

DECISION
ADB(D) 23-2009

Revised 2009 budget

THE ADMINISTRATIVE BOARD OF THE SESAR JOINT UNDERTAKING (SJU),

Having regard to:

- Council Regulation (EC) 219/2007 as last modified by Council Regulation (EC) 1361/2008, in particular to Articles 5.1(c) and 15 of the Statutes of the SESAR Joint Undertaking,
- the Administrative Board's decisions of 26 March 2009:
 - o adoption of the accession to the SJU Membership of the 15 candidate members listed in the "Executive Director's recommendation on awarding accession to candidate members"
 - o approval of "the members contributions to the SJU, the awarding of projects and related co-funding and the roles allocated to the SJU members in accordance with the Executive Director's report SJU/AB/09/09/DOC/07"
- the Administrative Board's decisions of 12 June 2009:
 - o approval of the final version of the MA and MFA, following minor modifications as a result of a linguistic review;
 - o approval of the agreement between the SJU and EUROCONTROL, including the detailed contribution of EUROCONTROL to the SJU in terms of cash and in-kind, EUR 165 million and EUR 535 million respectively, in the forms described in Schedule 2 of the agreement;
 - o approval of the Multi-annual staff policy plan for the period 2010-2012;
 - o approval of the "Rules on secondment of staff";
 - o approval of the rules implementing the provisions contained in Article 2 of Council Regulation (EC) 1361/2008, concerning the transition of the SJU staff from the Belgian Law system to the "Staff Regulations of Officials and Conditions of Employment of other Servants of the European Community"
- the adoption of the new SJU Financial Rules on 28 July 2009 by written procedure,

Has adopted the following decision:

Article 1

The revised budget 2009, annexed to this decision with the reference SJU-AB-011-09-DOC-02ter, is approved.

This decision shall enter into force on the date of its adoption.

Done in Brussels, 9 October 2009.

For the Administrative Board



Daniel Calleja Crespo
The Chairperson



Revised Budget 2009

9 October 2009

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1 Background

The Administrative Board (ADB) approved the SJU Budget 2009, with regard to the expenditure under Title 1, Title II Chapter 1 and Article A5 of Chapter 2. An updated version of the Budget was submitted to the Administrative Board for information at its meeting of 26 March 2009.

During 2009, the Administrative Board took different decisions with legal and financial impacts that require a revision of the initial budget. These decisions are the following:

ADB meeting of 26 March 2009

- adoption of the accession to the SJU Membership of the 15 candidate members listed in the "Executive Director's recommendation on awarding accession to candidate members";
- approval of "the members contributions to the SJU, the awarding of projects and related co-funding and the roles allocated to the SJU members in accordance with the Executive Director's report SJU/AB/09/09/DOC/07". The ADB approved the following amounts: total projects awarded, including EUROCONTROL, EUR 1.299,7 million, members' co-funding EUR 511,0 million and members' cash contribution EUR 25,5 million;

ADB meeting of 12 June 2009

- approval of the final version of the MA and MFA, following minor modifications as a result of a linguistic review;
- approval of the agreement between the SJU and EUROCONTROL, including the detailed contribution of EUROCONTROL to the SJU in terms of cash and in-kind, EUR 165 million and EUR 535 million respectively, in the forms described in Schedule 2 of the agreement;
- approval of the Multi-annual staff policy plan for the period 2010-2012;
- approval of the "Rules on secondment of staff";
- approval of the rules implementing the provisions contained in Article 2 of Council Regulation (EC) 1361/2008, concerning the transition of the SJU staff from the Belgian Law system to the "Staff Regulations of Officials and Conditions of Employment of other Servants of the European Community";

ADB written procedure

- adoption of the new SJU Financial Rules on 28 July 2009.

Furthermore, following the adoption of the SJU Financial Rules, the Executive Director has countersigned the MFA, which entered into force on 11 August 2009.

