

DECISION
ADB(D) 10-2013

**Budget transfers between Titles and Chapters
and Revised Budget 2013**

THE ADMINISTRATIVE BOARD OF THE SESAR JOINT UNDERTAKING (SJU),

Having regard to:

- Article 5(c) of the Statutes annexed to Council Regulation (EC) 219/2007 as last modified by Council Regulation (EC) 1361/2008, hereafter the "SJU Regulation";
- Article 23.1 of the SJU Financial Rules adopted by the Administrative Board on 31 December 2010, which establishes that "*the Executive Director may make transfers from one chapter to another and from one article to another without limit and from one title to another up to a maximum of 10 % of the appropriations for the financial year shown on the line from which the transfer is made*";
- Article 23.2 of the aforementioned SJU Financial Rules, which establishes that "*beyond the limit referred in the first paragraph, the Executive Director may propose to the Administrative Board transfers of appropriations from one title to another. The Administrative Board shall have three weeks in which to oppose such transfers. After this time-limit they shall be deemed to be adopted*",

Whereas:

- In order to ensure the adequate management of the budget appropriations, the Executive Director authorized budget transfers within the limits defined in Article 23.1 of the SJU Financial Rules;
- There is the need to amend the Budget 2013 in order to allocate the available appropriations on specific budget lines,

In its ordinary meeting of 12 December 2013, HAS DECIDED AS FOLLOWS:

Article 1

The Administrative Board takes note and adopts the following budget changes proposed by the Executive Director with respect to the Budget 2013 adopted by the Administrative Board on 19 December 2012:

1. Statement of Revenue

- Taking into consideration the total amount made available by the European Union in 2013 compared to the initial Budget 2013, reduction of the request to the European Union for payment appropriations from EUR 91.631.020 to EUR 77.535.515;
- Reduction of the contribution of Eurocontrol in terms of payments for EUR 5.109.000 in order to take into account the actual amounts of the activities let by Eurocontrol on behalf of the SJU in 2012 and recognized in the ongoing IFS process in accordance with the MFA. In addition, during the previous year, following the Eurocontrol estimates, the SJU made commitments related to WP E, WP 11 and other contracts let by Eurocontrol on behalf of the SJU well above the annual needs. In order to align the real annual commitment to the current consumption trends, the commitments appropriations are reduced of EUR 6.400.000;
- Increase of the overall amount of the revenues – in terms of commitments – due to the resources released back to the SJU by the Members following the Reallocation 2013 and IBAFO III processes, compared to the overall commitments made at the end of 2012 – budget decommitment. In this respect, the total maximum amount of co-financing expected in accordance with the Reallocation 2011 was of EUR 593.973.714, out of which at the end of 2012 EUR 561.761.856 were already committed for the Members. The total amount of co-financing requested for the Members after Reallocation 2013 and IBAFO III process amounts to EUR 556.122.000, which results in a decommitment of Members co-financing of EUR 5.639.856;

2. Statement of Expenses

commitment and payment appropriations

- Transfers within the authority of the Executive Director of EUR 89.146 from Chapter 1.1 (Temporary Agents) and EUR 20.000 from Chapter 1.3 (Secondments from Members) to Chapter 1.2 (Contract Agents, Interim Staff) to reflect the actual implementation of the Staff Establishment Plan during 2013. In particular, few positions let vacant during the year were temporarily covered with Contract Agents instead of Temporary Agents considering the shorter procedure for recruitment (EPSO databases). It is expected that during 2014, by the end of the Contract Agent contract, the respective Temporary Agents would have been recruited;
- Transfers within the authority of the Executive Director of EUR 50.000 from Chapter 1.5 (Missions) to Chapter 1.6 (Other Staff Expenditure) for the expenditure connected to the recruitment of the new Executive Director;
- Transfer within the authority of the Executive Director of EUR 109.633 from Chapter 2.6 (Current Administrative Expenditure) and EUR 37.000 from Chapter 2.4 (Telecommunications) to anticipate already in 2013 the contract for Security and Cleaning up to the 3rd quarter 2014 (EUR 65.000 Chapter 2.1) and for possible ICT Development (EUR 81.633 Chapter 2.7) to answer the recommendations of the IAS and ECA on document management and closure process;

