatory (i.e. Application Form Part A - TENtec eSubmission module, for CEF SESAR Call 2017). Do you confirm that no online application is required?	We confirm that no online application is required for this call. Instead, the model of Member State agreement can be found in the Application form, Annex II to the Call of proposals, Section 4.3. It must be dated, signed and sent following the submission procedure in Section 14 of the Call for proposals.
8	The Call sets a calendar posing the grant signature event in between October-November 2018 (cfr. § 3 Timetable). The Technical specification poses a set of "fixed milestones" (cfr. § 4.4 Fixed milestones) including the delivery of the final Study by the end of January 2020, i.e. approximately 13-14 months after the supposed signature of the grant. Should this event be delayed, for any cause, will the "fixed milestones" be forwarded accordingly?	The SJU will make every effort to ensure there is no undue delay in signing the grant. There is no possibility of flexibility to the "fixed milestones" given in the Technical Specifications Section 4.4 and as stated in the call for proposals in Section 6.3 part c) - Eligibility Criteria, Eligible Activities.
9	How will SJU ensure proper coordination, synchronization, mutual understanding, common definitions, same "glossary" and a shared unique reference in between the 9 Long Term Research projects, the VLD already granted (PODIUM), the one being awarded on geofencing (U1?) and the latest projects resulting awarded through this call?	The SJU will provide all Projects access to a collaborative platform for exchange of documentation and inter-project collaboration as described in Section 4.3 of the Technical Specification. Additional details will be provided at the first coordination meeting with the SJU, subject to award and signature of a grant.

10	As a government institution in Switzerland, we are very interested in the Drone initiative. We would like to take part in a consortium to answer the CEF-SESAR-2018-1 U-SPACE Call. Are you aware of other cities or regions in Europe that would like to take part in this Call?	Unfortunately the SJU is not in a position to provide this kind of information to potential applicants.
11	<p><b>NEW!</b></p> <p>The call states that: <i>"Only expenditure incurred in EU Member States may be eligible, except where the project of common interest involves the territory of one or more third countries and where the action is indispensable to the achievement of the project concerned"</i></p> <p>Also:  <i>"Third countries and entities established in third countries may participate in actions contributing to projects of common interest where necessary in order to achieve the objectives of a given project of common interest. They may not receive financial assistance except where it is indispensable to the achievement of the objectives of a given project of common interest. "</i></p> <p>Can you confirm that the evaluation of this criteria (if the activity is indispensable) will be taken per project (as an Eligibility criteria) before the evaluation and scoring of the proposal– or will this be done at the end together with all other projects to see if there are other projects covering the activity planned in the third country?</p>	<p>Eligibility criteria are laid down in Section 6 of the Call for proposals.</p> <p>Moreover, as specified in section 9.1, "Proposals must first meet the admissibility requirements, as well as the eligibility and selection criteria (of applicants and applications)".</p> <p>The evaluation of the eligibility criteria is performed on each project, independently, and based on its own merit.</p>
12	<p><b>NEW!</b></p> <p>Can you clarify how "indispensable" under section 6 ("Eligibility criteria") is interpreted?</p>	<p>In accordance with Section 6.1.2 of the Call for proposals, third countries and entities established in third countries may only participate in actions as part of a consortium with (an) entity(ies) forming the consortium from one or more EU Member State(s).</p> <p>In order to receive financial assistance for this participation, two pre-requisites must be cumulatively met:</p>

		<p>1. the third countries and entities established in third countries' contribution must be "necessary", meaning technically essential for the implementation of the project and to achieve the objectives of this project, and</p> <p>2. the third countries and entities established in third countries' contribution must be "indispensable", meaning that a financial assistance is decisive in the provision of this contribution to the project.</p>
13	<p><b>NEW!</b></p> <p>Can you confirm that the funding rate is 50%, or what does maximum co-financing rate of 50% indicate?</p>	As specified in the Call for proposals, section 11.3, "The grant will be defined by applying a maximum co-financing rate of 50% to the eligible costs actually incurred and declared by the beneficiary and its affiliated entities".
14	<p><b>NEW!</b></p> <p>Is a Swiss legal entity eligible only as third country entity? If so, which are the means for demonstrating the necessity of the participation and being therefore eligible for financial assistance (6.1.2)?</p>	See answers to question 5 and 12.
15	<p><b>NEW!</b></p> <p>Is [<i>an International organisation</i>] an eligible applicant to this call?</p>	<p>As per Section 6.1 of the Call for proposals, International Organisations are not eligible applicants.</p> <p>International Organisation may however be involved in implementing the action under the grant under the provisions governing contracting and sub-contracting under the call for proposals and grant agreement.</p> <p><u>In the particular case of EUROCONTROL (Founding Member of the SJU) the SJU may systematically involve EUROCONTROL as an integral part of the SJU Coordination with all beneficiaries described in Section 4.1 (Management activities and deliverables) of Annex I – Technical Specifications.</u></p>
16	<p><b>NEW!</b></p> <p>Could you please explain the difference between the following two technical requirements:</p> <p><b>Requirement 1:</b>  <b>First Layer</b> The Common basis  One or more flying demonstrations  h. at least one of these two scenarios  Scenario 1: at least two U-space service providers</p> <p><b>Requirement 2:</b></p>	<p>As specified in Annex I - Technical specifications, Section 3.3 (Page 17) on Second Layer - Focus Area 1: "This focus area deals with the provision of drone traffic management services (DTM) services by two or more different service providers interacting with each other in a common area of interest. <b>This is delivered in addition to the separate provision of other U-space U2 services (e.g. information services) as specified in the common basis (see section 3.2.1 h) above).</b></p> <p>- All Actions under focus area 1 including "two (2) or more U-space service providers providing drone traffic management services in a shared airspace" shall include at least the following capabilities: sharing of information and data, management of strategic de-confliction, management of changes and updates during the flight, standardisation and</p>

	<b>Second Layer</b> Two focus areas for demonstration Focus Area1: Two or more U-space providers	regulatory needs."
17	<b>NEW!</b> What are the specific functions of a U-Space Service Provider?	See page 24 of Annex I - Technical specifications. <b>U-space services provider:</b> an entity providing services to the end user (the drone operator or leisure drone user); it might use information from different sources identified as data or service providers. These data or service providers are not specific to the U-space ecosystem but, there might need to be recognised as reliable or qualified to provide these data relevant for U-space. Although each U-space service will be provided by a U-space service provider, this is not a one-to-one mapping, as it is likely that a service provider may be able to provide, as part of their portfolio, many U-space services. A provider may also bring in other providers to provider a wider set of services to create their business model."
18	<b>NEW!</b> Could you name some companies/institutions that might act as U-Space Service Providers?	See answer to question n.10. In addition, this is for applicants to propose entities that have the necessary competencies and skills required to deliver the services.
19	<b>NEW!</b> In section 9.2.1, paragraph 3 of the Call for proposals you state that "The proposal shall provide evidence of synergies between at least two of the sectors covered by Connecting European Facility Regulation and/or other Union Programmes, e.g. "Smart Cities", H2020 programme, SESAR project." Could you clarify what exactly do you mean with "Smart cities"?	See Document "European Smart Cities activities", published on the call page ( <a href="http://www.sesarju.eu/procurement">http://www.sesarju.eu/procurement</a> ).