The Revised Budget 2009 is structured and presented in accordance with Article 5 of the SJU Financial Rules. In compliance with these rules, the in-kind contributions do not constitute part of the budget document but an annexe attached to it (see Annexe 1). In order to provide the ADB with the overall revenues and expenditure of the Programme, a second annexe contains the total amount of revenues and expenditure resulting from cash and in-kind contributions (see Annexe II).

Furthermore, the structure of the budget is aligned to that of the other Community Bodies, where the expenditure is divided into three main Titles (Staff, Administrative and Operating Expenditure). As a result, it was necessary to reclassify some Chapters, such as "Mission Costs" from former A2 "Running Expenditure" to Title 1 "Staff Expenditures" and to merge some of them, such as "Technical facilities" and "Capital Expenditure" into Chapter 2.7".

2 The main changes

The Revised Budget contains the following main changes resulting from the aforementioned decisions as well as from the adjustments related to the ongoing SJU operations.

2.1 Revenues

The European Commission communicated the following changes to the amount of the Community resources made available in 2009: an increase of EUR 3.5 million in the commitment appropriations as FP7 funds, while the payments appropriations are reduced to EUR 13.6 millions. The amount of payment appropriation related to the TEN-T funds will remain at EUR 14.1 million instead of the initially budgeted EUR 90 million.

Following the signature of the agreement with EUROCONTROL, it was possible to define their contribution to the SJU for the different budget lines for the overall Programme as well as the estimated annual amounts.

In 2009, EUROCONTROL is expected to contribute EUR 13.1 million – “cash” contribution¹ – in the following forms

- EUR 2.5 million as administrative and IT support to the SJU and secondments,
- EUR 6.4 million as early projects to be achieved during 2009,
- EUR 4.1 million as initial co-financing for WPs 7, 13, 16, C and E,
- EUR 0.15 million for the air-space users/military/staff representative contracts.

With regard to Title 4 “Other Revenue”, they are increased by the VAT paid by the SJU in 2007/2008 and recovered during 2009 for an amount of EUR 0.4 million.

2.2 Staff Expenditure

The transition of the staff from Belgian Law contracts to EU temporary agent contracts was completed on 1 July 2009.

Furthermore, three positions are covered by contractual agents instead of temporary agents (Chapter 1.2.), with a lower cost for the SJU and two positions by staff seconded by the SJU members (Chapter 1.3.).

The total Staff Expenditure is estimated at EUR 6.0 million compared to an initial Budget of EUR 9.5 million as a result of the change of the contractual situation of the staff and the postponement of some recruitments to a later period of the year due to some recruitment difficulties.

SJU STAFF	Budget 2009		Revised Budget 2009	
	GRADE		GRADE	
Executive Director	AD14	1	AD14	1
Deputy Exec Director-Programme Director	AD13	1		cancelled
Director, Administration and Finance	AD12	1	AD12	1
Chief Architect	AD12	1	AD12	1
Chief, Operational concept and validation	AD12	1	AD12	1
Chief Regulatory Affairs	AD12	1	AD12	1
Chief, Economics and Environment	AD10	1	AD10	1

¹ The “cash” contribution of EUROCONTROL consists of cash transferred to the SJU or services provided by ECTRL to the SJU for IT and administrative support.