- reduction of Chapter 1.3 (Secondments from Members) of EUR 100.000 due to staff cost containment;
- reduction of Chapter 1.5 for EUR 80.000 and Chapter 1.6 for EUR 20.000 due to the lower expenditure in Missions Costs as a result of a strict monitoring on missions. With regard to Staff Trainings the lower expenditure is due to the fact that, *inter alia*, in a number of cases staff members were not in the position to follow the budgeted trainings as a result of working priorities and overall workload;
- reduction of Chapters 2.1 of EUR 20.000, Chapter 2.5 of EUR 10.000, Chapter 2.6 of EUR 47.368 and Chapter 2.8 of EUR 56.000, due to overall administrative costs' containment and use of remaining 2012 appropriations;
- transfer of commitment budget appropriations from Chapter 3.3 to Chapter 3.1 of EUR 25.577.752 due to
 - o as already explained in the revenues, reduction of appropriations for contracts let by Eurocontrol on behalf of the SJU for an amount of EUR 6.400.000;
 - o as a result of the release of resources in the context of the Reallocation 2013
 - increase of budget appropriations for a call for demonstration activities for a total amount of EUR 25.000.000, currently under finalization in view of a launch during the month of January 2014;
 - top up of the budget appropriations for the Industrial Support contract for the activities to be performed during 2014 and 2015, for the maximum amount of EUR 6.000.000;
 - establishment of budget appropriations for EUR 3.000.000 for the launch of the definition phase of the RPAs integration in non-segregated airspace, covering the period 2014 -2016 and currently expected to be financed 100%, as it was the case for the SESAR Definition Phase;
 - reconstitution of a budget appropriation of around EUR 1.000.000 for future studies to be performed early in 2014 net of adjustments of the Project Audit appropriations;
 - o reduction of the budget appropriations of EUR 3.000.000 initially foreseen for contracts with the Associate Partners of the SJU and in fact covered by previous commitments;
- reduction of payment budget appropriation of Chapter 3.1 of EUR 10.623.689 as
 - o reduction of the appropriation of EUR 5.109.000 as the actual amount of 2012 cash contributions in form of activities let by Eurocontrol on behalf of the SJU, which will be only recognized in the IFS 2012, once accepted by the SJU in accordance with the MFA (see the impact on the Statement of Revenue too) is lower than the budgeted amount;
 - o revision of the estimated payment request for the other activities and studies performed by the SJU of EUR 5.524.689 due to delayed submission by the beneficiaries of cost claims and requests of pre-financing;
- reduction of commitments budget appropriation of Chapter 3.3 of EUR 37.949.481 due to the result of the Reallocation 2013 and IBAFO III processes in view of their allocation to Demonstration Activities, Definition Phase of RPAs integration in non-segregated airspace and ad hoc additional studies and activities to be determined by the Administrative Board on the basis of available resources;
- increase of budget payment appropriations of EUR 7.593.340 to cover the IFS 2012 requests of co-financing. Due to the reduction of the revenue appropriations provided by the European Union compared to the initial Budget 2013 – see revenues here above –

there is a risk that the co-financing of IFS 2012 (submitted only in November 2013 compared to the deadline of April 2013) will be paid early 2014 only.

Article 2

In accordance with Article 10 of the SJU Financial Rules, appropriation not used by the end of 2013 shall be carried over to the next financial years. The Executive Director shall report on the use of such appropriation.

Article 3

Having taken note of the Budget Outturn 2012 included in the Final Annual Accounts 2012 (as adopted by decision of the Administrative Board of 12 December 2013), the Administrative Board reconfirms to the Executive Director to use the 2012 Budget Outturn in terms of payment and commitment appropriations to finance the SJU expenditure as necessary, in accordance with the Revised Budget 2013 as of 1 January 2013.

This decision shall enter into force on the date of its adoption.

Done in Brussels, 12 December 2013.

For the Administrative Board



Matthias Ruete
The Chairperson