SJU STAFF	Budget 2009		Revised Budget 2009	
	GRADE		GRADE	
Chief, Communication and stakeholders relations	AD10	1	AD11	1
Advisor to the Executive Director			AD10	1
Advisor to the Executive Director			AD10	1
Head Legal affairs and contracts	AD8	1	AD8	1
Head Financial resources	AD8	1	AD8	1
Hd ConOps S&D Sector	AD8	1	AD8	1
Hd Validation/Verification S&D Sector	AD8	1	AD8	1
Hd Airborne & CNS Systems Sector	AD8	1	AD8	1
Hd Airport & Centre ATM Systems Sector	AD8	1	AD8	1
Human resources Officer	AD7	1	AD7	1
Legal & contract Adviser	AD7	1	AD7	1
Finance and accounting Officer	AD7	1	AD7	1
Environment Officer	AD7	1	AD7	1
Project Controller/Auditor	AD7	1	AD7	1
ConOps/Airport S&D Officer	AD6	1	AD6	1
ConOps/Airspace User S&D Officer	AD6	1	AD6	1
Avionics Systems Architect	AD6	1	AD6	1
IT Systems Architect	AD6	1	AD6	1
Communication Officer	AD5	1	AD5	1
Economist	AD5	1	AD5	1
Legal Officer	AD5	1	AD5	1
Project Controller/Auditor	AD5	1	AD5	1
Project Controller/Auditor	AD5	1	AD5	1
Internal Auditor	AD5	1	AD5	1
Project Manager	AD5	1	AD5	1
Project Manager	AD5	1	AD5	1
Project Manager	AD5	1	AD5	1
Project Manager	AD5	1	AD5	1
Accountant	AST5	1	AST5	1
Executive Secretary	AST3	1	AST3	1
Administrative Assistant	AST3	1	AST3	1
Secretary - Programme Director	AST1	1	AST1	1
Secretary - Administration & Finance Director	AST1	1	AST1	1
Total		38		39
END				
Chief Military Affairs				1
Advisor Institutional Affairs				1
Total				2

2.3 Administrative Expenditure

Following the ADB approval of the agreement between the SJU and EUROCONTROL and the relative contributions, the SJU started to set up the IT systems to support the overall management of the programme until 2016, provided in the form of contributions by EUROCONTROL and its subcontractors. The increase in the amount of investment and recurrent costs (Chapter 2.7) is due to the more effective and anticipated set up of the hardware and software infrastructure to enable the collaboration of 1000 persons working on the Programme in 20 different locations.

2.4 Studies/Developments by the SJU

The initial budget of EUR 65 million (Chapter 3.1) is increased of EUR 1.2 million to take into account the needs for possible commitments for future activities to be launched in the last part of 2009, in particular in the area of Programme Management/Legal Support, Audit and for the working group on the ATM update.

As at 15 September 2009, the following activities have been committed:

Title	Amount EUR million
Industrial Support (phase 2)	55.0
Airspace Users Expertise	6.0
Programme E-learning	0.1
Study on Wireless Communication	0.6
OPTIMI (Oceanic Position Tracking Improvement & Monitoring Initiative)	0.4
Programme Management/Legal Support	2.4
Independent Experts (mostly for IBAFO I and II)	0.5

2.5 Studies/Developments by the Members

Following the award of the Programme activities as result of the IBAFO 1 and the ADB approval of the level of in-kind contributions, co-financing and cash contributions for each member, the SJU can proceed with the first specific multi-annual commitments to cover the activities to be carried out in the period 2009 – 2016.

Taking into consideration the resources already available by the end of 2009 in terms of revenues, the following amounts of co-financing are committed for the Programme taking into account the level of each Member's contributions. The remaining co-financing commitments will be recorded as soon as the SJU will sign the specific agreements with the EC.

	IBAF01 Co-funding	Maximum Commitment in 2009
Aena	30.504.195	14.507.366
Airbus	39.713.583	18.887.222
Alenia	16.064.977	7.640.277
DFS	30.253.170	14.387.982
DSNA	30.741.673	14.620.308
ENAV	30.149.249	14.338.559
Frequentis	13.133.893	6.246.295
Honeywell	19.829.475	9.430.619
Indra	59.657.835	28.372.428
Natmig	17.654.392	8.396.181
NATS	29.859.603	14.200.807
NORACON	28.835.498	13.713.757
SEAC	7.317.344	3.480.026
SELEX	38.640.256	18.376.763
Thales	118.644.513	56.425.663
Total	510.999.656	243.024.253

2.6 Annexe I

Annexe I contains the amounts of estimated in-kind contributions to be committed during 2009.

With regard to EUROCONTROL, the amount of EUR 20.1 million refers to the specific activities which are expected to be realized in 2009, while for the other members the amount of EUR 239.5 million has a multi-annual nature (see also section 2.5 here above).

With regard to Chapter 4.3 "Programme revenues from non Members", this chapter is created to include any possible Programme-related contributions in kind from non Members exceeding the co-financing made available by the SJU.

2.7 Annexe II

Annexe II contains the total amounts of cash and in-kind contributions and related expenditure to be committed during 2009. This annexe provides the ADB with the full revenues and expenditure of the Programme, for the full period as well as for 2009.

SEJAR JOINT UNDERTAKING

Revised Budget 2009

STATEMENT OF REVENUE

all figures in Euro

Title / Chapter	Programme estimates 1st version '08	Programme estimates Rev Sep '09	Commitment Appropriations			Payment Appropriations		
			Year 2008	Budget 2009	Revised Budget 2009	Year 2008	Budget 2009	Revised Budget 2009
1. European Community Contribution	700.000.000	700.000.000	260.000.000	51.500.000	55.000.000	110.863.212	115.000.000	27.688.788
1.1 7th Research and Dev. Framework Programme	350.000.000	350.000.000	60.000.000	51.500.000	55.000.000	25.000.000	25.000.000	13.552.000
1.2 Tran-European Network Programme	350.000.000	350.000.000	200.000.000	p.m.	p.m. (1)	85.863.212	90.000.000	14.136.788
2. Contribution from Eurocontrol	165.000.000	165.000.000	10.000.000		13.150.000	10.000.000		13.150.000
2.1 contribution in cash	165.000.000	165.000.000	10.000.000	p.m.	13.150.000	10.000.000	p.m.	13.150.000
3. Contributions from Other Members	35.000.000	30.774.983						
3.1 contribution in cash	35.000.000	30.774.983		p.m.			p.m.	
4. Other Revenue			148.369	573.538	957.260	148.369	573.538	957.260
4.1 Revenue From Interests Yielded			148.369	573.538	573.538	148.369	573.538	573.538
4.2 Revenue From Taxes Recovered					383.722			383.722
Budget Outturn previous year				256.030.073	256.030.073		115.633.500	115.633.500
TOTAL REVENUE	900.000.000	895.774.983	270.148.369	308.103.611	325.137.333	121.011.581	231.207.038	157.429.548

(1) From 2009 onwards, the remaining budgetary commitments under TEN-T (150.000.000 EUR) will be done according to the provisions applicable to Community Bodies in the General Financial Regulation (cf. II.3.5 of Granting Decision).

STATEMENT OF EXPENDITURE

all figures in Euro

Title / Chapter	Programme estimates 1st version '08	Programme estimates Rev Sep '09	Commitment Appropriations			Payment Appropriations		
			Year 2008	Budget 2009	Revised Budget 2009	Year 2008	Budget 2009	Revised Budget 2009
1. Staff Expenditure	55.000.000	55.000.000	3.210.970	9.519.900	6.026.091	2.771.827	9.646.146	6.026.091
1.1 Staff Expenditure As Per Staff Establish. Plan	55.000.000	50.000.000	2.768.909	8.211.869	4.139.981	2.435.193	8.252.381	4.139.981
1.2 Contract Agents, Interim Staff			145.944	80.000	167.758	145.944	82.118	167.758
1.3 Secondments From Members		5.000.000	98.053		750.000			750.000
1.4 Seconded National Experts					15.000			15.000
1.5 Mission Costs			125.328	601.647	601.647	130.087	657.636	601.647
1.6 Other Staff Expenditure			72.736	626.384	351.705	60.603	654.011	351.705
2. Administrative Expenditure	50.000.000	45.774.983	1.522.108	2.423.145	3.436.989	909.207	3.335.049	4.331.710
2.1 Rental Of Buildings And Associated Costs			469.766	87.143	150.987	513.392	765.868	817.529
2.2 Movable Property And Associated Costs			27.662	125.150	125.150	27.662	128.886	128.886
2.3 Pr And Events			278.737	400.000	350.000	122.177	500.000	450.000
2.4 Postage And Telecommunications			43.574	65.224	70.224	40.794	67.637	72.637
2.5 Administrative Board Expenditure			17.639	35.600	30.600	17.313	36.276	31.276
2.6 Current Administrative Expenditure			190.916	719.752	719.752	173.009	829.074	824.074
2.7 It Expenditure And Technical Facilities			493.814	990.276	1.990.276	14.859	1.007.307	2.007.307
3. Operating Expenditure	795.000.000	795.000.000	9.385.218	65.000.000	315.674.253	1.697.047	17.353.295	147.071.747
3.1 Studies/Development Conducted By The SJU	143.400.000	179.500.345	9.044.262	65.000.000	66.250.000	1.697.047	17.353.295	20.250.000
3.2 Studies/Development Conducted By EUROCONTROL					6.400.000			6.400.000
3.3 Studies/Development Conducted By The Members	651.600.000	615.499.655	340.956	p.m.	243.024.253		p.m.	120.421.747
TOTAL EXPENDITURE	900.000.000	895.774.983	14.118.296	76.943.045	325.137.333	5.378.081	30.334.490	157.429.548
BUDEGET OUTTURN			256.030.073	p.m.		115.633.500	p.m.	

Annexe I - In kind contribution

IN-KIND CONTRIBUTION

all figures in Euro

Title / Chapter	Programme estimates 1st version '08	Programme estimates Rev Sep '09	Commitment Appropriations		
			Year 2008	Budget 2009	Revised Budget 2009
1. European Community Contribution					
1.1 7th Research and Dev. Framework Programme					
1.2 Tran-European Network Programme					
2. Contribution from Eurocontrol	535.000.000	535.000.000			20.100.000
2.1 contribution in cash					
2.2 contribution in kind	535.000.000	535.000.000			20.100.000
3. Contributions from Other Members	665.000.000	615.499.655			243.024.253
3.1 contribution in cash					
3.2 contribution in kind	665.000.000	615.499.655			243.024.253
4. Other Revenue		53.725.363			
4.1 Revenue From Interests Yielded					
4.2 Revenue From Taxes Recovered					
4.3 Programme revenues from non Members		53.725.363			p.m. (2)
Budget Outturn previous year					
TOTAL REVENUE	1.200.000.000	1.204.225.018			263.124.253

(1) From 2009 onwards, the remaining budgetary commitments under TEN-T (150.000.000 EUR) will be done according to the provisions applicable to Community Bodies in the General Financial Regulation (cf. II.3.5 of Granting Decision).
 (2) This p.m. is necessary to record any Programme-related contributions in kind from non Members co-financing by the SJU

IN-KIND EXPENDITURE

all figures in Euro

Title / Chapter	Programme estimates 1st version '08	Programme estimates Rev Sep '09	Commitment Appropriations		
			Year 2008	Budget 2009	Revised Budget 2009
1. Staff Expenditure					
1.1 Staff Expenditure As Per Staff Establish. Plan					
1.2 Contract Agents, Interim Staff					
1.3 Secondments From Members					
1.4 Seconded National Experts					
1.5 Mission Costs					
1.6 Other Staff Expenditure					
2. Administrative Expenditure					
2.1 Rental Of Buildings And Associated Costs					
2.2 Movable Property And Associated Costs					
2.3 Pr And Events					
2.4 Postage And Telecommunications					
2.5 Administrative Board Expenditure					
2.6 Current Administrative Expenditure					
2.7 It Expenditure And Technical Facilities					
3. Operating Expenditure	1.200.000.000	1.204.225.018			263.124.253
3.1 Studies/Development Conducted By The SJU		53.725.363			
3.2 Studies/Development Conducted By EUROCONTROL	535.000.000	535.000.000			20.100.000
3.3 Studies/Development Conducted By The Members	665.000.000	615.499.655			243.024.253
TOTAL EXPENDITURE	1.200.000.000	1.204.225.018			263.124.253
BUDEGET OUTTURN					

Annexe II - Total

REVENUE (Cash and In-Kind)

all figures in Euro

Title / Chapter	Programme estimates 1st version '08	Programme estimates Rev Sep '09	Commitment Appropriations		
			Year 2008	Budget 2009	Revised Budget 2009
1. European Community Contribution	700.000.000	700.000.000	260.000.000	51.500.000	55.000.000
1.1 7th Research and Dev. Framework Programme	350.000.000	350.000.000	60.000.000	51.500.000	55.000.000
1.2 Tran-European Network Programme	350.000.000	350.000.000	200.000.000		p.m. (1)
2. Contribution from Eurocontrol	700.000.000	700.000.000	10.000.000		33.250.000
2.1 contribution in cash	165.000.000	165.000.000	10.000.000		13.150.000
2.2 contribution in kind	535.000.000	535.000.000			20.100.000
3. Contributions from Other Members	700.000.000	646.274.638			243.024.253
3.1 contribution in cash	35.000.000	30.774.983			
3.2 contribution in kind	665.000.000	615.499.655			243.024.253
4. Other Revenue		53.725.363	148.369	573.538	957.260
4.1 Revenue From Interests Yielded			148.369	573.538	573.538
4.2 Revenue From Taxes Recovered					383.722
4.3 Programme revenues from non Members		53.725.363			p.m. (2)
Budget Outturn previous year				256.030.073	256.030.073
TOTAL REVENUE	2.100.000.000	2.100.000.000	270.148.369	308.103.611	588.261.586

(1) From 2009 onwards, the remaining budgetary commitments under TEN-T (150.000.000 EUR) will be done according to the provisions applicable to Community Bodies in the General Financial Regulation (cf. II.3.5 of Granting Decision).

(2) This p.m. is necessary to record any Programme-related contributions in kind from non Members co-financing by the SJU

EXPENDITURE (Cash and In-Kind)

all figures in Euro

Title / Chapter	Programme estimates 1st version '08	Programme estimates Rev Sep '09	Commitment Appropriations		
			Year 2008	Budget 2009	Revised Budget 2009
1. Staff Expenditure	55.000.000	55.000.000	3.210.970	9.519.900	6.026.091
1.1 Staff Expenditure As Per Staff Establish. Plan	55.000.000	50.000.000	2.768.909	8.211.869	4.139.981
1.2 Contract Agents, Interim Staff			145.944	80.000	167.758
1.3 Secondments From Members		5.000.000	98.053		750.000
1.4 Seconded National Experts					15.000
1.5 Mission Costs			125.328	601.647	601.647
1.6 Other Staff Expenditure			72.736	626.384	351.705
2. Administrative Expenditure	50.000.000	45.774.983	1.522.108	2.423.145	3.436.989
2.1 Rental Of Buildings And Associated Costs			469.766	87.143	150.987
2.2 Movable Property And Associated Costs			27.662	125.150	125.150
2.3 Pr And Events			278.737	400.000	350.000
2.4 Postage And Telecommunications			43.574	65.224	70.224
2.5 Administrative Board Expenditure			17.639	35.600	30.600
2.6 Current Administrative Expenditure			190.916	719.752	719.752
2.7 IT Expenditure And Technical Facilities			493.814	990.276	1.990.276
3. Operating Expenditure	1.995.000.000	1.999.225.018	9.385.218	65.000.000	578.798.506
3.1 Studies/Development Conducted By The SJU	143.400.000	233.225.708	9.044.262	65.000.000	66.250.000
3.2 Studies/Development Conducted By EUROCONTROL	535.000.000	535.000.000			26.500.000
3.3 Studies/Development Conducted By The Members	1.316.600.000	1.230.999.310	340.956		486.048.506
TOTAL EXPENDITURE	2.100.000.000	2.100.000.000	14.118.296	76.943.045	588.261.586
BUDEGET OUTTURN			256.030.073	p.m.	